

3.9 HMS Permits and Tournaments

This section provides updates for the number of permits that were issued in conjunction with HMS fishing activities as of February 2006. Furthermore, Section 3.9.6, Atlantic HMS Tournaments, provides a comprehensive synthesis of recreational fishing tournaments and their role in the context of HMS management.

NMFS' HMS Management Division continues to monitor capacity in HMS fisheries. Updated permit numbers for HMS fisheries as of April 2005, are included in Table 3.95 through Table 3.101. These tables have been updated since the Draft Consolidated HMS FMP, which listed numbers of permits as of April 2005. The overall number of limited access permits for Atlantic swordfish, tunas, and sharks increased from 1,128 to 1,131 (Table 3.95) between October 2005 and February 2006, however, these numbers are subject to change based upon on-going permit renewal or expiration. The overall number of tuna permits increased in all categories between October 2005 and February 2006 (Table 3.96). The HMS Angling Permit category went into effect on March 1, 2003 (67 FR 77434, December 18, 2003), and there has been a significant increase in Angling category permits over the past few years (Table 3.96). The number of tuna dealer permits increased from 364 (April 20, 2005) to 416 (February 1, 2006) (Table 3.99).

Table 3.95 Distribution of Shark, Swordfish, and Tuna longline Limited Access Permits Between 2001 and 2006. Data for 2001-2005 are as of October 1 for each year.

| State | # Directed Swordfish | # Incidental Swordfish | # Swordfish Handgear | # Directed Shark | # Incidental Shark | # Tuna Longline | # Permit Holders/# Permits |
|----------------------|----------------------|------------------------|----------------------|------------------|--------------------|-----------------|----------------------------|
| ME | 2 | - | 4 | 2 | 3 | 1 | 9/12 |
| NH | - | - | - | - | 1 | - | 1/1 |
| MA | 13 | 1 | 21 | 4 | 13 | 8 | 37/60 |
| RI | 2 | 4 | 19 | - | 10 | 1 | 24/36 |
| CT | 1 | - | 1 | - | 1 | 1 | 2/4 |
| NY | 12 | 2 | 9 | 7 | 8 | 12 | 24/50 |
| NJ | 22 | 13 | 9 | 22 | 21 | 30 | 48/117 |
| DE | 4 | - | - | 3 | 1 | 3 | 4/11 |
| MD | 6 | - | - | 3 | 6 | 6 | 9/24 |
| VA | - | 3 | - | 3 | 3 | 3 | 6/12 |
| NC | 9 | 10 | 2 | 21 | 16 | 15 | 37/73 |
| SC | 2 | 1 | - | 7 | 14 | 4 | 20/28 |
| GA | 1 | - | - | 2 | 2 | - | 4/5 |
| FL | 66 | 32 | 22 | 144 | 137 | 76 | 299/477 |
| AL | - | 1 | - | 2 | 1 | 1 | 3/5 |
| MS | - | 2 | - | 1 | 7 | 1 | 8/11 |
| LA | 37 | 7 | - | 7 | 43 | 44 | 49/138 |
| TX | 1 | 5 | - | 2 | 10 | 6 | 12/24 |
| CA | - | - | - | - | - | 1 | 1/1 |
| PA | 2 | 2 | - | 2 | 4 | 1 | 6/11 |
| VI | 1 | - | - | - | 1 | 1 | 1/3 |
| No Vessel ID | 10 | 3 | 1 | 8 | 10 | - | - |
| Totals 2006** | 191 | 86 | 88 | 240 | 312 | 214 | 604/1131 |
| 2005 | 190 | 91 | 92 | 235 | 320 | 200 | 639/1128 |

| State | # Directed Swordfish | # Incidental Swordfish | # Swordfish Handgear | # Directed Shark | # Incidental Shark | # Tuna Longline | # Permit Holders/# Permits |
|-------|----------------------|------------------------|----------------------|------------------|--------------------|-----------------|----------------------------|
| 2004 | 195 | 99 | 96 | 241 | 348 | 222 | 657/1201 |
| 2003 | 206 | 99 | 95 | 251 | 359 | 235 | 696/1245 |
| 2002 | 205 | 110 | 94 | 251 | 376 | 226 | 713/1262 |
| 2001 | 208 | 112 | 100 | 252 | 390 | 213 | 752/1275 |

* Number of permit holders in each category, and state, is subject to change as permits are renewed or expire.

** Totals for 2006 are as of February 1, 2006

3.9.1 Upgrading and Safety Issues

When the limited access program was implemented, NMFS included upgrading restrictions that were the same as those implemented by the New England Fishery Management Council (NEFMC) and Mid-Atlantic Fishery Management Council (MAFMC) in order to help minimize the number of regulations for fishermen in those areas. These regulations restrict vessels from any increase over ten percent length overall (LOA), ten percent gross or net tonnage, and 20 percent horsepower. NMFS continues to receive comments that these vessel upgrading restrictions are not appropriate for longline fisheries, may inhibit full utilization of the domestic swordfish quota, are not the preferred vessel characteristics to limit overcapitalization, and have caused safety at sea concerns. In developing the current upgrading restrictions, hold capacity was identified by constituents as a vessel characteristic that would not impact safety at sea and would meet the objective of addressing overcapitalization in HMS commercial fisheries. NMFS did not implement hold capacity as a measure to limit vessel upgrading in 1999 due to the lack of standard measurements of vessel hold capacity as well as the lack of consistent collection of this information for HMS commercial vessels as part of existing vessel registration systems. NMFS has considered other possible options including: eliminating upgrading restrictions; limiting hold capacity instead of, or in addition to, the current restrictions; allowing a greater percentage increase; and creating vessel categories. NMFS heard similar comments as those listed above from the Advisory Panel (AP) in February of 2004. NMFS is considering these options, and, as with any potential changes in the permitting system, will allow for adequate public comment during the rulemaking process before making any changes to the regulations.

3.9.2 Atlantic Tunas Permits

The number of Atlantic Tunas permit holders by category is listed in Table 3.96. The number of permits in the Longline, General, and Charter/Headboat (CHB) categories increased between 2004 and April 2005. In previous years, CHB vessels fishing for HMS only needed a CHB permit if they were fishing for Atlantic tunas.

Table 3.96 The number of Atlantic tuna permit holders in each category as of October 2001 through 2005. Permit numbers for 2006 are as of February 1, 2006. The actual number of 2006 permit holders in each category is subject to change as individuals renew or allow their permits to expire.

| Category | 2001 | 2002 | 2003** | 2004 | 2005 | 2006 |
|--------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Longline | 213 | 226 | 235 | 222 | 200 | 214 |
| Angling * | 12,685 | 13,263 | 18,804 | 20,245 | 24,127 | 25,238 |
| Harpoon | 53 | 56 | 47 | 49 | 40 | 40 |
| Trap | 1 | 6 | 2 | 2 | 7 | 7 |
| General | 6,072 | 6,431 | 5,526 | 5,057 | 4,494 | 4,824 |
| Purse Seine | 5 | 5 | 5 | 5 | 5 | 5 |
| CHB** | 3,260 | 3,659 | 4,167 | 3,881 | 3,963 | 4,173 |
| Total | 22,289 | 23,646 | 28,789 | 29,461 | 32,836 | 34,501 |

* HMS Angling permit became effective March 1, 2003 (67 FR 77434, December 18, 2003) and includes all HMS, not just tunas.

** No longer a tuna-only permit, became a HMS CHB permit on March 1, 2003

In December 2002, NMFS published a final rule (67 FR 77434, December 18, 2002) that required the owner of each vessel used to fish recreationally for Atlantic HMS or on which Atlantic HMS are retained or possessed, to obtain an HMS Angling permit. Effective March 1, 2003, this permit replaced the Atlantic Tunas Angling category permit. It is discussed in greater detail in the HMS Angling Permit section.

3.9.3 HMS CHB Permits

In 2002, NMFS published a final rule (67 FR 77434, Dec. 18, 2002) expanding the HMS recreational permit from tuna only to include all HMS and define CHB operations. This established a requirement that owners of charterboats or headboats that are used to fish for, take, retain, or possess Atlantic tunas, sharks, swordfish, or billfish must obtain a HMS CHB permit. This permit replaced the Atlantic Tunas CHB permit. A vessel issued a HMS CHB permit for a fishing year will not be issued an HMS Angling permit or any Atlantic Tunas permit in any category for that same fishing year, regardless of a change in the vessel's ownership. The total number of CHB increased between April 2005 and February 2006.

Table 3.97 CHB Permits by State as of February 1, 2006.

| State | CHB permits | State | CHB Permits |
|--------------|-------------|-------|--------------|
| AL | 76 | NH | 47 |
| CT | 91 | NJ | 643 |
| DE | 129 | NV | -- |
| FL | 673 | OH | 2 |
| GA | 31 | PA | 11 |
| LA | 93 | PR | 27 |
| MA | 557 | RI | 163 |
| MD | 198 | SC | 141 |
| ME | 64 | TN | -- |
| MI | 2 | TX | 166 |
| MS | 32 | VA | 142 |
| NC | 465 | VI | 18 |
| NY | 373 | Other | 23 |
| Total | | | 4,173 |

3.9.6 HMS Angling Permit

Effective March 2003 (67 FR 77434, Dec. 18, 2002), the HMS Angling category permit allows all recreational anglers aboard permitted vessels to fish for HMS and is required to fish for, retain, or possess, including catch and release fishing, any federally regulated HMS. These species include: sharks, swordfish, white and blue marlin, sailfish, spearfish, and federally regulated Atlantic tunas (bluefin, yellowfin, bigeye, skipjack, and albacore). Atlantic HMS caught, retained, possessed, or landed by persons on board vessels with an HMS Angling permit may not be sold or transferred to any person for a commercial purpose. By definition, recreational landings of Atlantic HMS are those that cannot be marketed through commercial channels, therefore it is not possible to monitor anglers' catches through ex-vessel transactions as in the commercial fishery. Instead, NMFS conducts statistical sampling surveys of the recreational fisheries. These survey programs have been used for over a decade and include the Marine Recreational Fisheries Statistics Survey (MRFSS) and the Large Pelagic Survey (LPS). A vessel issued an HMS Angling permit for a fishing year shall not be issued an HMS Charter/Headboat permit or an Atlantic Tunas permit in any category for that same fishing year, regardless of a change in the vessel's ownership.

3.9.4 Dealer Permits

Dealer permits are required for commercial receipt of Atlantic tuna, swordfish, and sharks, and are described in further detail in the 1999 Tunas, Swordfish, and Sharks FMP. Dealer permits are not limited access. Fishermen caught selling HMS to unpermitted dealers and persons without a dealer permit buying HMS from fishermen could be subject to enforcement action. Similarly, persons caught buying HMS from non-commercial fishermen could also be

subject to enforcement action. All dealer permit holders are required to submit reports detailing the nature of their business. For swordfish and shark permit holders (including those who *only* import swordfish), dealers must submit bi-weekly dealer reports on all HMS they purchase. Tuna dealers must submit, within 24 hours of the receipt of a bluefin tuna, a landing report for each bluefin purchased from U.S. fishermen. Dealers must also submit bi-weekly reports that include additional information on tunas that they purchase. To facilitate quota monitoring “negative reports” for shark and swordfish are also required from dealers when no purchases are made (*i.e.*, NMFS can determine who has not purchased fish versus who has neglected to report). NMFS continues to automate and improve its permitting and dealer reporting systems and plans to make additional permit applications and renewals available online in the near future.

