

Please provide the following information, and submit to the NOAA DM Plan Repository.

### Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

## 1. General Description of Data to be Managed

### 1.1. Name of the Data, data collection Project, or data-producing Program:

Quota Prices - Analysis and Reporting on Pacific Groundfish IFQ Quota Market

### 1.2. Summary description of the data:

The transfer of quota pounds and quota shares between participants in the Pacific Groundfish IFQ plays a critical role in facilitating fuller and more efficient utilization of groundfish resources, a primary goal of the IFQ program. This project provides ongoing analysis of quota market activity and will produce annual reports with information on market activity, market structure, prices and price dispersion, and an evaluation of market performance and potential failings. This is intended to support NMFS and PFMC policy and technical actions that might be considered to improve market performance. The analysis and annual reports produced will also provide stakeholders with information about market activity and quota values.

Quota prices and transactions counts.

### 1.3. Is this a one-time data collection, or an ongoing series of measurements?

Ongoing series of measurements

### 1.4. Actual or planned temporal coverage of the data:

2015-10-01 to Present

### 1.5. Actual or planned geographic coverage of the data:

W: -122.3062, E: -122.3062, N: 47.6449, S: 47.6449

Montlake Laboratory

### 1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)

Table (digital)

### 1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

**1.8. If data are from a NOAA Observing System of Record, indicate name of system:****1.8.1. If data are from another observing system, please specify:****2. Point of Contact for this Data Management Plan (author or maintainer)****2.1. Name:**

Northwest Fisheries Science Center (NWFSC)

**2.2. Title:**

Metadata Contact

**2.3. Affiliation or facility:**

Northwest Fisheries Science Center (NWFSC)

**2.4. E-mail address:**

nmfs.nwfsc.metadata@noaa.gov

**2.5. Phone number:**

206-860-3200

**3. Responsible Party for Data Management**

*Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.*

**3.1. Name:**

Dan S Holland

**3.2. Title:**

Data Steward

**4. Resources**

*Programs must identify resources within their own budget for managing the data they produce.*

**4.1. Have resources for management of these data been identified?**

Yes

**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):**

5

**5. Data Lineage and Quality**

*NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.*

**5.1. Processing workflow of the data from collection or acquisition to making it publicly**

**accessible**

*(describe or provide URL of description):*

Lineage Statement:

Processing consists of aggregation and statistical analysis of quota pounds transfers.

**5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:**

**5.2. Quality control procedures employed (describe or provide URL of description):**

Remove price outliers. These data were collected and processed in accordance with established protocols and best practices under the direction of the project's Principal Investigator. Contact the dataset Data Manager for full QA/QC methodology.

**6. Data Documentation**

*The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.*

**6.1. Does metadata comply with EDMC Data Documentation directive?**

No

**6.1.1. If metadata are non-existent or non-compliant, please explain:**

Missing/invalid information:

- 1.7. Data collection method(s)

**6.2. Name of organization or facility providing metadata hosting:**

NMFS Office of Science and Technology

**6.2.1. If service is needed for metadata hosting, please indicate:****6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/30847>

**6.4. Process for producing and maintaining metadata**

*(describe or provide URL of description):*

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: [https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC\\_PD-Data\\_Documentation\\_v1.pdf](https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf)

**7. Data Access**

*NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance,*

*recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.*

**7.1. Do these data comply with the Data Access directive?**

No

**7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?**

No

**7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:**

Public access is limited to prices of quota stocks with at least three unique buyers and sellers.

**7.2. Name of organization of facility providing data access:**

Northwest Fisheries Science Center (NWFSC)

**7.2.1. If data hosting service is needed, please indicate:**

No

**7.2.2. URL of data access service, if known:**

<https://www.webapps.nwfsc.noaa.gov/apex/ifaq/f?p=155:25>

[https://www.webapps.nwfsc.noaa.gov/apex/parrdata/inventory/tables/table/quota\\_prices](https://www.webapps.nwfsc.noaa.gov/apex/parrdata/inventory/tables/table/quota_prices)

**7.3. Data access methods or services offered:**

At this time, contact the Data Manager for information on obtaining access to this data set. In the near future, the NWFSC will strive to provide all data resources as a web service in order to meet the NOAA Data Access Policy Directive (<https://nosc.noaa.gov/EDMC/PD.DA.php>).

**7.4. Approximate delay between data collection and dissemination:**

90

**7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:**

Access to this data is delayed to complete manual processing and quality control procedures.

**8. Data Preservation and Protection**

*The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.*

**8.1. Actual or planned long-term data archive location:**

*(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)*

NCEI\_MD

**8.1.1. If World Data Center or Other, specify:**

**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:**

**8.2. Data storage facility prior to being sent to an archive facility (if any):**

Northwest Fisheries Science Center - Seattle, WA

**8.3. Approximate delay between data collection and submission to an archive facility:**

365

**8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

*Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection*

The Northwest Fisheries Science Center facilitates backup and recovery of all data and IT components which are managed by IT Operations through the capture of static (point-in-time) backup data to physical media. Once data is captured to physical media (every 1-3 days), a duplicate is made and routinely (weekly) transported to an offsite archive facility where it is maintained throughout the data's applicable life-cycle.

## **9. Additional Line Office or Staff Office Questions**

*Line and Staff Offices may extend this template by inserting additional questions in this section.*