

Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed

1.1. Name of the Data, data collection Project, or data-producing Program:

2018 FEMA Lidar DEM: Franklin, Fulton, Herkimer, Montgomery, and Saratoga Counties, NY

1.2. Summary description of the data:

Product: These are Digital Elevation Model (DEM) data for Upstate New York as part of the required deliverables for the New York FEMA 2018 LiDAR project. Class 2 (ground) lidar points in conjunction with the hydro breaklines were used to create a 1-meter hydro-flattened raster DEM.

Geographic Extent: Five counties in New York, covering approximately 1,935 total square miles.

Dataset Description: The New York FEMA 2017 LiDAR project called for the planning, acquisition, processing, and derivative products of lidar data to be collected at a nominal pulse spacing (NPS) of 0.71 meters. Project specifications are based on the U.S. Geological Survey National Geospatial Program Base LiDAR Specification, Version 1.3. LiDAR data were delivered as processed Classified LAS 1.4 files formatted to 2,477 individual 1,500-meter x 1,500-meter tiles, as tiled intensity imagery, and as tiled bare earth DEMs; all tiled to the same 1,500-meter x 1,500-meter schema. Continuous breaklines were produced in Esri file geodatabase format.

Ground Conditions: LiDAR was collected in spring of 2018, while no snow was on the ground and rivers were at or below normal levels. In order to post process the LiDAR data to meet task order specifications and meet ASPRS vertical accuracy guidelines, Quantum Spatial, Inc. utilized a total of 44 ground control points that were used to calibrate the LiDAR to known ground locations established throughout the project area. An additional 129 independent accuracy checkpoints, 71 in Bare Earth and Urban landcovers (71 NVA points), 58 in Tall Weeds categories (58 VVA points), were used to assess the vertical accuracy of the data. These checkpoints were not used to calibrate or post process the data.

The NOAA Office for Coastal Management (OCM) downloaded the 2477 DEM files from the New York State GIS site. The data were downloaded from this URL: <ftp://ftp.gis.ny.gov>.

gov/elevation/DEM/FEMA_FultonSaratogaHerkimerFranklin2017/. The data were processed to the Data Access Viewer (DAV) and http. In addition to these bare earth Digital Elevation Model (DEM) data, the lidar point data that these DEM data were created from, are also available. These data are available for custom download at the link provided in the URL section of this metadata record.

1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

1.4. Actual or planned temporal coverage of the data:

2018-05-06 to 2018-05-09

1.5. Actual or planned geographic coverage of the data:

W: -75.17140886, E: -73.92525473, N: 44.67069081, S: 42.94240939

1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)
Model (digital)

1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

1.8. If data are from a NOAA Observing System of Record, indicate name of system:

1.8.1. If data are from another observing system, please specify:

2. Point of Contact for this Data Management Plan (author or maintainer)

2.1. Name:

NOAA Office for Coastal Management (NOAA/OCM)

2.2. Title:

Metadata Contact

2.3. Affiliation or facility:

NOAA Office for Coastal Management (NOAA/OCM)

2.4. E-mail address:

coastal.info@noaa.gov

2.5. Phone number:

(843) 740-1202

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of

the data produced by their Program. Please indicate the responsible party below.

3.1. Name:

3.2. Title:

Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

4.1. Have resources for management of these data been identified?

4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):

5. Data Lineage and Quality

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Lineage Statement:

Data were collected and processed by Quantum Spatial, Inc. for FEMA. The data were downloaded from the New York State GIS ftp site by the NOAA Office for Coastal Management (OCM) where the data were processed to make it available for custom download from the Data Access Viewer (DAV) and bulk download from https.