Starting July 1, 2005, dealers who import and/or export certain HMS species are required to obtain the NMFS HMS International Trade Permit (ITP) (69 FR 67268, November 17, 2004) (Table 3.100). The permit has been established to coordinate U.S. implementation of ICCAT and IATTC trade tracking recommendations. The HMS ITP is required for trade of bluefin tuna, southern bluefin tuna, swordfish, and frozen bigeye tuna. Reporting associated with the HMS ITP will include biweekly reports and submission of swordfish, bluefin tuna, southern bluefin tuna and bigeye tuna statistical documents. Atlantic tunas and swordfish dealer permits will no longer be required for international trade of these species, and will be necessary only for domestic transactions. Additionally, the Pacific Ocean bluefin tuna dealer permit will no longer be in effect.

Table 3.98 Number of shark and swordfish dealer permits issued in each state or country as of October 2001-2005. Permits for 2006 are as of February 1, 2006. The actual number of permits per may change as permit holders move or sell their businesses.

| State/Country | Atlantic swordfish | Atlantic sharks | # of permits |
|---------------|--------------------|-----------------|--------------|
| AL | 2 | 5 | 7 |
| CA | 29 | 29 | 58 |
| FL | 94 | 119 | 213 |
| GA | 1 | 1 | 2 |
| HI | 7 | 7 | 14 |
| LA | 12 | 13 | 25 |
| MA | 31 | 31 | 62 |
| MD | 6 | 6 | 12 |
| ME | 3 | 3 | 6 |
| MO | -- | 1 | 1 |
| MS | -- | 1 | 1 |
| NC | 14 | 20 | 34 |
| NJ | 14 | 14 | 28 |
| NY | 18 | 18 | 36 |
| OH | -- | -- | -- |
| PA | 2 | 2 | 4 |

| State/Country | Atlantic swordfish | Atlantic sharks | # of permits |
|--------------------|--------------------|-----------------|--------------|
| PR | 1 | 1 | 2 |
| RI | 10 | 10 | 20 |
| SC | 11 | 20 | 31 |
| TX | 8 | 11 | 19 |
| VA | 4 | 6 | 10 |
| VI | 1 | 1 | 2 |
| WA | 8 | 8 | 16 |
| Canada | 8 | 8 | 16 |
| Chile | 1 | 1 | 2 |
| New Zealand | -- | -- | -- |
| Ecuador | -- | -- | -- |
| Totals 2006 | 285 | 336 | 621 |
| 2005 | 294 | 228 | 522 |
| 2004 | 321 | 230 | 559 |
| 2003 | 319 | 254 | 573 |
| 2002 | 321 | 267 | 588 |
| 2001 | 302 | 249 | 551 |

Table 3.99 Number of Atlantic tuna dealer permits by state issued in the 2005 calendar year. Dealers may obtain a permit to sell and purchase only bluefin tuna, only BAYS tunas, or both bluefin and BAYS tunas.

| State | Bluefin Only * | BAYS Only | Bluefin and BAYS | Total Atlantic Tunas Dealer Permits |
|-------|----------------|-----------|------------------|-------------------------------------|
| AL | -- | -- | 1 | 1 |
| CA | 8 | -- | 5 | 13 |
| CT | -- | -- | 2 | 2 |
| DE | -- | -- | 3 | 3 |
| FL | 1 | 1 | 16 | 18 |
| GA | -- | -- | 2 | 2 |
| IL | 1 | -- | -- | 1 |
| HI | -- | -- | 2 | 2 |
| LA | 1 | -- | 11 | 12 |
| MA | 14 | 5 | 77 | 96 |
| MD | -- | 1 | 9 | 10 |
| ME | 10 | -- | 13 | 23 |
| NC | 6 | 7 | 25 | 38 |
| NH | -- | -- | 5 | 5 |

| State | Bluefin Only * | BAYS Only | Bluefin and BAYS | Total Atlantic Tunas Dealer Permits |
|--------------|----------------|-----------|------------------|-------------------------------------|
| NJ | 1 | 9 | 32 | 42 |
| NY | 3 | 14 | 49 | 66 |
| PA | -- | -- | 3 | 3 |
| PR | -- | 4 | 2 | 6 |
| RI | -- | 5 | 30 | 35 |
| SC | -- | 4 | 8 | 12 |
| TX | -- | 1 | 2 | 3 |
| VA | 1 | 6 | 14 | 21 |
| VI | -- | 3 | 1 | 4 |
| WA | -- | -- | 1 | 1 |
| Total | 43 | 60 | 313 | 416 |

- Does not include Pacific bluefin tuna dealer permits which were eliminated July 1, 2005.

Table 3.100 Number of International Trade Permits (ITP) by state (province) as of February 1, 2006.

| State/Province | Number of ITPs |
|---------------------|----------------|
| CA | 13 |
| FL | 22 |
| GA | 1 |
| HI | 2 |
| LA | 3 |
| MA | 23 |
| ME | 4 |
| NC | 4 |
| NJ | 7 |
| NY | 13 |
| RI | 3 |
| VA | 2 |
| WA | 1 |
| Nova Scotia, Canada | 2 |
| Total | 100 |

3.9.5 Exempted Fishing Permits (EFPs), Display Permits, Chartering Permits, and Scientific Research Permits (SRPs)

EFPs, display permits, and SRPs are requested and issued under the authority of the Magnuson-Stevens Act (16 U.S.C. 1801 *et seq.*) and/or the ATCA (16 U.S.C. 971 *et seq.*). EFPs are issued to individuals interested in being exempted from regulations for the purpose of conducting research or other fishing activities using private (non-NOAA) vessels, whereas an SRP would be issued to agency scientists who are using NOAA vessels as their research platform. Display permits are issued to individuals who are fishing for, catching, and then transporting HMS to certified aquariums for public display. Regulations at 50 CFR 600.745 and 50 CFR 635.32 govern scientific research activity, exempted fishing, and exempted educational activity with respect to Atlantic HMS. Amendment 1 to the Atlantic Tunas, Swordfish, and Sharks FMP implemented and created a separate display permitting system, which operates apart from the exempted fishing activities that are focusing on scientific research. However, the application process for display permits is similar to that required for EFPs and SRPs. The quota is 60 mt ww for all sharks collected under exempted fishing permits.

Issuance of EFPs, display permits, and SRPs may be necessary because possession of certain shark and billfish species are prohibited, possession of billfishes on board commercial fishing vessels is prohibited, the commercial fisheries for bluefin tuna, swordfish and large coastal sharks may be closed for extended periods during which collection of live animals and/or biological samples would otherwise be prohibited, or for other reasons. These EFPs, SRPs, and display permits would authorize collections of tunas, swordfish, billfishes, and sharks from Federal waters in the Atlantic Ocean and Gulf of Mexico for the purposes of scientific data collection and public display. In addition, NMFS regulations at 50 CFR 635.32 regarding implantation or attachment of archival tags in Atlantic HMS require prior authorization and a report on implantation activities.

In order to implement the chartering recommendations of ICCAT, NMFS recently published a rule on December 6, 2004 (69 FR 70396), requiring U.S. vessel owners with HMS permits to apply for and obtain a chartering permit before fishing under a chartering arrangement outside U.S. waters. These permits are issued in a similar manner as other EFPs. Under this final rule and consistent with the ICCAT recommendations, vessels issued a chartering permit are not authorized to use the quota or entitlement of the United States until the chartering permit expires or is terminated. This is because of the fact that under a chartering arrangement it is assumed that vessels have attained temporary authorization to harvest another ICCAT Contracting Parties' quota. Having a chartering permit does not obviate the need to obtain a fishing license, permits, or other authorizations issued by the chartering nation in order to fish in foreign waters, or obtain other authorizations such as a High Seas Fishing Compliance Act Permit, 50 CFR 300.10 *et seq.* Additionally, incidental takes of, or interactions with, protected resources are included against the Incidental Take Statement specified in any relevant Biological Opinions. A U.S. vessel shall not be authorized to fish under more than one chartering arrangement at the same time. NMFS will issue chartering permits only if it determines that the chartering arrangement is in conformance with ICCAT's conservation and management programs.

The number of EFPs, display permits, and SRPs issued from 2002 – 2006 by category and species are listed in Table 3.101. Year-end reports for permits issued for 2004 are required, and are expected to be submitted to NMFS in early 2005.

Table 3.101 Number of Exempted Fishing Permits (EFPs), Display Permits, and Scientific Research Permits (SRPs) issued between 2002 and 2006.

| Permit type | | 2002 | 2003 | 2004 | 2005 | 2006* |
|----------------------------|--|-----------|-----------|-----------|-----------|----------|
| Exempted Fishing Permit | Sharks for display | 7 | 8 | 8 | 6 | 3 |
| | HMS for display | 1 | 1 | 1 | 1 | -- |
| | Tunas for display | 0 | 0 | 1 | 0 | -- |
| | Shark research on a non-scientific vessel | 5 | 9 | 6 | 5 | -- |
| | Tuna research on a non-scientific vessel | 4 | 5 | 11 | 7 | 1 |
| | HMS research on a non-scientific vessel | 5 | 18 | 5 | 3 | 3 |
| | Billfish research on a non-scientific vessel | 0 | 0 | 1 | 2 | 1 |
| | Shark Fishing | 1 | 1 | 0 | 0 | -- |
| | HMS Chartering | 0 | 0 | 1 | 0 | -- |
| | Tuna Fishing | 6 | 7 | 2 | 0 | |
| | TOTAL | 29 | 49 | 36 | 24 | 8 |
| Scientific Research Permit | Shark research | 2 | 1 | 3 | 4 | -- |
| | Tuna research | 1 | 0 | 0 | 0 | -- |
| | Billfish research | 0 | 0 | 0 | 0 | -- |
| | HMS (multi-species) research | 1 | 1 | 1 | 4 | 3 |
| | TOTAL | 4 | 2 | 4 | 8 | 3 |
| Letters of Acknowledgement | Shark research | 3 | 3 | 2 | 4 | 1 |
| | TOTAL | 3 | 3 | 2 | 4 | 1 |

* Permit numbers for 2006 are as of February 1, 2006.

3.9.6 Atlantic HMS Tournaments

Fishing tournaments are an important component of HMS recreational fisheries. A tournament is defined in the HMS regulations as any fishing competition involving Atlantic HMS in which participants must register or otherwise enter or in which a prize or award is offered for catching or landing such fish. Since 1999, Federal regulations have required that each HMS tournament operator register their tournament with NMFS at least four weeks prior to the commencement of tournament fishing activities. Tournament operators may be selected for reporting and must submit tournament results to NMFS within seven days of the conclusion of the tournament.

