

Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed

1.1. Name of the Data, data collection Project, or data-producing Program:

TTVP Retail Market Spot Check Audit Database

1.2. Summary description of the data:

The data set contains information on retail market spot check audit purchases of tuna in airtight containers. Data are available from May 2001 to present with new data appended annually. Information includes the date, location, product type, store information where random spot check purchases were made throughout the United States and Puerto Rico. Information on purchased product allows the manufacturer, distributor or importer to track the tuna back to harvest and verify the dolphin-safe status of the tuna product.

1.3. Is this a one-time data collection, or an ongoing series of measurements?

Ongoing series of measurements

1.4. Actual or planned temporal coverage of the data:

2001-05 to Present

1.5. Actual or planned geographic coverage of the data:

United States and Puerto Rico

1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)

Table (digital)

1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

1.8. If data are from a NOAA Observing System of Record, indicate name of system:

1.8.1. If data are from another observing system, please specify:

2. Point of Contact for this Data Management Plan (author or maintainer)**2.1. Name:**

William Jacobson

2.2. Title:

Metadata Contact

2.3. Affiliation or facility:**2.4. E-mail address:**

bill.jacobson@noaa.gov

2.5. Phone number:

562-980-4035

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.

3.1. Name:

William Jacobson

3.2. Title:

Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

4.1. Have resources for management of these data been identified?

Yes

4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):

Unknown

5. Data Lineage and Quality

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Lineage Statement:

Tuna in airtight containers is purchased from various retail market locations in the United States by TTVP staff. TTVP staff then request documentation to substantiate the

dolphin-safe label or for product not labeled as "dolphin safe" whether it was imported legally into the United States.

Process Steps:

- TTVP staff travel to a predetermined area within the 50 states and Puerto Rico and randomly purchase tuna product in airtight containers. (Citation: Dolphin-safe verification components)
- TTVP staff return purchased tuna product to the NMFS Long Beach office, where pertinent information about the purchase location and the product itself is entered into the database. (Citation: Dolphin-safe verification components)
- An official request is made by the Regional Administrator, West Coast Region, to the importer, distributor or manufacturer of the purchased tuna product, asking for the documentation that substantiates the dolphin-safe label. If no label is present, then documentation is requested that substantiates the legal importation or production. (Citation: Dolphin-safe verification components)
- Documentation received back from the importer, distributor or manufacturer is reviewed to validate the dolphin-safe claim shown on the purchased product or whether the purchase product meets other NMFS TTVP-related regulatory requirements. (Citation: Dolphin-safe verification components)
- Pertinent updates are made to TTVP web pages. (Citation: Dolphin-safe verification components)

5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

5.2. Quality control procedures employed (describe or provide URL of description):

Tuna product samples are purchased by the TTVP, in the event enforcement evidence is required, should there be a NMFS regulatory compliance issue.

6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

No

6.1.1. If metadata are non-existent or non-compliant, please explain:

Missing/invalid information:

- 1.7. Data collection method(s)
- 7.4. Approximate delay between data collection and dissemination

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/17224>

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

7.1. Do these data comply with the Data Access directive?

Yes

7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?**7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:****7.2. Name of organization of facility providing data access:****7.2.1. If data hosting service is needed, please indicate:**

NCEI will be used

7.2.2. URL of data access service, if known:**7.3. Data access methods or services offered:**

Contact NMFS West Coast Region, Sustainable Fisheries Division, Tuna Tracking and Verification Program: William Jacobson at 562-980-4035 or at bill.jacobson@noaa.gov.

7.4. Approximate delay between data collection and dissemination:**7.4.1. If delay is longer than latency of automated processing, indicate under what**

authority data access is delayed:

Manual input

8. Data Preservation and Protection

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)

TO_BE_DETERMINED

8.1.1. If World Data Center or Other, specify:**8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:****8.2. Data storage facility prior to being sent to an archive facility (if any):**

West Coast Regional Office - Long Beach, CA

West Coast Region, Long Beach, California office, Sustainable Fisheries Division, Tuna Tracking and Verification Program

8.3. Approximate delay between data collection and submission to an archive facility:

2 years

8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

Secured agency network server.

9. Additional Line Office or Staff Office Questions

Line and Staff Offices may extend this template by inserting additional questions in this section.