

Draft Outline for CCC Guidance on Allocation Review

- A. Adaptive management as a basis for review of management decisions
 - 1. Statement on adaptive management and overarching need to review goals, objectives, and outcomes of management decisions
 - 2. Discussion of allocation as a management tool to meet national standards and other policy mandates, goals, and objectives
 - a. Evaluation of allocation in the context of adaptive management
- B. Criteria for initiating review of allocation decisions
 - 1. Statement on the need to have flexible criteria for initiating review of goals, objectives, and outcomes related to allocation decisions
 - 2. Performance-based criteria
 - a. Statement on consideration of national standards, policy mandates, and management goals and objectives as performance metrics
 - i. Economic indicators - comments
 - ii. Social indicators - comments
 - iii. Biological indicators - comments
 - iv. Ecological indicators - comments
 - b. Recommendations on using performance-based criteria
 - 3. Time-based criteria
 - a. Use of MSA-mandated 5-year review and applicability of other scheduled evaluations and sunsets (i.e., EFH review, ten-year LAPP duration) for allocation decision review - comments
 - b. Impacts of "date certain" review on councils' overall work plans and management priorities - comments
 - c. Data-collection and evaluation as a consideration in the development of time-based criteria - comments
 - d. Recommendations on using time-based criteria
 - 4. Public interest-based criteria
 - a. Statement on the value of public access to the council process, effective stakeholder outreach and engagement, and transparency in allocation decision-making

- b. Statement on consideration of public interest as a criterion for initiating review of goals, objectives, and outcomes of allocation decisions
 - i. Ongoing public input - comments
 - ii. Petitions by stakeholders - comments
 - iii. Requests from state, regional, or federal government - comments
 - c. Recommendation on the use of public interest-based criteria for initiating review of goals, objectives, and outcomes of allocation decisions
- C. Issues for consideration in the review of goals, objectives, and outcomes of allocation decisions
- 1. Guiding Principles
 - a. Policy
 - i. National Standards - comments
 - ii. NOAA Fisheries policy guidance - comments
 - iii. Councils' strategic vision and management priorities - comments
 - iv. Purpose and need - comments
 - b. Recommendations on policy-driven guiding principles for review of goals, objectives, and outcomes of allocation decisions
 - c. *Technical (to be addressed by scientific/technical team)*
 - i. *Reducing and accounting for scientific and management uncertainty*
 - ii. *Treatment of data (trend vs. point estimate)*
 - iii. *Appropriate use of socio-economic data and models*
 - iv. *Design and use of economic data reports*
 - v. *Use of proxy data in economic modeling and analysis*
 - vi. *Development of decision tools to reduce analytical burden*
 - 2. Factors
 - a. Policy
 - i. Optimization of allocations – comments
 - ii. Fishery performance – comments
 - ii. Individual, local, and regional participation in fishery - comments
 - iii. Social and economic impacts - comments
 - iv. Impacts on other fisheries - comments
 - v. Impacts on the ecosystem - comments
 - vi. Durability of allocation - comments
 - vii. Economic and social stability and impacts of re-allocation - comments
 - viii. Current and historical dependence on fishery - comments

- b. Recommendations on policy-driven factors for consideration in the review of goals, objectives, and outcomes of allocation decisions

D. Decision support in review of goals, objectives, and outcomes of allocation decisions (technical)

- 1. Data required for use in allocation decisions*
- 2. Applicable models and analyses for use in support for allocation decisions*
- 3. Strategies for dealing with data limitations*
- 4. Potential for improvement in decision support for allocation decisions*

E. Summary

DRAFT