Tournament registration and reporting is necessary because it provides an important source of information used to assess HMS fish stocks and to estimate the annual catch of Atlantic HMS. The information may be used by NMFS to plan for the assignment of tournament observers to assist in catch/effort data compilation and to obtain biological data and samples from landed fish (length/weight, stomach contents, injuries, parasites, hard and soft tissue samples for age determination, genetic and microconstituent analysis, spawning condition, fecundity, etc.). Additionally, with an accurate tournament database, NMFS may better assess the practicality of using tournaments for angler educational outreach efforts including distribution of written informational materials, notification of public hearings, and explanation of HMS regulations. HMS tournament registration and reporting information further allows NMFS, in the course of developing fishery management plans, to evaluate the social and economic impact of tournament angling in relation to other types of angling (*e.g.*, commercial, non-tournament recreational) and the relative effect of tournament angling on populations of various regulated HMS. Finally, the information is essential for the U.S. to meet its reporting obligations to ICCAT.

When registering an HMS tournament, the following information is required to be submitted to the HMS Management Division in St. Petersburg, FL: (1) Tournament name; (2) tournament location; (3) name, address, phone number, fax number, and e-mail address of tournament operator; (4) fishing dates; and (5) HMS species for which points or prizes are awarded. If selected for reporting, operators must submit the following information to the SEFSC: (1) Tournament name; (2) tournament dates; (3) tournament location; (4) number of boats fishing; (5) hours fished; (6) recorder's name, phone number, and e-mail address; (7) the number of each species kept; (8) the number of each species lost; (9) the number of each species tagged and released; (10) the number of each species released without a tag; (11) the number of each species released dead; and, (12) the weight and length of all fish boated. This information is routinely collected during tournament operations to award prizes. Generally, 100 percent of all billfish tournaments are selected for reporting, as this information is critical to determining billfish landings. Tournament registration forms are available at: http://www.nmfs.noaa.gov/sfa/hms/linkpages/reporting_forms.htm.

The reasons for participation in fishing tournaments include, but are not limited to, competition, camaraderie, and the opportunity to win valuable prizes. A search on the Internet for fishing tournaments (December, 2004) indicated that many saltwater tournaments target HMS. It has been estimated that approximately 300 – 400 HMS fishing tournaments occur annually along the U.S. Atlantic coast, including the Gulf of Mexico and Caribbean (NMFS, 1999). These tournaments may range from smaller, club member-only events with as few as ten participating boats (40 – 60 anglers) to larger, statewide tournaments with 250 or more participating vessels (1,000 – 1,500 anglers). For the larger tournaments, corporate sponsorship from tackle manufactures, marinas, boat dealers, beverage distributors, resorts, publications, chambers of commerce, restaurants, and others are often involved.

Many HMS fishing tournaments, particularly those that target billfish, promote strict conservation principles in their rules. For example, significant numbers of blue marlin, white marlin, and sailfish tournaments are “release-only,” utilizing observers, angler affidavits, polygraph tests, photographs, or video cameras to document the live release of marlins.

Minimum sizes for fish that are landed are often larger than state and Federal requirements. Also, some tournaments prohibit treble hooks and may require circle hooks on certain baits. Because tournament participants are often well-respected anglers (*i.e.* highliners), these conservation trends and ethics likely influence the general angling population in a positive manner.

For anglers in HMS tournaments, winning the prize money may not be the only motive for participation. Many HMS fishing tournaments support charitable organizations; an internet search revealed that some of the charities who have benefited from fishing tournaments include: the Cystic Fibrosis Foundation, Make-A-Wish Foundation, Sloan-Kettering Skin Cancer Center, Boy Scouts of America, Ducks Unlimited, The Boys and Girls Club, The Broadstreet Clinic, Core Sound Waterfowl Museum, Hope Mission Christian Ministries, Sertoma by the Bay (breast cancer research), Take A Kid Fishing, Capt. Bob Lewis Scholarship Fund, South Nassau Communities Hospital, South Texas Children's, T. H. Rogers School for Impaired Children's Home, The Billfish Foundation, and Kids In Distress.

Table 3.102 presents the number of registered HMS tournaments, by state, between 2001 and 2005. This table indicates that, in 2005, HMS fishing tournaments were conducted most frequently in Florida, Louisiana, Puerto Rico, North Carolina, Texas, New Jersey, Maryland, Georgia, New York, Virgin Islands, and South Carolina. By far, the largest number of registered HMS tournaments has consistently occurred in the state of Florida.

Table 3.102 Number of Registered HMS Tournaments by State between 2001 and 2005. Source: NMFS Atlantic HMS Tournament Registration Database

| STATE | 2001 | 2002 | 2003 | 2004 | 2005 |
|-------|------|------|------|------|------|
| ME | 2 | 3 | 3 | 5 | 3 |
| NH | 0 | 0 | 0 | 0 | 0 |
| MA | 7 | 1 | 7 | 10 | 4 |
| RI | 2 | 2 | 3 | 3 | 2 |
| CT | 1 | 0 | 0 | 0 | 1 |
| NY | 5 | 4 | 14 | 14 | 10 |
| NJ | 11 | 5 | 18 | 17 | 16 |
| DE | 2 | 0 | 0 | 1 | 0 |
| MD | 4 | 2 | 14 | 14 | 14 |
| VA | 5 | 1 | 5 | 4 | 5 |
| NC | 11 | 5 | 15 | 16 | 18 |
| SC | 6 | 3 | 13 | 9 | 9 |
| GA | 6 | 1 | 12 | 3 | 13 |
| FL | 46 | 26 | 66 | 57 | 74 |
| AL | 7 | 7 | 9 | 8 | 7 |
| MS | 3 | 2 | 7 | 2 | 2 |
| LA | 19 | 0 | 20 | 22 | 26 |
| TX | 14 | 1 | 17 | 10 | 17 |
| MI | 1 | 0 | 0 | 0 | 0 |
| PR | 16 | 4 | 13 | 17 | 22 |

| STATE | 2001 | 2002 | 2003 | 2004 | 2005 |
|---------------------------|------------|-----------|------------|------------|------------|
| USVI | 9 | 0 | 6 | 1 | 10 |
| Bahamas ¹ | 3 | 2 | 1 | 2 | 2 |
| Bermuda ¹ | 0 | 0 | 0 | 0 | 1 |
| Mexico ¹ | 1 | 0 | 0 | 0 | 0 |
| Turks/Caicos ¹ | 0 | 0 | 1 | 0 | 0 |
| TOTAL | 181 | 68 | 244 | 215 | 256 |

¹Some foreign tournaments voluntarily registered because the participants were mostly U.S. citizens.

Table 3.103 shows the number and percentage of HMS tournaments awarding points or awards for a particular HMS, based upon 2005 tournament registrations. Blue marlin, white marlin, sailfish, and yellowfin tuna are the predominant target species in HMS fishing tournaments.

Table 3.103 Number and Percent of All 2005 HMS Tournaments Awarding Points or Prizes for an HMS.
Source: NMFS Atlantic HMS Tournament Registration Database

| Species | Number of Tournaments | Percent of tournaments |
|----------------------|-----------------------|------------------------|
| Blue Marlin | 174 | 67.9% |
| White Marlin | 164 | 64.1% |
| Sailfish | 162 | 63.3% |
| Yellowfin Tuna | 161 | 62.9% |
| Bluefin Tuna | 83 | 32.4% |
| Swordfish | 71 | 27.7% |
| Bigeye Tuna | 53 | 20.1% |
| Pelagic Sharks | 48 | 18.8% |
| Albacore Tuna | 13 | 5.1% |
| Skipjack Tuna | 9 | 3.5% |
| Small Coastal Sharks | 5 | 2.0% |
| Ridgeback Sharks | 5 | 2.0% |
| Non-Ridgeback Sharks | 5 | 2.0% |

Table 3.106 indicate the percentage and number of 2005 HMS registered tournaments, by state (or country), for blue marlin, white marlin and sailfish, respectively. These tables indicate that Florida is the leading state in terms of numbers of registered billfish tournaments, especially for sailfish.

Table 3.104 Registered Blue Marlin Tournaments, 2005. Source: NMFS Atlantic HMS Tournament Registration Database.

| State | Number of 2005 Tournaments Awarding Points or Prizes for Blue Marlin | Percent of Total 2005 Tournaments Awarding Points or Prizes for Blue Marlin |
|----------------------|--|---|
| Florida | 36 | 20.7% |
| Louisiana | 25 | 14.4% |
| Puerto Rico | 17 | 9.8% |
| Texas | 17 | 9.8% |
| North Carolina | 15 | 8.6% |
| Georgia | 11 | 6.3% |
| Maryland | 11 | 6.3% |
| New Jersey | 9 | 5.2% |
| U.S. Virgin Islands | 9 | 5.2% |
| South Carolina | 8 | 4.6% |
| Alabama | 5 | 2.9% |
| Virginia | 3 | 1.7% |
| Massachusetts | 2 | 1.1% |
| Bahamas ¹ | 2 | 1.1% |
| Mississippi | 1 | 0.6% |
| New York | 1 | 0.6% |
| Rhode Island | 1 | 0.6% |
| Bermuda ¹ | 1 | 0.6% |
| TOTAL | 174 | 100% |

Table 3.105 Registered White Marlin Tournaments, 2005. Source: NMFS Atlantic HMS Tournament Registration Database.

| State | Number of 2005 Tournaments Awarding Points or Prizes for White Marlin | % of Total 2005 Tournaments Awarding Points or Prizes for White Marlin |
|---------------------|---|--|
| Florida | 36 | 22.0% |
| Louisiana | 25 | 15.2% |
| North Carolina | 15 | 9.1% |
| Texas | 15 | 9.1% |
| Georgia | 11 | 6.7% |
| Maryland | 11 | 6.7% |
| New Jersey | 9 | 5.5% |
| Puerto Rico | 9 | 5.5% |
| South Carolina | 8 | 4.9% |
| U.S. Virgin Islands | 8 | 4.9% |

| State | Number of 2005 Tournaments Awarding Points or Prizes for White Marlin | % of Total 2005 Tournaments Awarding Points or Prizes for White Marlin |
|----------------------|---|--|
| Alabama | 6 | 3.6% |
| Virginia | 3 | 1.8% |
| Massachusetts | 2 | 1.2% |
| Bahamas ¹ | 2 | 1.2% |
| Rhode Island | 1 | 0.6% |
| Mississippi | 1 | 0.6% |
| New York | 1 | 0.6% |
| Bermuda ¹ | 1 | 0.6% |
| TOTAL | 164 | 100% |

Table 3.106 Registered Sailfish Tournaments, 2005. Source: NMFS Atlantic HMS Tournament Registration Database.

