

Please provide the following information, and submit to the NOAA DM Plan Repository.

### Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

## 1. General Description of Data to be Managed

### 1.1. Name of the Data, data collection Project, or data-producing Program:

East Coast AIS Vessel Tracks 2011

### 1.2. Summary description of the data:

Automatic Identification Systems (AIS) are a navigation safety device that transmits and monitors the location and characteristics of many vessels in U.S. and international waters in real-time. In the U.S. the Coast Guard and industry collect AIS data, which can also be used for a variety of coastal planning purposes. NOAA and BOEM have worked jointly to re-task and make available some of the most important records from the U.S. Coast Guards national network of AIS receivers. Information such as location, time, ship type, length, width, and draft have been extracted from the raw data and prepared as track lines for analyses in desktop GIS software.

### 1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

### 1.4. Actual or planned temporal coverage of the data:

2011-01-01 to 2011-12-31

### 1.5. Actual or planned geographic coverage of the data:

W: -83.999994, E: -66.000002, N: 48.740183, S: -2.644679

### 1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)  
Map (digital)

### 1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

### 1.8. If data are from a NOAA Observing System of Record, indicate name of system:

**1.8.1. If data are from another observing system, please specify:**

**2. Point of Contact for this Data Management Plan (author or maintainer)**

**2.1. Name:**

NOAA Office for Coastal Management (NOAA/OCM)

**2.2. Title:**

Metadata Contact

**2.3. Affiliation or facility:**

NOAA Office for Coastal Management (NOAA/OCM)

**2.4. E-mail address:**

coastal.info@noaa.gov

**2.5. Phone number:**

(843) 740-1202

**3. Responsible Party for Data Management**

*Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.*

**3.1. Name:**

**3.2. Title:**

Data Steward

**4. Resources**

*Programs must identify resources within their own budget for managing the data they produce.*

**4.1. Have resources for management of these data been identified?**

**4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):**

**5. Data Lineage and Quality**

*NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.*

**5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible**

*(describe or provide URL of description):*

Process Steps:

- 2013-10-01 00:00:00 - 1 Download all 2011 geodatabases for UTM zones 17, 18, and

19 from <https://marinecadastre.gov/AIS/default.aspx> as well as the AIS Track Builder tool. 2 Create model for each of the UTM zones to run the track builder tool on each of the monthly Broadcast feature classes. Settings used for the tool was to break track lines using "Maximum Time and Distance", maximum time value = 30 and maximum distance value = 1. Also included both the Voyage and Vessel attributes. Ran Model for each UTM zone. 3 For months April through December in Zone 18 deleted data points from the Broadcast feature class falling outside the United States UTM 18. Used Esri's World UTM Grid from ArcGIS online for reference. 4 CLIP Broadcast points for each of these months that fell within the Esri's World UTM Grid for New York. Renamed these "Broadcast\_NY" for data sets not containing these points renamed to "Broadcast\_NonNY" 5 Create relationship classes between each of these new Broadcast\_NY and Broadcast\_NonNY with their respective Vessel and Voyage tables per month. 6 Create model to run the track builder tool for Zone 18's months April - December Broadcast\_NY and Broadcast\_NonNY feature classes. Using same settings as detailed in Process Step #2. 7 MERGE all Zones 18 NY and NonNY track lines together per month. 8 MERGE of all monthly track line feature classes for each of the UTM zones. Now have 3 feature classes representing total 2011 annual vessel traffic for each UTM Zone 17, 18, and 19. 9 Removal of superfluous fields 10 Create model to create max draught in meters field for annual feature class, calculate statistics to find the maximum draft value, create new field "maxDraught" in this statistics result intermediate feature class, add values to this new field using field calculator in expression: [max draught statistic] \*0.1. This calculation converts the draught values form 1/10th meter units to meter units. Join this field to the annual feature class based on the MMSI field. ( Citation: 2011 United States Automatic Identification System Database)

**5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:**

**5.2. Quality control procedures employed (describe or provide URL of description):**

## 6. Data Documentation

*The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.*

**6.1. Does metadata comply with EDMC Data Documentation directive?**

No

**6.1.1. If metadata are non-existent or non-compliant, please explain:**

Missing/invalid information:

- 1.7. Data collection method(s)
- 3.1. Responsible Party for Data Management

- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management
- 5.2. Quality control procedures employed
- 7.1. Do these data comply with the Data Access directive?
  - 7.1.1. If data are not available or has limitations, has a Waiver been filed?
  - 7.1.2. If there are limitations to data access, describe how data are protected
- 7.3. Data access methods or services offered
- 7.4. Approximate delay between data collection and dissemination
- 8.1. Actual or planned long-term data archive location
- 8.3. Approximate delay between data collection and submission to an archive facility
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

**6.2. Name of organization or facility providing metadata hosting:**

NMFS Office of Science and Technology

**6.2.1. If service is needed for metadata hosting, please indicate:**

**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/48880>

**6.4. Process for producing and maintaining metadata**

*(describe or provide URL of description):*

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: [https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC\\_PD-Data\\_Documentation\\_v1.pdf](https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf)

## 7. Data Access

*NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.*

**7.1. Do these data comply with the Data Access directive?**

**7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?**

**7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:**

**7.2. Name of organization of facility providing data access:**

NOAA Office for Coastal Management (NOAA/OCM)

**7.2.1. If data hosting service is needed, please indicate:****7.2.2. URL of data access service, if known:**

<https://marinecadastre.gov/data/>

<https://marinecadastre.gov/downloads/data/ais/ais2011/EastCoastVesselTracklines2011.zip>

**7.3. Data access methods or services offered:****7.4. Approximate delay between data collection and dissemination:**

**7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:**

**8. Data Preservation and Protection**

*The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.*

**8.1. Actual or planned long-term data archive location:**

*(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)*

**8.1.1. If World Data Center or Other, specify:****8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:****8.2. Data storage facility prior to being sent to an archive facility (if any):**

Office for Coastal Management - Charleston, SC

**8.3. Approximate delay between data collection and submission to an archive facility:****8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?**

*Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection*

**9. Additional Line Office or Staff Office Questions**

*Line and Staff Offices may extend this template by inserting additional questions in this section.*