

*Please provide the following information, and submit to the NOAA DM Plan Repository.*

### **Reference to Master DM Plan (if applicable)**

*As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.*

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

## **1. General Description of Data to be Managed**

### **1.1. Name of the Data, data collection Project, or data-producing Program:**

First Receiver and Shorebased Processor Data - Economic Data Collection for Monitoring the Economic Effects of the West Coast Groundfish Trawl Rationalization Program

### **1.2. Summary description of the data:**

This project was initiated in response to regulation 50 CFR 660.114, which mandates that economic data be collected from every participant in the trawl rationalization program. The data are collected annually from catcher vessels, catcher processors, motherships, first receivers, and shorebased processors through paper-based forms. The four forms (specific to entity type) are mailed annually in May, and collect data about the fishing, buying, and processing information from the previous year. The entity must submit their data by September 1, 2012 in order to renew their limited entry trawl permits, reissue their quota share, vessel accounts, and receive their first receiver site licenses. The data, reports, tech memos, and academic papers are used by Northwest Region staff, Pacific Fishery Management Council (PFMC), and headquarters staff to inform management decisions, and to monitor the effects of the program.

Cost earnings data for first receiver and shorebased processor data.

### **1.3. Is this a one-time data collection, or an ongoing series of measurements?**

Ongoing series of measurements

### **1.4. Actual or planned temporal coverage of the data:**

2010-01-01 to Present

### **1.5. Actual or planned geographic coverage of the data:**

W: -122.3062, E: -122.3062, N: 47.6449, S: 47.6449

Northwest Fisheries Science Center, Montlake

### **1.6. Type(s) of data:**

*(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)*

Table (digital)

### **1.7. Data collection method(s):**

*(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)*

**1.8. If data are from a NOAA Observing System of Record, indicate name of system:**

**1.8.1. If data are from another observing system, please specify:**

**2. Point of Contact for this Data Management Plan (author or maintainer)**

**2.1. Name:**

Northwest Fisheries Science Center (NWFSC)

**2.2. Title:**

Metadata Contact

**2.3. Affiliation or facility:**

Northwest Fisheries Science Center (NWFSC)

**2.4. E-mail address:**

nmfs.nwfsc.metadata@noaa.gov

**2.5. Phone number:**

206-860-3200

**3. Responsible Party for Data Management**

*Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.*

**3.1. Name:**

Marie Guldin

**3.2. Title:**

Data Steward

**4. Resources**

*Programs must identify resources within their own budget for managing the data they produce.*

**4.1. Have resources for management of these data been identified?**

Yes

**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):**

20

**5. Data Lineage and Quality**

*NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality,*

*objectivity, utility, and integrity of information which it disseminates.*

**5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible**

*(describe or provide URL of description):*

Lineage Statement:

Fishermen enter their economic data into a web application

**5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:**

**5.2. Quality control procedures employed (describe or provide URL of description):**

A number of data validation checks are performed against the data as it is processed, such as confirming monetary values are numbers, double key entry in cases where office-based entry is done. These data were collected and processed in accordance with established protocols and best practices under the direction of the project's Principal Investigator. Contact the dataset Data Manager for full QA/QC methodology.

**6. Data Documentation**

*The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.*

**6.1. Does metadata comply with EDMC Data Documentation directive?**

No

**6.1.1. If metadata are non-existent or non-compliant, please explain:**

Missing/invalid information:

- 1.7. Data collection method(s)

**6.2. Name of organization or facility providing metadata hosting:**

NMFS Office of Science and Technology

**6.2.1. If service is needed for metadata hosting, please indicate:**

**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/30867>

**6.4. Process for producing and maintaining metadata**

*(describe or provide URL of description):*

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: [https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC\\_PD-Data\\_Documentation\\_v1.pdf](https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf)

**7. Data Access**

*NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.*

**7.1. Do these data comply with the Data Access directive?**

No

**7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?**

Yes

**7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:**

Oracle database authentication

**7.2. Name of organization of facility providing data access:**

Northwest Fisheries Science Center (NWFSC)

**7.2.1. If data hosting service is needed, please indicate:**

No

**7.2.2. URL of data access service, if known:**

**7.3. Data access methods or services offered:**

Users must submit a data request to the NWFSC/FRAM ESSR program office. The data is confidential so not generally available to outside users.

**7.4. Approximate delay between data collection and dissemination:**

60

**7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:**

QA/QC on the data and resultant reports is performed

**8. Data Preservation and Protection**

*The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.*

**8.1. Actual or planned long-term data archive location:**

*(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)*

NCEI\_MD

**8.1.1. If World Data Center or Other, specify:**

**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:**

**8.2. Data storage facility prior to being sent to an archive facility (if any):**

Northwest Fisheries Science Center - Seattle, WA

**8.3. Approximate delay between data collection and submission to an archive facility:**

365

**8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

*Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection*

The Northwest Fisheries Science Center facilitates backup and recovery of all data and IT components which are managed by IT Operations through the capture of static (point-in-time) backup data to physical media. Once data is captured to physical media (every 1-3 days), a duplicate is made and routinely (weekly) transported to an offsite archive facility where it is maintained throughout the data's applicable life-cycle.

## **9. Additional Line Office or Staff Office Questions**

*Line and Staff Offices may extend this template by inserting additional questions in this section.*