

DOCUMENT NUMBER	<b>ICCAT SWORDFISH STATISTICAL DOCUMENT</b>																								
<b>EXPORT SECTION:</b>																									
<b>1. FLAG COUNTRY/ENTITY/FISHING ENTITY</b>																									
<b>2. DESCRIPTION OF VESSEL (if applicable)</b> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <span>Vessel Name</span> <span>Registration Number</span> <span>LOA (m)</span> <span>ICCAT Record No. (if applicable)</span> </div>																									
<b>3. POINT OF EXPORT:</b> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <span>CITY, STATE OR PROVINCE</span> <span>COUNTRY/ENTITY/FISHING ENTITY _____</span> </div>																									
<b>4. AREA OF CATCH (Check one of the following)</b> <div style="display: flex; justify-content: space-around; margin-top: 10px;"> <span>(a) North Atlantic</span> <span>(b) South Atlantic</span> <span>(c) Mediterranean</span> <span>(d) Pacific</span> <span>(e) Indian</span> </div> <p style="margin-top: 5px;">* In case of (d) or (e) is checked, the items 5 and 6 below do not need to be filled out.</p>																									
<b>5. DESCRIPTION OF FISH</b> <table style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th style="width: 15%;">Product Type <sup>a</sup></th> <th style="width: 25%;">Time of Harvest</th> <th style="width: 25%;">Gear Code <sup>b</sup></th> <th style="width: 35%;">Net Weight</th> </tr> <tr> <th><i>F/FR RD/GG/DR/FL/OT</i></th> <th><i>(mm/yy)</i></th> <th></th> <th><i>(kg)</i></th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <p style="margin-top: 5px;"><sup>a</sup> F=Fresh, FR=Frozen, RD=Round, GG=Gilled &amp; Gutted, DR=Dressed, FL=Fillet, ST=Steak, OT=Others (Describe the type of product: _____)</p> <p><sup>a</sup> When the Gear Code is OT, describe the type of gear: _____</p>		Product Type <sup>a</sup>	Time of Harvest	Gear Code <sup>b</sup>	Net Weight	<i>F/FR RD/GG/DR/FL/OT</i>	<i>(mm/yy)</i>		<i>(kg)</i>																
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<b>6. EXPORTER CERTIFICATION:</b> For export to countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg(33lb.) or if pieces, the pieces were derived from a swordfish weighing >15kg.  I certify that the above information is complete, true and correct to the best of my knowledge and belief. <table style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <tr> <td style="width: 20%;">Name</td> <td style="width: 25%;">Address</td> <td style="width: 20%;">Signature</td> <td style="width: 15%;">Date</td> <td style="width: 20%;">License # (if applicable)</td> </tr> </table>		Name	Address	Signature	Date	License # (if applicable)																			
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<b>7. GOVERNMENT VALIDATION:</b> I validate that the above information is complete, true and correct to the best of my knowledge and belief. <div style="display: flex; justify-content: flex-end; margin-top: 10px;"> Total weight of the shipment: _____ kg </div> <table style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <tr> <td style="width: 35%;">Name &amp; Title</td> <td style="width: 25%;">Signature</td> <td style="width: 15%;">Date</td> <td style="width: 25%;">Government Seal</td> </tr> </table>		Name & Title	Signature	Date	Government Seal																				
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<b>IMPORT SECTION</b> <b>8. IMPORTER CERTIFICATION:</b> I certify that the above information is complete, true and correct to the best of my knowledge and belief. <div style="margin-top: 10px;"> Importer Certification (Intermediate Country/Entity/Fishing Entity)  <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name</td> <td style="width: 25%;">Address</td> <td style="width: 20%;">Signature</td> <td style="width: 15%;">Date</td> <td style="width: 20%;">License # (if applicable)</td> </tr> </table> </div> <div style="margin-top: 10px;"> Importer Certification (Intermediate Country/Entity/Fishing Entity)  <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name</td> <td style="width: 25%;">Address</td> <td style="width: 20%;">Signature</td> <td style="width: 15%;">Date</td> <td style="width: 20%;">License # (if applicable)</td> </tr> </table> </div> <div style="margin-top: 10px;"> Importer Certification (Final Destination of Shipment)  <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name</td> <td style="width: 25%;">Address</td> <td style="width: 20%;">Signature</td> <td style="width: 15%;">Date</td> <td style="width: 20%;">License # (if applicable)</td> </tr> </table> </div> <div style="margin-top: 10px;"> <b>Final Point of Import:</b> City _____ State/Province _____ Country/Entity/Fishing Entity _____ </div>		Name	Address	Signature	Date	License # (if applicable)	Name	Address	Signature	Date	License # (if applicable)	Name	Address	Signature	Date	License # (if applicable)									
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**NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.**

## ICCAT SWORDFISH STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 2001 ICCAT recommendation, swordfish imported into the territory of a Contracting Party or upon first entry into a regional economic organization must be accompanied by an ICCAT Swordfish Statistical Document (SWD) beginning January 1, 2003. Swordfish dealers who export or import swordfish from all ocean areas will be required to complete the appropriate sections of the SWD. Only complete and valid documents will guarantee that shipments of swordfish will be allowed to enter the customsterritory of Contracting Parties (e.g., Japan, Canada, U.S., Spain, etc.). Improperly documented swordfish shipments (i.e., the SWD is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate and contrary to ICCAT conservation efforts. Entry of improperly documented swordfish will be suspended (PENDIN G RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the customs territory of a Contracting Party or subject to administrative or other sanctions.

