

Annex 1. Results Framework
PROGRAM FRAMEWORK DOCUMENT

Introduction of billfish management and conservation in the Western Central Atlantic Region

Project Development Objective (PDO): <i>Recapture lost wealth and contribute to sustainable livelihoods in the Western Central Atlantic region through investment in economically, technically and ecologically feasible billfish fisheries management and conservation.</i>									
PDO Level Results Indicators*	Core	Unit of Measure	Baseline	Cumulative Target Values		Frequency	Data Source/ Methodology	Responsibility for Data Collection	Description (indicator definition etc.)
				MTR	YR3				
Value created based on improved management		Number	Nil	Assessment study findings			Study reports/FAO	TBF/FAO/CRFM /OSPESCA/ICC AT	The social, economic and ecological value of billfish fisheries is known by the end of 2014 and management and functional reviews of selected Caribbean States' regulatory and institutional arrangements for (billfish) fisheries are carried out by early 2015.
A Billfish management and conservation plan for the Western Central Atlantic		Presence or absence	Nil	Draft Plan present	Plan endorsed		Project reports/ Workshop reports/ plan	WECAFC/TBF/ICCAT	A regional billfish management and conservation plan has been endorsed by the key stakeholders (by early 2015) and is formally agreed by at least 2 RFBs by the end of 2016.
A Functional and Responsive Consortium on Billfish Management and Conservation (CBMC)		No. of partners in the consortium	Nil	4	At least 6 formal partners; including at least 3 RFBs	At least 2 meetings per year	CBMC meeting minutes/ website/press releases	TBF	Increasing number of CBMC members and activities of the CBMC show its functioning and making the case for better billfish management and conservation in the region;
A business plan/case developed for investment in long-term, sustainable management and conservation of the billfish resources in the Western Central Atlantic		Number	Nil	Draft business case	Complete invest. plan		Investment plan/business case/ project reports	WB/TBF/WECAFC	A comprehensive, feasible investment plan is completed before the end of the 3 rd project year in close cooperation with the main private and public investors and following the formats required.

CORE INDICATORS									
Direct project beneficiaries (number), of which are female (%)	<input type="checkbox"/>	Number	Nil	60 000 (estimated only based on projected benefits to fishers in nations involved actively)	100 000 (20 % females; estimate only – recreational and artisanal fisheries)		Participating states (statistical reports) and project reports	WECAFC/WB/FAO/CRFM/OSPE SCA/IGFA/TBF/NOAA	Indirect and estimated only. There are about 900 000 artisanal and commercial fishers active in the project area
Billfish stock health	<input type="checkbox"/>	Exploitation status	Overfished marlin		Pressure on billfish stocks has reduced by 3%	Annual estimation of billfish exploitation status	FAO/ICCAT reports & statistics	FAO/ICCAT/TBF/CI	The aim is to have the billfish stock optimally exploited in the medium term; RFB action will be needed and Distant Water Fishing Nations are to be involved for making more progress than anticipated.

INTERMEDIATE RESULTS

Intermediate Result (Component One): Creating value based on improved management.									
<i>Intermediate Result indicator one:</i> Social and economic impact of recreational and billfish fisheries in the Caribbean understood	<input type="checkbox"/>	Number	3 national surveys are ongoing (Trinidad & Tobago, Bonaire, Colombia)	6 national surveys			Project and survey reports	TBF/CRFM/OSP ESCA	Results of 6 national surveys available and an estimation of the impact at regional level produced to inform the business case(s)/ investment plan
<i>Intermediate Result indicator two:</i> Management and functional reviews of selected Caribbean States' regulatory and institutional arrangements conducted	<input type="checkbox"/>	Number	Nil	In at least 5 pilot project candidate countries			Policy, legislation and institutional review reports	FAO/WECAFC/CRFM	Reviews of legislation and recommendations for improvement of legislative frameworks for fisheries and for introduction of right-based approaches in national level fisheries legislation, as well as draft policy and legislative amendments for fisheries will be produced
<i>Intermediate Result indicator three:</i> Pilot projects in at least two Caribbean States (countries or overseas territories) established to test and validate innovative management arrangements	<input type="checkbox"/>	Number	Nil	1	2		Project reports / work plans and budget of pilot projects	TBF/CNFO	National level consultations and meetings convened to discuss pilot projects, management options and testing of the business cases at pilot scale.
Intermediate Result (Component Two): <i>Billfish management and conservation planning for the Western Central Atlantic.</i>									
<p>Two objectives are envisaged under this management planning component:</p> <p>(a) A regionally agreed Billfish management and conservation plan for the Western Central Atlantic, spanning areas within and beyond national jurisdiction of the Western Central Atlantic.</p> <p>(b) Increased capacity within participating Caribbean States to effectively engage in determining effective management arrangements of high migratory fisheries with focus on billfish within and beyond national jurisdictions in the Western Central Atlantic and to promote a coherent 'Caribbean Voice' on these arrangements at international forums including ICCAT.</p>									
<i>Intermediate Result indicator One:</i> Baseline studies on billfish resources and	<input checked="" type="checkbox"/>	Number	Nil	1	2		Study reports	TBF/WECAFC	Baseline studies will inform the planning process and ensure that all available information is taken on-board

