

Science, Service, Stewardship



NOAA

Electronic Dealer Reporting System

Delisse Ortiz, HMS Management Division

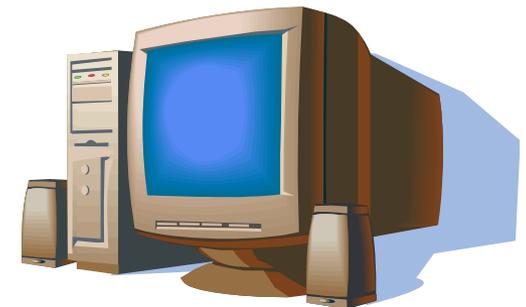
September 21, 2012

**NOAA
FISHERIES
SERVICE**



Outline

- Background
- Final Rule Summary
- eDealer System
- Implementation
 - Outreach
 - Timeline





Background

Modify existing reporting requirements for all Federal Atlantic HMS dealers* to:

- Allow timely, efficient, and accurate dealer reporting; and
- Improve quota monitoring of Atlantic HMS
 - Proposed rule published: June 28, 2011 (76 FR 37750)
 - Received 35 comments submitted via fax, phone, mail, or internet
 - Final rule published: August 8, 2012 (77 FR 47303)

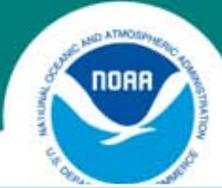
* includes only shark, swordfish, and bigeye, albacore, yellowfin, and skipjack (BAYS) tuna dealers; does not include BFT dealers.



Final Rule

Delayed Implementation- January 1, 2013

- **Electronic dealer (eDealer) reporting requirement**
All dealers must report commercially-harvested Atlantic HMS, other than BFT, electronically on a weekly basis.
- **Timely dealer report requirement**
Dealers are only authorized to receive commercially-harvested HMS if they have submitted timely reports to NMFS.



Final Rule

Based on public comment, NMFS made the following changes from the proposed rule

Alternatives		Proposed Rule	Final Rule
First receiver		All first receivers of HMS product (incl. for transport) would need HMS dealer permit	Only individuals taking possession for commercial purpose any HMS product by purchasing, bartering, trading or bartering for it from the fishing vessel once it is offloaded (does not include solely for transport) would need HMS dealer permit
Reporting Frequency	Sharks 	Report either daily or weekly; depending on criteria	Weekly
	Swordfish  BAY tunas 	Report weekly unless 80% of the quota is reached	



eDealer system

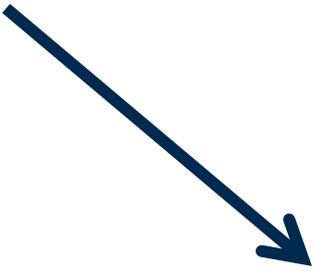
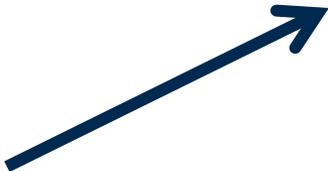


**HMS
Dealer**

**Standard Atlantic
Fisheries
Information System
(SAFIS)**

**Trip Ticket
(Bluefin Data
LLC)**

**Proprietary
software**





eDealer System

Integrated within existing electronic reporting programs:

- HMS electronic reporting program (HMS only)
- SAFIS eDealer (state and federal requirements)
- SAFIS e-1ticket (Georgia / South Carolina) (federal requirements)

Trip Tickets

- PC-1ticket (Georgia / South Carolina) (federal requirements)
- Caribbean (HMS only)
- Northeast (state and federal requirements for this version)
- North Carolina (state and federal requirements)
- Florida (state and federal requirements)
- Alabama (state and federal requirements)
- Mississippi (state and federal requirements)
- Louisiana (state and federal requirements)
- Texas (state and federal requirements)



Outreach

- Delaying implementation allows for outreach with dealers regarding new reporting systems
- Conducted 11 training workshops in Gulf of Mexico, Caribbean and Atlantic regions to date.

Training Workshops	Attendees
Louisiana (2)	18
Florida (5)	16
Puerto Rico (1), St. Thomas (2) , and St. Croix (1)	9

- More training workshops will be conducted in the Gulf of Mexico, Mid-Atlantic, and Northeast regions.



Questions/Concerns

- Reporting Week
 - Reporting week is Sunday through the following Saturday.
- Submission of positive/negative Atlantic HMS Dealer reports
 - Reports due no later than midnight, local time, of the first Tuesday following the end of the reporting week .
 - Can indicate time periods when not accepting product for up to 90 days.
 - Failure to report on time could result in the dealer permit being revoked, suspended, or modified, and in the denial of any future permit applications.



Questions/Concerns

- Access to the system/ obtaining user name and password

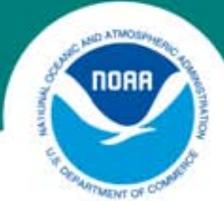
Atlantic HMS Dealers should contact NMFS (301-427-8590) to obtain information regarding which approved electronic reporting to use.

Dealers New to Electronic Reporting or HMS-Only Dealers:

Trip Ticket Program – Claude Peterson, Bluefin Data, LLC;
(225) 744-0807; <http://bluefindata.com/>

SAFIS Program – NE Regional Permitting Office; Don Paskowski
(978) 281-9370

eDealer Program – HMS Management Division; (301) 427-8590



Questions/Concerns

- Enforcement action where power outages have prevented dealers from reporting in a timely manner
 - NMFS encourages dealers to contact the system administrator (HMS.DealerReports@noaa.gov; 301-427-8590) when any outage or power failure occurs.
 - The Agency would exercise its enforcement discretion in determining whether or not to take enforcement action considering all the circumstances (e.g., outage or loss was verified, dealer submitted report as soon as outage ended, etc).
- Availability of price information when submitting reports
 - Dealers will be able to update price information on past reports for up to 30 days from the submission of the report; however, some programs require price upon submission.



Questions/Concerns

- Required Logbook ID #

Federal Atlantic HMS dealer will have to enter a Logbook ID # in the eDealer system

HMS Pelagic Longline logbook

eDealer Logbook ID # = Schedule # on the Trip Summary form

Coastal Fisheries logbook

eDealer Logbook ID # = the Vessel Trip Report #

Vessel Trip Report (VTR #)

eDealer Logbook ID # = VTR Serial Number



Questions/Concerns

- Submission of state versus federal landings data
 - Federally-permitted dealers, including Federal Atlantic HMS dealers, will have to submit all fish purchased to both the state and federal agencies.
 - In some cases, state and federal data can be submitted electronically in the same program; in other cases, dealers must report via paper to the state, such as South Carolina



Outreach

Implementation
Effective- January 1, 2013

- Outreach calls made upon publication of final rule
- Additional workshops and webinars coming
- Instructional videos coming in different versions of Trip Tickets
- System User Guides and Manuals coming
- HMS eDealer Website coming
- eDealer Compliance Guide coming along with updated HMS Dealer Compliance Guide

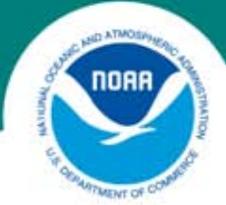


Implementation

Timeline

Last paper-based report received by January 10, 2013





We want your feedback

Questions?

- Delisse Ortiz or Karyl Brewster-Geisz: 301-427-8503
- Jackie Wilson: 240-338-3936