Please use the instructions below as a guide to complete the sections that apply to Exporters, Importers, and G overnment Validation. If a language other than English is used for description, please add an English translation either on the SWD or on a separate paper. Note: if a swordfish product is exported directly from the harvesting Country/Entity/Fishing Entity to a Contracting Party, without going through an intermediate Country/Entity/Fishing Entity, all fish can be identified on one document. However, if the swordfish product is exported through an intermediate Country/Entity/Fishing Entity (i.e., a Country/Entity/Fishing Entity other than the Country/Entity/Fishing Entity which is the final destination of the product), separate documents must be prepared for fish destined for different final destinations, or only one fish may be identified on a document to cope with any possible separation in an intermediate Country/Entity/Fishing Entity. Import of swordfish parts other than meat (i.e., heads, eyes, roe, guts, tails) may be allowed entry without an accompanying SWD.

**DOCUMENT NUMBER:** This block is for the issuing Country/Entity/Fishing Entity to designate a country coded Document Number.

**(1) FLAG COUNTRY/ENTITY/FISHING ENTITY** - Fill in the name of the Country/Entity/Fishing Entity of the vessel that harvested the swordfish in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the swordfish in the shipment, or, if the vessel is operating under a chartering arrangement, the exporting state, can issue this Document.

**(2) DESCRIPTION OF VESSEL (if applicable):** Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the swordfish in the shipment.

**(3) POINT OF EXPORT** - Identify the City and State or Province, and country/entity/fishing entity from which the swordfish was exported.

**(4) AREA OF CATCH** - Check the area of catch. (In case of (d) or (e) checked, items 4 and 5 need not be completed).

**(5) DESCRIPTION OF FISH** - The exporter must provide, to the highest degree of accuracy, the following information. (NOTE: One row should describe one product type.) (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment; (2) Time of Harvest: Fill in the time of harvest (month and year) of the swordfish in the shipment; (3) Gear Code: Identify the gear type which was used to harvest the swordfish using the listed codes; (5) Net weight: Net product weight in kilograms.

**(6) EXPORTER CERTIFICATION** - The person or company exporting the swordfish shipment must provide his/her name, signature, address, date the shipment was exported, and dealer license number (if applicable). For countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb.) or if pieces, the pieces were derived from a swordfish weighing >15 kg.

**(7) GOVERNMENT VALIDATION** - Fill in name and full title of the official signing the SWD. The official must be employed by a competent authority of the flag state government of the vessel that harvested the swordfish appearing on the SWD or other individual or institution authorized by the flag state or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. Net weight must also be certified and recorded. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Swordfish Statistical Document Program.

**(8) IMPORTER CERTIFICATION** - The person or company that imports swordfish must provide their name, signature, address, date the swordfish was imported, license number (if applicable) and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, signature of the importer may be substituted by person of a custom clearance company when the authority of signature is properly accredited to the company.

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries, unclassified
SURF	Surface fisheries, unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type: Describe the type of gear



Original completed document must accompany exported shipment. Retain a copy for your records. The original (imports) or a copy (exports) must be postmarked and mailed, or faxed, within 24 hours of import:

NMFS, National Seafood Inspection Laboratory, P.O. Drawer 1207, Pascagoula, MS 39568-1207.

FAX 978/281-9340. This form is approved under OMB information collection number 0648-0040. Expiration Date 12/31/2025

<https://www.fisheries.noaa.gov/webdam/download/63618939>

Under the provisions of the Paperwork Reduction Act of 1995 (PL 104-13) and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested in the swordfish statistical document is mandatory for the purpose of managing the Atlantic bluefin tuna fishery. The data is used to monitor landings and trade of Atlantic bluefin tuna. Reporting burden for the collection of information is estimated to average 5 minutes per completed form, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Pursuant to Section 402(b) of the Magnuson-Stevens Act, and consistent with NOAA Administrative Order 216-100 (Confidentiality of Fisheries Statistics), the Agency does not release confidential information submitted in compliance with provisions of the Act, other than in aggregate form and under circumstances required or authorized by law. Whenever data are requested or released to the general public, the Agency ensures that information on the pecuniary business activity of a dealer is not identified. Because you have been provided with a currently valid OMB control number (0648-0040) for a collection of information subject to the requirements of the Paperwork Reduction Act you are required to respond to, or be subject to penalty for failing to comply with, this collection of information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Highly Migratory Species Management Division (F/SF1), 1315 East-West Highway, Silver Spring, MD 20910.