on options for rights based management conducted									
<i>Intermediate Result indicator Two:</i> Expert meetings and stakeholder consultations on the various drafts of the plan held		Number	Nil	2 (in 2014)	3 (by 2015)		Draft plans/ meeting and workshop reports	WECAFC/TBF	A regional billfish management and conservation plan has been endorsed by the key stakeholders (by early 2015)
<i>Intermediate Result indicator Three:</i> Presentation of the plan to relevant RFBs	<input type="checkbox"/>	Number	Nil		Plan discussed and endorsed by 2 RFBs		Session reports of RFBs	CRFM/OSPESCA/WECAFC/ICCAT	The regional plan is formally agreed by at least 2 RFBs by the end of 2016
<i>Intermediate Result indicator Four:</i> Effective participation of Caribbean nations in relevant ocean governance processes	<input checked="" type="checkbox"/>	No. of states/ No. of RFBs	Few countries	More countries, including at least 2 RFBs and 3 Caribbean SIDS	More countries, including at least 3 RFBs and 6 Caribbean SIDS	Annually	Meeting reports	WECAFC/FAO/ICCAT/WB	Number of countries and RFBs from the region involved in relevant international ocean governance processes in the UNCLOS, UNGA, COFI, UNEP and ICCAT frameworks
<i>Intermediate Result indicator Five:</i> Strengthened capacity of nat. fish. authorities in int. ocean & fisheries governance	<input type="checkbox"/>	Yes/No	Nil	10 key officials of 5 countries	20 key officials of at least 8 countries		Workshop/training materials	FAO/WECAFC	Number of countries supported by FAO for technical capacity development.
Intermediate Result (Component Three): A Functional and Responsive Consortium on Billfish Management and Conservation (CBMC)									
<i>Intermediate Result indicator one:</i> A Consortium on Billfish Management and Conservation (CBMC) in the Western Central Atlantic established.	<input type="checkbox"/>	Yes/No	Nil	1	1	At least 2 CBMC meetings annually	MoU/ CBMC meeting minutes and reports/ CBMC work plan	TBF/WECAFC and partners	The CBMC will be comprising relevant organizations (RFB/RFMOs, INGOs, CSOs and private sector representatives) and have an agreed work plan and budget that responds to project needs.
<i>Intermediate Result indicator two:</i> A regional Billfish management information system	<input type="checkbox"/>	Yes/No	Nil	1			Web-based database/project reports	FAO/WECAFC/Conservation International	An information system developed and established by the CBMC and hosted at the WECAFC Secretariat

Intermediate Result (Component Four): A business plan/case developed for investment in long-term, sustainable management and conservation of the Billfish resources in the Western Central Atlantic									
<i>Intermediate Result indicator One:</i> Public Private partnership linkages developed	<input type="checkbox"/>	Yes/No	Nil		1		Project Report/ MoU	WB/TBF/WECA FC/ others	Financial institutions will be involved early during the project to develop jointly a feasible investment proposal/business case. A regional conference is foreseen to support linkages between the public and private sector investors. .
<i>Intermediate Result indicator two:</i> Investment project proposal developed	<input checked="" type="checkbox"/>	Yes/No	Nil		1		Investment proposal in the format required by the potential investors	WB/CBMC/WEC AFC	The investment proposal will be a bankable project that meets the criteria of the potential financial institutions interested in the business case.