Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed

1.1. Name of the Data, data collection Project, or data-producing Program:

GL_LAKE_ONTARIO_2023 ESI RESOURCE Polygons, Points

1.2. Summary description of the data:

These feature classes reside within the SOCECON Feature Data Set of the Lake Ontario 2023 ESI Geodatabase. They contain vector polygons and points representing Resource management human-use resource data for Lake Ontario and adjacent lands and waters. Vector polygons in this data set represent Designated Critical Habitats and essential habitats and vector points represent aquaculture sites, artificial reefs, recreational fishing locations, and water intakes for Lake Ontario.

The study area includes the United States portion of Lake Ontario, covering the islands in New York: Association Island, Bass Island, Calf Island, Carl Island, Cherry Island, Eagle Island, Fox Island, Galloo Islands, Grenadier Island, Horse Island, Hoveys Island, Six Point Town, and Stony Island. Major Lake Ontario bays mapped include: Black River Bay, Blind Sodus Bay, Braddock Bay, Chaumont Bay, Guffin Bay, Irondequoit Bay, Henderson Bay, Little Sodus Bay, Mexico Bay, Port Bay, Sawyer Bay, and Sodus Bay.

Object specific Type and Source information are stored in the related data tables, SOC_DAT and SOURCES (described below). These are stand-alone tables within the Geodatabase, designed to be used in conjunction with these spatial data layers.

This data set is a portion of the ESI data for the Lake Ontario System. As a whole, the ESI data characterize the marine and coastal environments and wildlife by their sensitivity to spilled oil, and include information for three main components: shoreline habitats, sensitive biological resources, and human-use resources.

1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

1.4. Actual or planned temporal coverage of the data:

2011 to 2023

1.5. Actual or planned geographic coverage of the data:

W: -79.125, E: -76, N: 44.125, S: 43.125

Bounding box for the Great Lakes Lake Ontario study region.

1.6. Type(s) of data:
(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.)
Map (digital)

1.7. Data collection method(s):
(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys, enforcement activities, numerical model, etc.)

1.8. If data are from a NOAA Observing System of Record, indicate name of system:

1.8.1. If data are from another observing system, please specify:

2. Point of Contact for this Data Management Plan (author or maintainer)

2.1. Name:
ESI Program Manager

2.2. Title:
Metadata Contact

2.3. Affiliation or facility:

2.4. E-mail address:
orr.esi@noaa.gov

2.5. Phone number:

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.

3.1. Name:
ESI Program Manager

3.2. Title:
Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

4.1. Have resources for management of these data been identified?

4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):

5. Data Lineage and Quality

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Process Steps:

- 2023-08-15 00:00:00 - The main sources of data used to depict human-use resources for the RESOURCE_POLY data layer were digital datasets as well as expert knowledge from resource experts. Designated Critical Habitat for piping plover (Charadrius melodus) was provided by the U.S. Fish and Wildlife Service. Significant habitats needed to support key life stages of ecological communities or species were provided by resource experts representing the New York Natural Heritage Program.

- 2023-08-15 00:00:00 - The main source of data used to depict human-use resources for the RESOURCE_POINT data layer was through digital datasets. Managed aquaculture sites were provided by the New York State Department of Environmental Conservation. Artificial reef data were provided by the Great Lakes Aquatic Habitat Framework. Recreational fishing sites were provided by the New York State Department of Environmental Conservation showing locations of top streams, rivers, lakes, and ponds for fishing in New York State. Water intakes were provided by the New York State Department of Environmental Conservation Bureau of Water Resource Management.
- 2023-08-15 00:00:00 - The above digital and/or hardcopy sources were compiled by the project biologist to create the RESOURCE_POLY and RESOURCE_POINT data layers. These data were developed as RESOURCE_POINTS and RESOURCE_POLYS within the Lake Ontario 2023 ESI study region, following ESI Guidelines. Additional data are contained in the joined table SOC_DAT using HUNUM as a unique ID, and in SOURCES using SOURCE_ID as a unique ID. Depending on the type of source data, three general approaches are used for compiling the data layer: 1) information gathered during initial interviews and from hardcopy sources are compiled onto U. S. Geological Survey 1:42,000 topographic quadrangles and digitized; 2) hardcopy maps are digitized at their source scale; 3) digital data layers are evaluated and used "as is" or integrated with the hardcopy data sources. See the Lineage section for additional information on the type of source data for this data layer. The ESI, biology, and human-use data are compiled into the standard ESI digital data format. A second set of interviews with participating resource experts are conducted to review the compiled data. If necessary, edits to the RESOURCE_POLY and RESOURCE_POINT data layers are made based on the recommendations of the

resource experts, and final hardcopy maps and digital data are created.

5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:

5.2. Quality control procedures employed (describe or provide URL of description):

6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

No

6.1.1. If metadata are non-existent or non-compliant, please explain:

Missing/invalid information:
- 1.7. Data collection method(s)
- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management
- 5.2. Quality control procedures employed
- 7.1. Do these data comply with the Data Access directive?
- 7.1.1. If data are not available or has limitations, has a Waiver been filed?
- 7.1.2. If there are limitations to data access, describe how data are protected
- 7.4. Approximate delay between data collection and dissemination
- 8.1. Actual or planned long-term data archive location
- 8.3. Approximate delay between data collection and submission to an archive facility
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:

6.3. URL of metadata folder or data catalog, if known:

https://www.fisheries.noaa.gov/inport/item/70486

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-

Data_Documentation_v1.pdf

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

7.1. Do these data comply with the Data Access directive?

7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?

7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:

7.2. Name of organization of facility providing data access:

Office of Response and Restoration (ORR)

7.2.1. If data hosting service is needed, please indicate:

7.2.2. URL of data access service, if known:

https://response.restoration.noaa.gov/esi_download

7.3. Data access methods or services offered:

Data can be accessed by downloading the zipped ArcGIS geodatabase from the Download URL (see Distribution Information). Questions can be directed to the ESI Program Manager (Point Of Contact).

7.4. Approximate delay between data collection and dissemination:

7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:

8. Data Preservation and Protection

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To

Be Determined, Unable to Archive, or No Archiving Intended)

8.1.1. If World Data Center or Other, specify:

8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:

8.2. Data storage facility prior to being sent to an archive facility (if any):
Office of Response and Restoration - Seattle, WA

8.3. Approximate delay between data collection and submission to an archive facility:

8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?
Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

9. Additional Line Office or Staff Office Questions
Line and Staff Offices may extend this template by inserting additional questions in this section.