| State | Number of 2005 Tournaments Awarding Points or Prizes for Sailfish | % of Total 2005 Tournaments Awarding Points or Prizes for Sailfish |
|----------------------|---|--|
| Florida | 58 | 35.8% |
| Louisiana | 25 | 15.4% |
| Texas | 16 | 9.9% |
| North Carolina | 15 | 9.2% |
| Georgia | 11 | 6.8% |
| Puerto Rico | 10 | 6.2% |
| South Carolina | 7 | 4.3% |
| Alabama | 6 | 3.7% |
| Maryland | 3 | 1.8% |
| U.S. Virgin Islands | 3 | 1.8% |
| Virginia | 3 | 1.8% |
| Bahamas ¹ | 2 | 1.2% |
| Massachusetts | 1 | 0.6% |
| Mississippi | 1 | 0.6% |
| Bermuda ¹ | 1 | 0.6% |
| TOTAL | 162 | 100% |

Table 3.107 Summary of bycatch species in HMS fisheries, Marine Mammal Protection Act (MMPA) category, endangered Species Act (ESA) requirements, data collection, and management measures by fishery/gear type. (Excerpted from HMS Bycatch Priorities and Implementation Plan and updated through May 2006)

| Fishery/Gear Type | Bycatch Species | MMPA Category | ESA Requirements | Bycatch Data Collection | Management Measures |
|--------------------------|--|----------------------|--|---|---|
| Pelagic Longline | Bluefin tuna Billfish Undersize target species Marine mammals Sea turtles Seabirds Non-target finfish Prohibited shark species Large Coastal Shark species after closure | Category I | Jeopardy findings in 2000 & 2004, Reasonable and Prudent Alternative implemented 2001-04 | Permit requirement (1985); logbook requirement (SWO- 1985; SHK - 1993); observer requirement (1992), EFPs (2001-03) | BFT target catch requirements (1981); quotas (SWO - 1985; SHK - 1993); prohibit possession of billfish (1988); minimum size (1995); gear marking (1999); line clippers, dipnets (2000); MAB closure (1999); limited access (1999); limit the length of mainline (1996-1997 only); move 1 nm after an interaction (1999); voluntary vessel operator workshops (1999); GOM closure (2000); FL, Charleston Bump, NED closures (2001); gangion length, corrodible hooks, de-hooking devices, handling & release guidelines (2001); NED experiment (2001); VMS (2003); circle hooks and bait requirements (2004) |
| Shark Bottom Longline | Prohibited shark species Target species after closure Sea turtles Smalltooth sawfish Non-target finfish | Category III | ITS, Terms & Conditions, RPMs | Permit requirement (1993); logbook requirement (1993); observer coverage (1994) | Quotas (1993); trip limit (1994); gear marking (1999); handling & release guidelines (2001); line clippers, dipnets, corrodible hooks, de-hooking devices, move 1 nm after an interaction (2004); South Atlantic closure, VMS (2005) |
| Shark Gillnet | Prohibited shark species Sea turtles Marine mammals Non-target finfish Smalltooth sawfish | Category II | ITS, Terms & Conditions, RPMs | Permit requirement (1993); logbook requirement (1993); observer coverage (1994) | Quotas (1993); trip limit (1994); gear marking (1999); deployment restrictions (1999); 30-day closure for leatherbacks (2001); handling & release guidelines (2001); net checks (2002); whale sighting (2002); VMS (2004); closure for right whale mortality (2006) |
| BFT Purse Seine | Undersize target species Non-target finfish | Category III | ITS, Terms & Conditions | Permit requirement (1982); observer requirement (1996, 2001 only); EFPs (2002-05) | Quotas (1975); limited access, individual vessel quotas (1982); minimum size (1982) |

| Fishery/Gear Type | Bycatch Species | MMPA Category | ESA Requirements | Bycatch Data Collection | Management Measures |
|--------------------------|--|----------------------|-------------------------|---|--|
| BFT & SWO Harpoon | Undersize target species | Category III | ITS, Terms & Conditions | Permit requirement (BFT - 1982; SWO - 1987); SWO logbook requirement (1987) | Quotas (BFT - 1982; SWO - 1985); minimum size (BFT - 1982; SWO - 1985) |
| Handgear - Commercial | Undersize target species Non-target finfish | Category III | ITS, Terms & Conditions | Permit requirement (BFT - 1982; SWO 1987; SHK - 1993); logbook requirement (SWO - 1985; SHK - 1993) | Regulations vary by species, including quotas, minimum sizes, retention limits, landing form |
| Handgear - Recreational | Undersize target species Non-target finfish | Category III | ITS, Terms & Conditions | Large Pelagic Survey (1992); MRFSS (1981) | Regulations vary by species, including minimum sizes, retention limits, landing form; BFT quotas |

3.9.7 Evaluation and Monitoring of Bycatch

The identification of bycatch in Atlantic HMS fisheries is the first step in reducing bycatch and bycatch mortality. The Magnuson-Stevens Act requires the amount and type of bycatch to be summarized in the annual SAFE reports. Bycatch reporting is addressed in Section 3.8.3. Additional species and fishery specific data have already been presented in Section 3.2.

Pelagic longline dead discards of swordfish, billfish, large coastal sharks and pelagic sharks are estimated using data from NMFS observer reports and pelagic logbook reports. Shark bottom longline and shark gillnet discards can be estimated using logbook data and observer reports as well. Shark gillnet discards have also been estimated using logbook data when observer coverage is equal to 100 percent.

NMFS has not estimated bycatch in the swordfish harpoon fishery. NMFS has limited historical observer data on harpooned swordfish from driftnet trips in which harpoons were sometimes used. Swordfish harpoon fishermen are required to submit pelagic logbooks and NMFS can examine those for their utility in estimating bycatch. NMFS has not estimated bycatch in the bluefin tuna harpoon fishery because these fishermen have not been selected to submit logbooks. NMFS has not estimated bycatch in the General category commercial rod and reel tuna fishery although anecdotal evidence indicates that some undersized bluefin tuna may be captured. Studies of post-release mortality are ongoing.

There is concern about the accuracy of discard estimates in the recreational rod and reel fishery for HMS due to the low number of observations by the LPS and the MRFSS. Recreational bycatch estimates (numbers of fish released alive and dead) are not currently available, except for bluefin tuna. For some species, encounters are considered rare events, which might result in bycatch estimates with considerable uncertainty. Due to improvements in survey methodology, increased numbers of intercepts (interviews with fishermen) have been collected since 2002. NMFS intends to develop bycatch estimates (live and dead discards) and estimates of uncertainty from the recreational fishery from the LPS. These data will be included in future SAFE reports. Bycatch estimates may also be examined by using tournament data for the recreational fishery.

3.9.8 Bycatch Mortality

3.9.8.1 Introduction

The reduction of bycatch mortality is an important component of National Standard 9. Physical injuries may not be apparent to the fisherman who is quickly releasing a fish because there may be injuries associated with the stress of being hooked or caught in a net. Little is known about the mortality rates of many of the species managed under this FMP but there are some data for certain species. Information on bycatch mortality of these fish should continue to be collected, and in the future, could be used to estimate bycatch mortality in stock assessments.

NMFS submits annual data (Task I) to ICCAT on mortality estimates (dead discards). These data are included in the SAFE reports and National Reports to ICCAT to evaluate bycatch trends in HMS fisheries.

3.9.8.2 Mortality by Fishery

Pelagic Longline Fishery

NMFS collects data on the disposition (released alive or dead) of bycatch species from logbooks submitted by fishermen in the pelagic longline fishery. Observer reports also include disposition of the catch as well as information on hook location, trailing gear and injury status of protected species interactions. These data are used to estimate post-release mortality of sea turtles and marine mammals based on guidelines for each (Angliss and DeMaster 1998, Ryder *et al.* 2006). See Section 3.4.1 for estimates of sea turtle and marine mammal bycatch estimates.

Purse Seine Fishery

NMFS has limited observer data on the bluefin tuna purse seine fishery. There are no recorded instances of non-tuna finfish, other than minimal numbers of blue sharks, caught in tuna purse seines. Anecdotal evidence indicates that if fish are discarded, they are easily released out of the net with minimal bycatch mortality.

Bottom Longline Fishery

The shark bottom longline fishery has relatively low observed bycatch rates. Historically, finfish bycatch has averaged approximately five percent in the bottom longline fishery. Observed protected species bycatch (sea turtles) has typically been much lower, less than 0.01 percent of the total observed catch. See Section 3.4.5.1 for more information. Disposition of discards is recorded by observers and can be used to estimate discard mortality.

Shark Gillnet Fishery

The shark gillnet fishery has relatively low observed bycatch rates. Finfish bycatch during the 2003 fishery ranged from 3.3 to 20.7 percent of the total catch. Observed protected species bycatch (sea turtles and marine mammals) was very low, less than 0.1 percent. See Section 3.4.5 for more information. Disposition of discards is recorded by observers and can be used to estimate discard mortality.

Commercial Handgear Fishery

Vessels targeting bluefin tuna with harpoon gear have not been selected for observer coverage since the deliberate fishing nature of the gear is such that bycatch is expected to be low. Therefore, there are no recorded instances of non-target finfish caught with harpoons and NMFS cannot quantify the bycatch of undersized bluefin tuna in this fishery. Bycatch in the swordfish harpoon fishery is virtually if not totally, non-existent. Since bycatch approaches zero in this fishery, it follows that bycatch mortality is near zero. Disposition of bycatch reported in logbooks is used to estimate mortality of bycatch in the hook and line handgear fisheries.

Recreational Handgear Fishery

The LPS collects data on disposition of bycatch (released alive or dead) in recreational HMS fisheries. Rod and reel discard estimates from Virginia to Maine during June through October can be monitored through the expansion of survey data derived from the LPS (dockside and telephone surveys). However, the actual numbers of fish discarded for many species are low. See Section 3.4.4 for more information.

Post-release mortality studies have been conducted on few HMS at this time. Immediate mortality in recreational hook and line-caught juvenile bluefin tuna can be high (29.2 percent) due to injuries or predation (Belle, 1997). This is thought to be a conservative estimate because scientific personnel in the study were professionally trained and had extensive experience in fish handling techniques designed to reduce mortality. Mortality often occurs ten minutes or longer after the fish is released under normal circumstances. Injuries may not be readily apparent to the angler and seemingly minor capture injuries may be related to substantial internal injuries. Forty percent of sampled tuna that died during that study did not have injuries that would be apparent to the angler in the boat. Skomal and Chase (1996) provided evidence that the stress of rod and reel angling did not cause immediate post-release mortality in larger bluefin tuna (50 to 150 kg). However, they did document metabolic and pH disturbances in bluefin tuna sampled off Cape Hatteras, NC. The physiological consequences of angling stress are poorly understood for several species of large pelagic fishes (Skomal and Chase, 1996).