Process Steps:

- The New York FEMA LiDAR project called for the planning, acquisition, processing, and derivative products of lidar data to be collected at a nominal pulse spacing (NPS) of 0.71 meters. Project specifications are based on the U.S. Geological Survey National Geospatial Program Base LiDAR Specification, Version 1.3. The data were developed based on a horizontal projection/datum of NAD83 (2011), State Plane New York East FIPS 3101, meters and vertical datum of NAVD88 (GEOID 12B), meters. LiDAR data were delivered as processed Classified LAS 1.4 files formatted to 2,477 individual 1,500-meter x 1,500-meter tiles, as tiled intensity imagery, and as tiled bare earth DEMs; all tiled to the same 1,500-meter x 1,500-meter schema. Continuous breaklines were produced in Esri file geodatabase format. Ground Conditions: LiDAR was collected in spring of 2018, while no snow was on the ground and rivers were at or below normal levels. In order to post process the LiDAR data to meet task order specifications and meet ASPRS vertical accuracy guidelines, Quantum Spatial, Inc. utilized a total of 44 ground control points that were used to calibrate the LiDAR to known ground locations established throughout

the project area. An additional 129 independent accuracy checkpoints, 71 in Bare Earth and Urban landcovers (71 NVA points), 58 in Tall Weeds categories (58 VVA points), were used to assess the vertical accuracy of the data. These checkpoints were not used to calibrate or post process the data

- 2018-01-01 00:00:00 - Hydro-Flattened Raster DEM Processing: Class 2 (Ground) LiDAR points in conjunction with the hydro-breaklines were used to create a 1-meter hydro-flattened raster DEM. Using automated scripting routines within ArcMap, an ERDAS Imagine .IMG file was created for each tile. Each surface was reviewed using Global Mapper to check for any surface anomalies or incorrect elevations found within the surface.

- 2021-06-11 00:00:00 - The NOAA Office for Coastal Management (OCM) downloaded 2477 digital elevation model (DEM) files in img format from the NY State GIS ftp site (ftp://ftp.gis.ny.gov/elevation/DEM/FEMA_FultonSaratogaHerkimerFranklin2017/) . The bare earth raster files were at a 1 m grid spacing. The data were in NY State Plane East NAD83 (2011), meters coordinates and NAVD88 (Geoid 12B) elevations in meters. OCM assigned the appropriate EPSG codes (Horiz - 6536, Vert - 5703) and copied the raster files to https for Digital Coast storage and provisioning purposes.

5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

5.2. Quality control procedures employed (describe or provide URL of description):

6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

No

6.1.1. If metadata are non-existent or non-compliant, please explain:

Missing/invalid information:

- 1.7. Data collection method(s)
- 3.1. Responsible Party for Data Management
- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management
- 5.2. Quality control procedures employed
- 7.1. Do these data comply with the Data Access directive?
- 7.1.1. If data are not available or has limitations, has a Waiver been filed?
- 7.1.2. If there are limitations to data access, describe how data are protected
- 7.4. Approximate delay between data collection and dissemination

- 8.1. Actual or planned long-term data archive location
- 8.3. Approximate delay between data collection and submission to an archive facility
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:**6.3. URL of metadata folder or data catalog, if known:**

<https://www.fisheries.noaa.gov/inport/item/64781>

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

7.1. Do these data comply with the Data Access directive?

7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?

7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:

7.2. Name of organization of facility providing data access:

NOAA Office for Coastal Management (NOAA/OCM)

7.2.1. If data hosting service is needed, please indicate:**7.2.2. URL of data access service, if known:**

<https://coast.noaa.gov/dataviewer/#/lidar/search/where:ID=9327/details/9327>

https://noaa-nos-coastal-lidar-pds.s3.us-east-1.amazonaws.com/dem/NY_Frank_Ful_Herk_Mont_Sara

7.3. Data access methods or services offered:

Data is available online for bulk and custom downloads.

7.4. Approximate delay between data collection and dissemination:

7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:

8. Data Preservation and Protection

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)

8.1.1. If World Data Center or Other, specify:

8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:

8.2. Data storage facility prior to being sent to an archive facility (if any):

Office for Coastal Management - Charleston, SC

8.3. Approximate delay between data collection and submission to an archive facility:

8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

9. Additional Line Office or Staff Office Questions

Line and Staff Offices may extend this template by inserting additional questions in this section.