

Please provide the following information, and submit to the NOAA DM Plan Repository.

### Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

## 1. General Description of Data to be Managed

### 1.1. Name of the Data, data collection Project, or data-producing Program:

Observer Preliminary Database (OBPRELIM)

### 1.2. Summary description of the data:

Paper logs are the primary data collection tool used by observers of the Northeast Fisheries Observer Program and Industry Funded Scallop Program deployed on commercial fishing vessels. Data collected on paper logs are used to enter critical data fields into a web-based data entry program, OBPRELIM, and loads data directly into Oracle tables. OBPRELIM is used to enter trip, incidental take, and haul level data for in-season quota monitored fisheries and discard log data for the herring and longfin squid fisheries to track slippage events. OBPRELIM contains built in audit checks to increase data quality. OBPRELIM is also used for post-entry Fisheries Sampling Branch processing and verification.

### 1.3. Is this a one-time data collection, or an ongoing series of measurements?

Ongoing series of measurements

### 1.4. Actual or planned temporal coverage of the data:

2013-05-01 to Present

### 1.5. Actual or planned geographic coverage of the data:

W: -77, E: -67, N: 45, S: 34

federal and state waters from Maine to North Carolina

### 1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)

Table (digital)

### 1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

### 1.8. If data are from a NOAA Observing System of Record, indicate name of system:

**1.8.1. If data are from another observing system, please specify:****2. Point of Contact for this Data Management Plan (author or maintainer)****2.1. Name:**

Chris Tholke

**2.2. Title:**

Metadata Contact

**2.3. Affiliation or facility:****2.4. E-mail address:**

chris.tholke@noaa.gov

**2.5. Phone number:**

(508) 495-2024

**3. Responsible Party for Data Management**

*Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.*

**3.1. Name:**

Chris Tholke

**3.2. Title:**

Data Steward

**4. Resources**

*Programs must identify resources within their own budget for managing the data they produce.*

**4.1. Have resources for management of these data been identified?**

Yes

**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):**

Unknown

**5. Data Lineage and Quality**

*NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.*

**5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible**

*(describe or provide URL of description):*

Lineage Statement:

Fishery observers and Industry funded scallop observers record trip, haul, catch and incidental take data on observed fishing trips using defined protocols. Preliminary observer data is entered electronically, complete observer data is entered on paper logs. Observers are debriefed by data editors.

**5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:**

**5.2. Quality control procedures employed (describe or provide URL of description):**

During data entry, data quality checks are automatically performed on the data. These checks include comparisons between data fields and restricting data entry choices with radio buttons or drop down menus containing only valid data. Upon receipt of the full data set, the data goes through extensive data auditing.

## **6. Data Documentation**

*The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.*

**6.1. Does metadata comply with EDMC Data Documentation directive?**

No

**6.1.1. If metadata are non-existent or non-compliant, please explain:**

Missing/invalid information:

- 1.7. Data collection method(s)

**6.2. Name of organization or facility providing metadata hosting:**

NMFS Office of Science and Technology

**6.2.1. If service is needed for metadata hosting, please indicate:**

**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/24507>

**6.4. Process for producing and maintaining metadata**

*(describe or provide URL of description):*

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: [https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC\\_PD-Data\\_Documentation\\_v1.pdf](https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf)

## **7. Data Access**

*NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance,*

*recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.*

**7.1. Do these data comply with the Data Access directive?**

Yes

**7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?**

**7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:**

**7.2. Name of organization of facility providing data access:**

Northeast Fisheries Science Center (NEFSC)

**7.2.1. If data hosting service is needed, please indicate:**

**7.2.2. URL of data access service, if known:**

**7.3. Data access methods or services offered:**

NEFSC Data Access Procedure:

1. Formal request in writing usually to the data owner/contact or Center Director;
2. Requester is contacted by data owner to review and verify the request content and details for data delivery options.
3. If data is confidential then owner will determine if the data may be released to the requester;
4. If data can be released, the data is downloaded and packaged for delivery electronically; or the requester may be directed to where the data is available online.

**7.4. Approximate delay between data collection and dissemination:**

Approximately 3 days to 1 week between an observer submitting data on OBPRELIM and the data being available to end users.

**7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:**

**8. Data Preservation and Protection**

*The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.*

**8.1. Actual or planned long-term data archive location:**

*(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To*

*Be Determined, Unable to Archive, or No Archiving Intended)*

OTHER

**8.1.1. If World Data Center or Other, specify:**

**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:**

**8.2. Data storage facility prior to being sent to an archive facility (if any):**

Northeast Fisheries Science Center - Woods Hole, MA

**8.3. Approximate delay between data collection and submission to an archive facility:**

Unknown

**8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

*Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection*

Archival of source data preserving unaltered collected data, Scheduled backups, Remote storage backups, Password protection

## **9. Additional Line Office or Staff Office Questions**

*Line and Staff Offices may extend this template by inserting additional questions in this section.*