A study by Graves *et al.* (2002), investigated short-term (five days) post-release mortality of Atlantic blue marlin using pop-up satellite tag technology. A total of nine recreationally-caught blue marlin were tagged and released during July and August of 1999. All hooks employed in the study were “J” hooks. The attached tags were programmed to detach from the fish after five days and to record direct temperature and inclination of the buoyant tag to determine if the fish were actively swimming after being released. After detachment, the tags floated to the surface and began transmitting recorded position, temperature and inclination data to satellites of the Argos™ system. Three different lines of evidence provided by the tags (movement, water temperature, and tag inclination) suggested that at least eight of the nine blue marlin survived for five days after being tagged and released. One of the tags did not transmit any data which precluded the derivation of a conclusion regarding the tagged marlin’s survival.

The study was continued in 2003 to evaluate post release survival and habitat use of white marlin using pop-up satellite archival tags (PSATs) caught and released from four locations in the western North Atlantic recreational fishery (Horodysky and Graves, 2005). Forty-one tags were attached to white marlin caught using dead baits rigged on straight shank (“J”) hooks (n = 21) or circle hooks (n = 20) offshore of the U.S. Mid-Atlantic, the Dominican Republic, Mexico, and Venezuela. Survival was significantly higher ($p < 0.01$) for white marlin caught on circle hooks (100 percent) relative to those caught on straight-shank (“J”) hooks (65 percent). These results, along with previous studies on circle hook performance, suggest that a change in hook type can significantly increase the survival of white marlin released from recreational fishing gear. Data from these short term deployments also suggest that white marlin strongly associate with warm, near surface waters. However, based on the frequency, persistence, and patterns of vertical movements, white marlin appear to direct a considerable proportion of foraging effort well below surface waters, a behavior that may account for

relatively high catch rates of white marlin on some pelagic longline sets. NMFS continues to support studies on recreational post-release mortality and intends to account for this source of mortality when additional information becomes available.

3.9.8.3 Code of Angling Ethics

NMFS developed a Code of Angling Ethics as part of implementing Executive Order 12962 – Recreational Fisheries. NMFS implemented a national plan to support, develop, and implement programs that were designed to enhance public awareness and understanding of marine conservation issues relevant to the wellbeing of fishery resources in the context of marine recreational fishing. This code is consistent with National Standard 9, minimizing bycatch and bycatch mortality, and is therefore reproduced below. These guidelines are discretionary, not mandatory, and are intended to inform the angling public of NMFS views regarding what constitutes ethical angling behavior. Part of the code covers catch-and-release fishing and is directed towards minimizing bycatch mortality.

Code of Angling Ethics

- Promotes, through education and practice, ethical behavior in the use of aquatic resources.
- Values and respects the aquatic environment and all living things in it.
- Avoids spilling, and never dumps any pollutants, such as gasoline and oil, into the aquatic environment.
- Disposes of all trash, including worn-out lines, leaders, and hooks, in appropriate containers, and helps to keep fishing sites litter-free.
- Takes all precautionary measures necessary to prevent the spread of exotic plants and animals, including live baitfish, into non-native habitats.
- Learns and obeys angling and boating regulations, and treats other anglers, boaters, and property owners with courtesy and respect.
- Respects property rights, and never trespasses on private lands or waters.
- Keeps no more fish than needed for consumption, and never wastefully discards fish that are retained.
- Practices conservation by carefully handling and releasing alive all fish that are unwanted or prohibited by regulation, as well as other animals that may become hooked or entangled accidentally.
- Uses tackle and techniques, which minimize harm to fish when engaging in “catch-and-release” angling.

3.9.9 Interactions of HMS Fishing Gears with Protected Species

This section examines the interaction between protected species and Atlantic HMS fisheries under consideration in this FMP. As a point of clarification, interactions are different than bycatch. Interactions take place between fishing gears and marine mammals, sea turtles,

and seabirds while bycatch consists of discards of fish. Following a brief review of the three acts (Marine Mammal Protection Act, Endangered Species Act, and Migratory Bird Treaty Act) affecting protected species, the interactions between HMS gears and each species is examined. Additionally, the interaction of seabirds and longline fisheries are considered under the auspices of the United States “National Plan of Action for Reducing the Incidental Catch of Seabirds in Longline Fisheries” (NPOA – Seabirds).

3.9.9.1 Interactions and the Marine Mammal Protection Act

The Marine Mammal Protection Act of 1972 as amended (MMPA) is one of the principal Federal statutes that guide marine mammal species protection and conservation policy. In the 1994 amendments, section 118 established the goal that the incidental mortality or serious injury of marine mammals occurring during the course of commercial fishing operations be reduced to insignificant levels approaching a zero mortality rate goal (ZMRG) and serious injury rate within seven years of enactment (*i.e.*, April 30, 2001). In addition, the amendments established a three-part strategy to govern interactions between marine mammals and commercial fishing operations. These include the preparation of marine mammal stock assessment reports, a registration and marine mammal mortality monitoring program for certain commercial fisheries (Category I and II), and the preparation and implementation of take reduction plans (TRP).

NMFS relies on both fishery-dependent and fishery-independent data to produce stock assessments for marine mammals in the Atlantic Ocean, Gulf of Mexico, and Caribbean Sea. Draft stock assessment reports are typically published around January and final reports are typically published in the Fall. Final 2005 stock assessment reports are available and can be obtained on the web at:

http://www.nmfs.noaa.gov/prot_res/PR2/Stock_Assessment_Program/sars.html

The following marine mammal species occur off the Atlantic and Gulf Coasts that are or could be of concern with respect to potential interactions with HMS fisheries.

| <u>Common Name</u> | <u>Scientific Name</u> |
|-----------------------------|-----------------------------------|
| Atlantic spotted dolphin | <i>Stenella frontalis</i> |
| Blue whale | <i>Balaenoptera musculus</i> |
| Bottlenose dolphin | <i>Tursiops truncatus</i> |
| Common dolphin | <i>Delphinis delphis</i> |
| Fin whale | <i>Balaenoptera physalus</i> |
| Harbor porpoise | <i>Phocoena phocoena</i> |
| Humpback whale | <i>Megaptera novaeangliae</i> |
| Killer whale | <i>Orcinus orca</i> |
| Long-finned pilot whale | <i>Globicephela melas</i> |
| Minke whale | <i>Balaenoptera acutorostrata</i> |
| Northern bottlenose whale | <i>Hyperoodon ampullatus</i> |
| Northern right whale | <i>Eubalaena glacialis</i> |
| Pantropical spotted dolphin | <i>Stenella attenuata</i> |
| Pygmy sperm whale | <i>Kogia breviceps</i> |
| Risso’s dolphin | <i>Grampus griseus</i> |
| Sei whale | <i>Balaenoptera borealis</i> |

Short-beaked spinner dolphin
Short-finned pilot whale
Sperm whale
Spinner dolphin
Striped dolphin
White-sided dolphin

Stenella clymene
Globicephala macrorhynchus
Physeter macrocephalus
Stenella longirostris
Stenella coeruleoalba
Lagenorhynchus acutus

Under MMPA requirements, NMFS produces an annual list of Fisheries (LOF) that classifies domestic commercial fisheries, by gear type, relative to their rates of incidental mortality or serious injury of marine mammals. The LOF includes three classifications:

1. Category I fisheries are those with frequent serious injury or mortality to marine mammals;
2. Category II fisheries are those with occasional serious injury or mortality; and
3. Category III fisheries are those with remote likelihood of serious injury or mortality to marine mammals.

The final 2005 MMPA LOF was published on January 4, 2004 (71 FR 247) and the draft 2006 MMPA LOF was published on April 24, 2006 (71 FR 20941). The Atlantic Ocean, Caribbean, and Gulf of Mexico large pelagic longline fishery is classified as Category I (frequent serious injuries and mortalities incidental to commercial fishing) and the southeastern Atlantic shark gillnet fishery is classified as Category II (occasional serious injuries and mortalities). The following Atlantic HMS fisheries are classified as Category III (remote likelihood or no known serious injuries or mortalities): Atlantic tuna purse seine; Gulf of Maine and Mid-Atlantic tuna, shark and swordfish, hook-and-line/harpoon; southeastern Mid-Atlantic and Gulf of Mexico shark bottom longline; and Mid-Atlantic, southeastern Atlantic, and Gulf of Mexico pelagic hook-and-line/harpoon fisheries. Commercial passenger fishing vessel (charter/headboat) fisheries are subject to Section 118 and are listed as a Category III fishery. Recreational vessels are not categorized since they are not considered commercial fishing vessels. For additional information on the fisheries categories and how fisheries are classified, see <http://www.nmfs.noaa.gov/pr/interactions/lof/>.

Fishermen participating in Category I or II fisheries are required to register under the MMPA and to accommodate an observer aboard their vessels if requested. Vessel owners or operators, or fishermen, in Category I, II, or III fisheries must report all incidental mortalities and serious injuries of marine mammals during the course of commercial fishing operations to NMFS. There are currently no regulations requiring recreational fishermen to report takes, nor are they authorized to have incidental takes (*i.e.*, they are illegal).

NMFS continues to investigate serious injuries to marine mammals as they are released from fishing gear. In April 1999, NMFS held a joint meeting of the three regional scientific review groups to further discuss the issue. NMFS is continuing to develop marine mammal serious injury guidelines and until these are published, NMFS will apply the criteria listed by the review groups to make determinations for specific fisheries. The current Biological Opinions for Atlantic HMS fisheries have resulted in a conclusion of no jeopardy for marine mammals.

However, a Pelagic Longline Take Reduction Team (PLTRT) was recently formed and first met on June 29-30, 2005. The PLTRT replaces the disbanded Atlantic Offshore Cetacean Take Reduction Team (AOCTRT). The PLTRT must develop a Take Reduction Plan (TRP) for pilot whales within 11 months. The Draft TRP has been transmitted to NMFS and will be published shortly. The 1999 HMS FMP implemented several of the recommendations of the AOCTRT including: 1) a requirement that vessels fishing for HMS move one nautical mile (nm) after an entanglement with protected species; 2) limiting the length of the mainline to 24 nm in the MAB from August 1, 1999 through November 30, 2000; 3) voluntary vessel operator education workshops for HMS pelagic longline vessels; 4) handling and release guidelines; and 5) limited access for swordfish, shark and tuna longline permits. A summary of the observed and estimated marine mammal interactions with the pelagic longline fishery is presented in Table 3.26 and Table 3.27 of Section 3.4.1.

3.9.9.2 Interactions and the ESA

The Endangered Species Act of 1973 as amended (16 U.S.C. 1531 *et seq.*) provides for the conservation and recovery of endangered and threatened species of fish, wildlife, and plants. The listing of a species is based on the status of the species throughout its range or in a specific portion of its range in some instances. Threatened species are those likely to become endangered in the foreseeable future [16 U.S.C. §1532(20)] if no action is taken to stop the decline of the species. Endangered species are those in danger of becoming extinct throughout all or a significant portion of their range [16 U.S.C. §1532(20)]. Species can be listed as endangered without first being listed as threatened. The Secretary of Commerce, acting through NMFS, is authorized to list marine and anadromous fish species, marine mammals (except for walrus and sea otter), marine reptiles (such as sea turtles), and marine plants. The Secretary of the Interior, acting through the USFWS, is authorized to list walrus and sea otter, seabirds, terrestrial plants and wildlife, and freshwater fish and plant species.

In addition to listing species under the ESA, the service agency (NMFS or USFWS) generally must designate critical habitat for listed species concurrently with the listing decision to the “maximum extent prudent and determinable” [16 U.S.C. §1533(a)(3)]. The ESA defines critical habitat as those specific areas that are occupied by the species at the time it is listed that are essential to the conservation of a listed species and that may be in need of special consideration, as well as those specific areas that are not occupied by the species that are essential to their conservation. Federal agencies are prohibited from undertaking actions that are likely to destroy or adversely modify designated critical habitat.

Marine Mammals

Blue whale (*Balaenoptera musculus*)
 Fin whale (*Balaenoptera physalus*)
 Humpback whale (*Megaptera novaeangliae*)
 Northern right whale (*Eubalaena glacialis*)
 Sei whale (*Balaenoptera borealis*)
 Sperm whale (*Physeter macrocephalus*)

Status

Endangered
 Endangered
 Endangered
 Endangered
 Endangered
 Endangered

Sea Turtles

| | |
|--|------------------------|
| Green turtle (<i>Chelonia mydas</i>) | *Endangered/Threatened |
| Hawksbill sea turtle (<i>Eretmochelys imbricata</i>) | Endangered |
| Kemp's ridley sea turtle (<i>Lepidochelys kempii</i>) | Endangered |
| Leatherback sea turtle (<i>Dermochelys coriacea</i>) | Endangered |
| Loggerhead sea turtle (<i>Caretta caretta</i>) | Threatened |
| Olive ridley sea turtle (<i>Lepidochelys olivacea</i>) | Threatened |

Critical Habitat

| | |
|----------------------|------------|
| Northern right whale | Endangered |
|----------------------|------------|

Finfish

| | |
|---|------------|
| Smalltooth sawfish (<i>Pristis pectinata</i>) | Endangered |
|---|------------|

*Green sea turtles in U.S. waters are listed as threatened except for the Florida breeding population, which is listed as endangered. Due to the inability to distinguish between the populations away from the nesting beaches, green sea turtles are considered endangered wherever they occur in U.S. waters.

Sea Turtles

NMFS has taken several steps in the past few years to reduce sea turtle bycatch and bycatch mortality in domestic longline fisheries. On March 30, 2001, NMFS implemented via interim final rule requirements for U.S. flagged vessels with pelagic longline gear on board to have line clippers and dipnets to remove gear on incidentally captured sea turtles (66 FR 17370). Specific handling and release guidelines designed to minimize injury to sea turtles were also implemented. NMFS published a final report which provides the detailed guidelines and protocols (Epperly *et al.*, 2004) and a copy can be found at http://www.nmfs.noaa.gov/sfa/hms/Protected%20Resources/TM_524.pdf

A Biological Opinion completed on June 14, 2001, found that the actions of the pelagic longline fishery jeopardized the continued existence of loggerhead and leatherback sea turtles. This document reported that the pelagic longline fishery interacted with an estimated 991 loggerhead and 1,012 leatherback sea turtles in 1999. The estimated take levels for 2000 were 1,256 loggerhead and 769 leatherback sea turtles (Yeung 2001).

On July 13, 2001 (66 FR 36711), NMFS published an emergency rule that closed the Northeast Distant (NED) area to pelagic longline fishing (effective July 15, 2001), modified how pelagic longline gear may be deployed effective August 1, 2001, and required that all longline vessels (pelagic and bottom) post safe handling guidelines for sea turtles in the wheelhouse. On December 13, 2001 (66 FR 64378), NMFS extended the emergency rule for 180 days through July 8, 2002. On July 9, 2002, NMFS published a final rule (67 FR 45393) that closed the NED to pelagic longline fishing. As part of the Reasonable and Prudent Alternative, the BiOp required NMFS to conduct an experiment with commercial fishing vessels to test fishery-specific gear modifications to reduce sea turtle bycatch and mortality. This rule also required the length of any gangions to be 10 percent longer than the length of any floatline on vessels where the length of both is less than 100 meters; prohibited stainless steel hooks; and required gillnet vessel

operators and observers to report any whale sightings and required gillnets to be checked every 0.5 to 2 hours.

The experimental program required in the BiOp was initiated in the NED area in 2001 in cooperation with the U.S. pelagic longline fleet that historically fished on the Grand Banks fishing grounds. The goal of the experiment was to test and develop gear modifications that might prove useful in reducing the incidental catch and post-release mortality of sea turtles captured by pelagic longline gear while striving to minimize the loss of target catch. The experimental fishery had a three-year duration and utilized 100 percent observer coverage to assess the effectiveness of the measures. The gear modifications tested in 2001 included blue-dyed squid and moving gangions away from floatlines. In 2002, the NED experimental fishery examined the effectiveness of whole mackerel bait, squid bait, circle and “J” hooks, and reduced daylight soak time in reducing the capture of sea turtles. The experiment tested various hook and bait type combinations in 2003 to verify the results of the 2002 experiment.

On November 28, 2003, based on the conclusion of the three-year NED experiment, and preliminary data that indicated that the Atlantic pelagic longline fishery may have exceeded the Incidental Take Statement in the June 14, 2001, BiOp, NMFS published a Notice of Intent to prepare an SEIS to assess the potential effects on the human environment of proposed alternatives and actions under a proposed rule to reduce sea turtle bycatch (68 FR 66783). A new BiOp for the Atlantic pelagic longline fishery was completed on June 1, 2004. The BiOp concluded that long-term continued operation of the Atlantic pelagic longline fishery, authorized under the 1999 FMP, was not likely to jeopardize the continued existence of loggerhead, green, hawksbill, Kemp’s ridley, or olive ridley sea turtles; and was likely to jeopardize the continued existence of leatherback sea turtles.

On July 6, 2004, NMFS implemented additional regulations for the Atlantic pelagic longline fishery to further reduce the mortality of incidentally caught sea turtles (69 FR 40734). These measures include requirements on hook type, hook size, bait type, dipnets, lineclippers, and safe handling guidelines for the release of incidentally caught sea turtles. These requirements were developed based on the results of the 2001 – 2003 NED experiment (Watson *et al.*, 2003; Watson *et al.*, 2004a; Shah *et al.*, 2004). These requirements are predicted to decrease the number of total interactions, as well as the number of mortalities, of both leatherback and loggerhead sea turtles (NMFS, 2004c). Post-release mortality rates are expected to decline due to a decrease in the number of turtles that swallow hooks which engage in the gut or throat, a decrease in the number of turtles that are foul-hooked and improved handling and gear removal protocols. NMFS is working to export this new technology to pelagic longline fleets of other nations to reduce global sea turtle bycatch and bycatch mortality. U.S gear experts have presented this bycatch reduction technology and data from research activities at approximately 15 international events that included fishing communities and resource managers between 2002 and mid-2005 (NMFS, 2005).

Internationally, the United States is pursuing sea turtle conservation through international, regional, and bilateral organizations such as ICCAT, the Asia Pacific Fisheries Commission, and FAO Committee on Fisheries (COFI). The United States intends to provide a summary report to FAO for distribution to its members on bycatch of sea turtles in U.S. longline fisheries and the

research findings as well as recommendations to address the issue. At the 24th session of COFI held in 2001, the United States distributed a concept paper for an international technical experts meeting to evaluate existing information on turtle bycatch, to facilitate and standardize collection of data, to exchange information on research, and to identify and consider solutions to reduce turtle bycatch. COFI agreed that an international technical meeting could be useful despite the lack of agreement on the specific scope of that meeting. The United States has developed a prospectus for a technical workshop to address sea turtle bycatch in longline fisheries as a first step. Other gear-specific international workshops may be considered in the future.

Smalltooth sawfish

On April 1, 2003, NMFS listed smalltooth sawfish as an endangered species (68 FR 15674) under the Endangered Species Act (ESA). After reviewing the best scientific and commercial information, the status review team determined that the U.S. DPS (Distinct Population Segment) of smalltooth sawfish is in danger of extinction throughout all or a significant portion of its range from a combination of the following four listing factors: the present or threatened destruction, modification, or curtailment of habitat or range; over utilization for commercial, recreational, scientific, or educational purposes; inadequacy of existing regulatory mechanisms; and other natural or manmade factors affecting its continued existence. NMFS is working on designating critical habitat for smalltooth sawfish.

NMFS believes that smalltooth sawfish takes in the shark gillnet fishery are rare given the high rate of observer coverage. The fact that there were no smalltooth sawfish caught during 2001, when 100 percent of the fishing effort was observed, indicates that smalltooth sawfish takes (observed or total) most likely do not occur on an annual basis. Based on this information, the 2003 BiOp estimates that one incidental capture of a sawfish (released alive) over the next five years, will occur as a result of the use of gillnets in this fishery (NMFS, 2003a).

Smalltooth sawfish have been observed caught (eight known interactions, seven released alive, one released in unknown condition) in shark bottom longline fisheries from 1994 through 2004 (A. Morgan pers. comm., 2003). Based on these observations, expanded sawfish take estimates for 1994 – 2002 were developed for the shark bottom longline fishery (NMFS, 2003a). A total of 466 sawfish were estimated to have been taken in this fishery during 1994 – 2002, resulting in an average of 52 per year. It is important to note that all of the sawfish takes observed, except for one, were released alive.

3.9.9.3 Interactions with Seabirds

Observer data from 1992 through 2005 indicate that seabird bycatch is relatively low in the U.S. Atlantic pelagic longline fishery (Table 3.29). Since 1992, a total of 129 seabird interactions have been observed, with 95 observed killed (73.6 percent). In 2005, there were 110 active U.S. pelagic longline vessels fishing for swordfish in the Atlantic Ocean, Gulf of Mexico, and Caribbean Sea that reportedly set approximately 5.9 million hooks. A total of four seabirds were observed taken.

The National Plan of Action (NPOA) for Reducing the Incidental Catch of Seabirds in Longline Fisheries was released in February 2001. The NPOA for Seabirds calls for detailed

assessments of longline fisheries, and, if a problem is found to exist within a longline fishery, for measures to reduce seabird bycatch within two years. NMFS, in collaboration with the appropriate Councils and in consultation with the U.S. Fish and Wildlife Service, will prepare an annual report on the status of seabird mortality for each longline fishery. The United States is committed to pursuing international cooperation, through the Department of State, NMFS, and U.S. Fish and Wildlife Service, to advocate the development of National Plans of Action within relevant international fora. NMFS intends to meet with longline fishery participants and other members of the public in the future to discuss possibilities for complying with the intent of the plan of action. Because interactions appear to be relatively low in Atlantic HMS fisheries, the adoption of immediate measures is unlikely.

Bycatch of seabirds in the shark bottom longline fishery has been virtually non-existent. A single pelican has been observed killed from 1994 through 2005. No expanded estimates of seabird bycatch or catch rates for the bottom longline fishery have been made due to the rarity of seabird takes.

3.9.10 Measures to Address Protected Species Concerns

NMFS has taken a number of actions designed to reduce interactions with protected species over the last few years. Bycatch reduction measures have been implemented through the Fishery Management Plan for Atlantic Tunas, Swordfish and Sharks (NMFS, 1999), in Regulatory Amendment 1 to the 1999 FMP (NMFS, 2000), in Regulatory Adjustment 2 to the 1999 FMP (NMFS, 2002), in Amendment 1 to the 1999 FMP (NMFS, 2003a), and in the June 2004 Final Rule for Reduction of Sea Turtle Bycatch and Bycatch Mortality in the Atlantic Pelagic Longline Fishery (69 FR 40734). NMFS closed the Southeast U.S. Restricted Area to gillnet fisheries from February 15, 2006, to March 31, 2006, as a result of an entanglement and subsequent mortality of a right whale with gillnet gear (71 FR 8223). NMFS continues to monitor observed interactions with marine mammals and sea turtles on a quarterly basis and reviews data for appropriate action, if any, as necessary.

3.9.11 Bycatch of HMS in Other Fisheries

NMFS is concerned about bycatch mortality of Atlantic HMS in any Federal or state-managed fishery which captures them. NMFS plans to address bycatch of these species in the appropriate FMPs through coordination with the responsible management body. For example, capture of swordfish and tunas incidental to squid trawl operations is addressed in the Squid, Mackerel, and Butterfish FMP. Capture rates of tunas in coastal gillnet fisheries are being explored through issuance of exempted fishing permits and reporting requirements. NMFS continues to solicit bycatch data on HMS from all state, interjurisdictional, and Federal data collection programs. NMFS supports development of an interstate management plan for coastal sharks by the ASMFC to protect sharks caught incidentally in state-managed fisheries. NMFS has requested assistance from the ASMFC, GSMFC, and Atlantic and Gulf Regional Fishery Management Councils in identifying potential sources of bycatch of finetooth sharks in state waters fisheries or other fisheries outside the jurisdiction of this FMP.

3.9.11.1 Squid Mid-Water Trawl

U.S. squid trawl fishermen, using mid-water gear, landed 8.6 mt ww of yellowfin tuna, skipjack tuna, albacore tuna, bigeye tuna, and swordfish in 2003 incidental to the squid, mackerel, and butterfish trawl fishery (Table 3.108). Bycatch of HMS in other trawl fisheries may be included as a portion of the overall reported trawl landings in Table 3.108. Landings decreased from 2002 for bigeye and albacore tuna, and increased slightly for yellowfin and skipjack tuna. Swordfish landings increased by 50 percent but remain at a low level relative to the directed fishery landings. A retention limit of five swordfish per trip allows squid trawl fishermen with swordfish limited access permits to land some of the swordfish that are encountered, although regulatory discards still occur.

Table 3.108 Atlantic HMS Landed (mt ww) Incidental to Trawl Fisheries, 1998-2004. Source: NMFS, 2003, NMFS, 2005.

| Species | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
|----------------|------|------|-------|------|-------|-------|------|
| Yellowfin tuna | 0.7 | 4.1 | 1.76 | 2.7 | 0.3 | 2 | 1 |
| Skipjack Tuna | 0.2 | 1.0 | <0.05 | 0.2 | <0.05 | 0.5 | 0.2 |
| Bigeye Tuna | 0.5 | 1.2 | 1.7 | 0.4 | 0.5 | <0.05 | 0.3 |
| Albacore | 2.4 | 0.4 | <0.05 | 0.0 | 0.3 | <0.05 | 2.6 |
| Swordfish | 5.9 | 7.5 | 10.9 | 2.5 | 3.9 | 6.0 | 7.6 |
| Total | 9.7 | 14.2 | 14.43 | 5.8 | 4.8 | 8.6 | 11.7 |

3.9.11.2 Menhaden Purse Seine Fishery

In the menhaden purse seine fishery, sharks were caught incidentally in approximately 30 percent of the purse seine sets observed (deSilva *et al.*, 2001). Ten species of sharks were identified with blacktip sharks being the most common species. Approximately 20 percent of the sharks were not identified to species. An estimated 30,000 sharks were taken in this fishery annually in 1994 and 1995. At the time of release, 75 percent of sharks were dead, 12 percent were disoriented, and eight percent were healthy. The odds of observing shark bycatch was highest in April and May. Stomach analyses of sharks suggest that their occurrence in the fishery is probably the result of sharks preying on gulf menhaden (deSilva *et al.*, 2001). No new data are available at this time.

Industry workers in this fishery employ a fish excluder device to reduce the retention of sharks and other large species (Rester and Condrey, 1999). In addition, a recently introduced hose cage modification may prove to be effective in reducing shark bycatch. These devices vary in effectiveness and no standards exist for such bycatch reduction measures in this fishery. In addition, there are currently no reporting requirements for takes of sharks in the menhaden purse seine fishery. Recent estimates of large coastal sharks discarded in this fishery range from 24,000 – 26,200 individuals (Cortés, 2005).

3.9.11.3 Shrimp Trawl Fishery

Shark bycatch in the shrimp trawl fishery consists mainly of sharks too small to be highly valued in the commercial market. As a result, few sharks are retained. Bycatch estimates of LCS in this fishery have been generated and were reviewed in the most recent LCS assessment (Cortés *et al.* 2002). Cortés (2002) estimated bycatch in the south Atlantic shrimp trawl fishery (North Carolina, South Carolina, Georgia, and Florida) for Atlantic sharpnose, bonnethead, and finetooth sharks based on expansion by fishing effort. Annual estimates of bycatch ranged from zero to almost six million sharks from 1992 to 1997 (Table 3.109) (Cortés, 2002). The 2002 SCS assessment included estimates of SCS bycatch because they were likely to exceed the actual landings for those species (Cortés, 2002). However, requirements for turtle excluder devices in this fishery have probably resulted in less bycatch because sharks are physically excluded from entering the gear.

Table 3.109 Expanded estimates of bycatch (number of fish) of bonnethead, Atlantic sharpnose, and finetooth sharks in the U.S. south Atlantic shrimp trawl fishery based on within stratum expansion by effort as trips by fishing year. Source: Cortés, 2002.

| Year | Estimated number of trips | Bonnethead | Atlantic sharpnose | Finetooth |
|---------|---------------------------|------------|--------------------|-----------|
| 1992-93 | 20,181 | 53,674 | 1,753,829 | 0 |
| 1993-94 | 20,445 | 0 | 5,873,333 | 447,495 |
| 1995-96 | 23,333 | 34,378 | 0 | 0 |
| 1996-97 | 19,320 | 38,517 | 358,457 | 0 |

Bycatch of the SCS complex in the Gulf of Mexico shrimp trawl fishery consists mainly of Atlantic sharpnose and bonnethead sharks (Cortés, 2002). Estimates of the bycatch of SCS in this fishery ranged from 3.2 to 1.3 million sharks per year from 1972 - 2000 (Table 3.110). Finetooth sharks were added as a select species for the shrimp trawl observer program in 2005 to help determine if this fishery has bycatch of finetooth sharks. Prior to this, data on finetooth shark bycatch was not recorded.

Table 3.110 Estimates (in thousands of individuals and pounds dressed weight) of the bycatch of small coastal sharks (as a complex and by species) in the shrimp trawl fishery operating in the Gulf of Mexico. Source: S. Nichols, NMFS Pascagoula Lab., pers. comm. as cited in Cortés, 2002.

| Year | All SCS (numbers) | All SCS (lb dw) | Atlantic sharpnose (numbers) | Atlantic sharpnose (lb dw) | Bonnethead (numbers) | Bonnethead (lb dw) |
|------|-------------------|-----------------|------------------------------|----------------------------|----------------------|--------------------|
| 1972 | 1,575 | 1,500 | 1,051 | 1,010 | 468 | 371 |
| 1973 | 1,579 | 1,580 | 831 | 842 | 620 | 525 |
| 1974 | 1,903 | 1,899 | 1,508 | 1,407 | 420 | 400 |
| 1975 | 2,055 | 1,997 | 1,587 | 1,473 | 347 | 313 |
| 1976 | 2,193 | 2,209 | 1,706 | 1,632 | 456 | 436 |
| 1977 | 2,187 | 2,142 | 1,507 | 1,457 | 520 | 427 |
| 1978 | 2,223 | 2,156 | 1,799 | 1,625 | 367 | 370 |
| 1979 | 2,829 | 2,754 | 2,384 | 2,254 | 388 | 341 |
| 1980 | 2,591 | 2,436 | 2,148 | 1,933 | 368 | 330 |

| Year | All SCS (numbers) | All SCS (lb dw) | Atlantic sharpnose (numbers) | Atlantic sharpnose (lb dw) | Bonnethead (numbers) | Bonnethead (lb dw) |
|------|-------------------|-----------------|------------------------------|----------------------------|----------------------|--------------------|
| 1981 | 2,081 | 2,007 | 1,830 | 1,649 | 242 | 252 |
| 1982 | 2,281 | 2,203 | 1,850 | 1,661 | 302 | 310 |
| 1983 | 2,138 | 2,193 | 1,856 | 1,821 | 255 | 250 |
| 1984 | 1,551 | 1,509 | 1,277 | 1,191 | 232 | 230 |
| 1985 | 1,767 | 1,796 | 1,451 | 1,442 | 260 | 249 |
| 1986 | 2,222 | 2,234 | 1,464 | 1,519 | 624 | 506 |
| 1987 | 3,216 | 3,123 | 2,636 | 2,392 | 516 | 519 |
| 1988 | 2,535 | 2,272 | 1,959 | 1,664 | 421 | 404 |
| 1989 | 2,116 | 2,216 | 1,632 | 1,713 | 336 | 286 |
| 1990 | 1,981 | 2,069 | 1,503 | 1,507 | 489 | 431 |
| 1991 | 2,350 | 2,322 | 1,784 | 1,756 | 365 | 323 |
| 1992 | 2,759 | 2,879 | 1,968 | 1,997 | 494 | 459 |
| 1993 | 2,226 | 2,213 | 1,710 | 1,626 | 416 | 400 |
| 1994 | 2,197 | 2,243 | 1,586 | 1,591 | 395 | 347 |
| 1995 | 2,401 | 2,362 | 1,806 | 1,636 | 311 | 299 |
| 1996 | 2,923 | 2,457 | 2,069 | 1,644 | 519 | 428 |
| 1997 | 2,883 | 2,926 | 1,732 | 1,681 | 486 | 439 |
| 1998 | 2,657 | 2,410 | 1,662 | 1,494 | 376 | 329 |
| 1999 | 1,282 | 1,257 | 906 | 848 | 218 | 198 |
| 2000 | 1,282 | 1,257 | 906 | 848 | 218 | 198 |

3.9.11.4 Southeast Gillnet Fishery

Gillnet fisheries operating in the south Atlantic, particularly off Florida, have been shown to incidentally take various species of sharks (see Section 4.2.2 for full description). These fisheries are primarily targeting Spanish mackerel and whiting (kingfish). Vessels participating in these fisheries either have a mackerel permit and a commercial shark permit which allows retention and landing of sharks, or may be operating in an unmanaged fishery (whiting) that requires no permit at this time. Vessels operating in these fisheries and holding a Federal permit are required to file trip reports (Coastal Fisheries Logbook). Preliminary data from observed gillnet trips not targeting sharks indicate that Atlantic sharpnose, bonnethead, blacktip, finetooth, scalloped hammerhead, blacknose, spinner and tiger sharks were caught (Carlson and Bethea, 2006). Expanding observer coverage in South Atlantic gillnet fisheries that are landing sharks could provide additional data on the extent of the bycatch of HMS species in these fisheries and thereby improving the stock assessments for these species. NMFS will attempt to continue expanded observer coverage in these fisheries as resources allow.

3.9.12 Effectiveness of Existing Time/Area Closures in Reducing Bycatch

During the past several years, NMFS has implemented several time/area closures in the Atlantic Ocean and Gulf of Mexico for the PLL fishery to reduce discards and bycatch of a number of species (juvenile swordfish, bluefin tuna, billfish, sea turtles, etc.). Analyses of the effectiveness of these closures are included in Section 4.1.2 and summarized here.

The combined effects of the individual area closures were examined by comparing the 2001 – 2003 catch and discards to the averages for 1997 – 1999 throughout the entire U.S. Atlantic fishery. Changes in the numbers of fish caught and discarded were compared to the predicted values from Regulatory Amendment 1 to the 1999 FMP (NMFS, 2000). Overall effort, expressed as the number of hooks set, declined by 15 percent between the two time periods. Declines were noted for both the numbers of kept and discards of all species examined including swordfish, tunas, sharks, billfish, and sea turtles. The number of reported discards of swordfish, bluefin and bigeye tuna, pelagic sharks, dolphin, wahoo, blue and white marlin, sailfish, and spearfish all declined by more than 30 percent. The reported discards of blue and white marlin declined by about 50 percent and sailfish discards declined by almost 75 percent. The reported number of sea turtles caught and released declined by almost 28 percent.

The reported declines in swordfish kept and discarded, large coastal sharks kept and discarded, and dolphin kept were similar to the predicted values developed for Regulatory Amendment 1. Reported discards of bluefin tuna, pelagic sharks, all billfish (with the exception of spearfish for which no predicted change was developed in Regulatory Amendment 1), and total BAYS tunas kept all declined more than the predicted values.

3.9.12.1 Prohibition of Live Bait in the Gulf of Mexico

Regulatory Amendment 1 to the 1999 FMP also prohibited the use of live bait on pelagic longline gear in the Gulf of Mexico due to concerns over the incidental bycatch of billfish. Based on logbook data, the number of hooks reported set with live bait or a combination of live and dead bait in the Gulf of Mexico decreased from 22.7 percent in 2000, to less than 0.1 percent in 2003 (Table 3.111). However, the number of hooks reported set with no bait type specified increased from zero in 1999 – 2001 to 3.7 percent in 2003, but declined to less than one percent in 2004. Also, the reported number of hooks set in the Gulf of Mexico has increased in recent years. The reported effort in 2004 represents an increase of 21.8 percent from 2000. NMFS will continue to analyze the effectiveness of the live bait prohibition in the Gulf of Mexico pelagic longline fishery.

Table 3.111 Comparison of the number of hooks reported set in the Gulf of Mexico with dead or live bait, or a combination of both baits, 1999-2004 (numbers in parentheses are percent of the total number of hooks set in the Gulf of Mexico). Source: PLL Logbook data.

| Bait Type | Year | | | | | |
|-------------|-----------|-----------|-----------|-----------|-----------|-----------|
| | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Dead | 2,335,845 | 2,598,083 | 3,176,493 | 3,494,577 | 3,668,687 | 4,089,018 |
| | (70.9) | (77.3) | (98.3) | (97.6) | (96.3) | (99.8) |
| Live | 372,162 | 259,256 | 5,500 | 750 | 1,514 | 0 |
| | (11.3) | (7.7) | (0.2) | (>0.1) | (>0.1) | (0) |
| Both | 584,473 | 505,582 | 49,250 | 13,115 | 1,000 | 0 |
| | (17.8) | (15.0) | (1.5) | (0.4) | (>0.1) | (0) |
| Unknown | 0 | 0 | 0 | 71,011 | 139,569 | 8,000 |
| | (0) | (0) | (0) | (2.0) | (3.6) | (0.2) |
| Total hooks | 3,292,480 | 3,362,921 | 3,231,243 | 3,579,453 | 3,810,770 | 4,097,018 |

3.9.12.2 Conclusions

The time/area closures and live bait prohibition in the Gulf of Mexico have been relatively successful at reducing bycatch in the HMS pelagic longline fishery. Reported discards of all species of billfish have declined (Table 4.8). The reported number of turtles caught, swordfish discarded, bluefin tuna discarded, and pelagic and large coastal shark discards have also declined. However, the reported number of target species kept, such as swordfish and BAYS tuna, have decreased more than was predicted. This is contrary to the other objective of the time/area closures, which was to minimize the reduction in target catch. NMFS will continue to analyze these measures as additional data become available and examine the effects of ongoing regulatory change over time.

3.9.13 Evaluation of Other Bycatch Reduction Measures

NMFS continues to monitor and evaluate bycatch in HMS fisheries through direct enumeration (pelagic and bottom longline observer programs, shark gillnet observer program), evaluation of management measures (closed areas, trip limits, gear modifications, etc.), and vessel monitoring systems (VMS).

The following section provides a review of additional management measures or issues that may address bycatch reduction:

- Atlantic Large Whale Take Reduction Plan (ALWTRP) regulations

Observers were placed on shark gillnet vessels during the 2005 season and covered 33 strikenet and 31 driftnet sets during and outside of right whale calving season (Carlson and Bethea, 2006). In addition, observers were placed on vessels fishing with sink gillnets as part of a pilot program and observed 88 sets. Protected species interactions occurred with all three types of gear. One leatherback and four loggerhead sea turtles were observed with all but one loggerhead released alive. One loggerhead was observed taken by strikenet and one with sink net. Both were released alive. No marine mammals or smalltooth sawfish were observed taken. NMFS has published a proposed rule to modify the right whale areas and the time periods when 100 percent observer coverage would be required (70 FR 35894; 21 June 2005).

- Atlantic Bottlenose Dolphin Take Reduction Team

Due to the observed takes of Atlantic bottlenose dolphin in the shark drift gillnet fishery, representatives of the fishery have been included in the Atlantic Bottlenose Dolphin Take Reduction Team. The Team held seven meetings during 2001 – 2003 and developed a set of recommendations which formed the basis for a TRP. NMFS published a proposed rule on November 10, 2004, to implement the TRP (69 FR 65127), and a final rule was published on April 26, 2006 (71 FR 24776). Included in the final rule are: 1) effort reduction measures; 2) gear proximity rules; 3) gear or gear deployment modifications; 4) fishermen training; and 5) outreach and education measures to reduce dolphin bycatch below the stock's potential biological removal level. The final rule also includes time/area closures and size restrictions on large mesh fisheries to reduce incidental takes of endangered and threatened sea turtles as well as to reduce dolphin bycatch.

- MMPA List of Fisheries Update/Stock Assessment

NMFS continues to update the MMPA List of Fisheries and the 2005 final list is available at <http://www.nmfs.noaa.gov/pr/pdfs/fr/fr71-247.pdf>. The proposed 2006 List of Fisheries published on April 24, 2006 (71 FR 20941). Final 2005 marine mammal stock assessment reports and draft 2006 reports are also available. See Section 3.9.9.1 for information on obtaining these reports.

- Atlantic Offshore Cetacean Take Reduction Team (AOCTRT)

NMFS has disbanded the AOCTRT due to the fact that two of the three fisheries addressed by the AOCTRT were closed by fishery management actions, leaving only the pelagic longline fishery in operation. This fishery has been the subject of recent fishery management actions and increased observer coverage related to bycatch. As discussed below, a take reduction team specific to the pelagic longline fishery has been formed.

- Pelagic Longline Take Reduction Team (PLTRT)

NMFS appointed a PLTRT in June 2005, to address marine mammal interactions in the longline fishery, specifically pilot whales. As required by the MMPA, the PLTRT must develop a TRP within eleven months. The PLTRT has met four times since and a draft TRP should be available shortly. NMFS intends to continue reviewing the fishery and any marine mammal interactions to determine if additional take reduction measures are necessary.

- Observer coverage of shark drift gillnet fleet

On March 30, 2001, NMFS reduced the level of observer coverage required in the shark drift gillnet fishery from 100 percent year-round to 100 percent during right whale calving season and to a statistically significant level during the rest of the year. Recent scientific analyses indicate that a 33.8 percent level of coverage is statistically significant and adequate to provide reasonable estimates of sea turtle and marine mammal takes outside of the right whale calving season. The level of observer coverage necessary will be re-evaluated annually and adjusted accordingly. During the 2005 season, 33 strikenet and 31 driftnet sets were observed (Carlson and Bethea, 2006). No interactions with marine mammals were observed in either drift gillnet or strikenet sets. Four loggerhead sea turtles were observed caught in drift gillnet sets (three released alive, one released injured and assumed to be dead). One leatherback sea turtle was caught in drift gillnet gear and released alive. NMFS began placing observers on vessels with directed shark permits that were targeting species other than sharks in 2005. Management options to address issues in the shark drift gillnet fishery, particularly overfishing of finetooth sharks, are considered in this document.

- Vessel monitoring systems in the pelagic longline fishery

NMFS adopted fleet-wide VMS requirements in the Atlantic pelagic longline fishery in May 1999, but was subsequently sued by an industry group. By order dated September 25, 2000,

the U.S. District Court for the District of Columbia prevented any immediate implementation of VMS in the Atlantic pelagic longline fishery, and instructed to “undertake further consideration of the scope of the [VMS] requirements in light of any attendant relevant conservation benefits.” On October 15, 2002, the court issued a final order that denied plaintiff’s objections to the VMS regulations. Based on this ruling, NMFS implemented the VMS requirement in September 2003.

- Vessel monitoring systems in other HMS fisheries

Starting in 2004, gillnet vessels with a directed shark permit and gillnet gear onboard were required to install and operate a VMS unit during the Right Whale Calving Season (November 15 – March 31). In an attempt to better quantify bycatch, NMFS will require all vessels with Limited Access Shark Permits to participate in the Directed Shark Gillnet Observer program. Directed shark bottom longline vessels located between 33° N and 36° 30’ N need to install and operate a VMS unit from January through July.

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