

**Draft Recovery Plan for the
Southern Distinct Population Segment
of North American Green Sturgeon
(*Acipenser medirostris*)**



Underwater photograph of a North American green sturgeon. Credit: Thomas Dunklin

January 2018

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**Prepared by
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Approved: _____
Assistant Administrator for Fisheries
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Table of Contents

Disclaimer	ii
Acknowledgements	iii
List of Appendices	v
List of Acronyms and Abbreviations	vi
Executive Summary	1
Chapter I. Background	4
Status of the Species	4
Description and Taxonomy	4
Population Trends	5
Distribution	5
Life History/Habitat Requirements	11
Reasons for Listing	13
Critical Habitat	16
Threats Assessment	19
Known Biological Constraints and Needs	37
Chapter II. Recovery Goal, Objective, and Criteria	37
Recovery Goal	37
Recovery Objective	37
Recovery Criteria	37
Chapter III. Recovery Strategy	45
Biological Needs, Significant and Potential Threats	45
Primary Focus and Justification of Recovery Strategy	45
Schedule	57
Chapter IV. Recovery Program	58
Supporting Program - Monitoring	66
Supporting Programs – Education and Outreach	67
Implementation Schedule & Costs	68
Literature Cited	77

List of Appendices

Appendix A Threats Assessment Methodology

List of Acronyms and Abbreviations

ACID	Anderson–Cottonwood Irrigation District
ADEC	Alaska Department of Environmental Conservation
Army Corps	United States Army Corps of Engineers
BMP	Best Management Practice
BRT	Biological Review Team
C	Celsius
CBE	Coastal Bays and Estuaries
CDFG	California Department of Fish and Game (up to 12-31-2012)
CDFW	California Department of Fish and Wildlife (aka CDFG prior to 2013)
CDWR	California Department of Water Resources
cfs	cubic feet per second
CALFED	California Federal Bay-Delta Program
CVPIA	Central Valley Project Improvement Act
DIDSON	Dual Frequency Identification Sonar
dph	Days post hatch
DPS	Distinct Population Segment
EMF	Electromagnetic fields
EPA	United States Environmental Protection Agency
ESA	Endangered Species Act
FMEP	Fishery Management Evaluation Plan
FR	Federal Register
GCID	Glenn Colusa Irrigation District
nDPS	Northern Distinct Population Segment
NM	Nearshore Marine
NMFS	National Marine Fisheries Service
NOAA	National Oceanic and Atmospheric Administration
Oregon DEQ	Oregon Department of Environmental Quality
ODFW	Oregon Department of Fish and Wildlife
PCBs	Polychlorinated Biphenyls
PCE	Primary Constituent Element
RBDD	Red Bluff Diversion Dam
RKM	River Kilometer
sDPS	Southern Distinct Population Segment
SFBDE	San Francisco Bay Delta Estuary
SRB	Sacramento River Basin
SWP	State Water Project
TCD	Temperature Control Device
TL	Total Length
USBR	United States Bureau of Reclamation
USFWS	United States Fish and Wildlife Service
USGS	United States Geological Survey
WDOE	Washington Department of Ecology
WDFW	Washington Department of Fish and Wildlife

1 **Executive Summary**

2
3 **Species Status**

4
5 The southern distinct population segment (sDPS) of North American green sturgeon (*Acipenser*
6 *medirostris*) is an anadromous, long-lived, late maturing species that spawns in the Sacramento
7 River Basin, located in the Central Valley of California. It spends most of its life in the
8 nearshore marine environment and coastal bays and estuaries along the west coast of North
9 America. On April 7, 2006, NMFS listed sDPS green sturgeon as a threatened species under
10 the Endangered Species Act (ESA) (71 FR 17757, April 7, 2006). This determination was
11 based on the fact that the Sacramento River basin contains the only known sDPS spawning
12 population, information suggesting population decline, and habitat loss and degradation in the
13 Sacramento River Basin. Since the listing of the sDPS, a number of habitat restoration actions
14 within the Sacramento River Basin have occurred and spawning has been documented in the
15 Feather River (Seesholtz et al. 2015), but many significant threats have not been addressed.
16 Currently, the majority of sDPS green sturgeon spawning occurs within a single reach of the
17 mainstem Sacramento River, placing the species at increased risk of extinction due to stochastic
18 events.

19
20 **Recovery Goal, Objective, and Criteria**

21
22 The goal of this recovery plan is to recover sDPS green sturgeon and consequently remove it
23 from the Federal List of Endangered and Threatened Wildlife. Achieving this goal will have a
24 number of economic, societal, and ecosystem benefits. Delisting of the sDPS may result in
25 opening fisheries that were closed due to direct or incidental sDPS mortality, resulting in
26 economic and recreational benefits. The ESA regulatory burden will also be eased for
27 fisheries, water resource, industrial, and commercial activities. Accomplishing the habitat
28 restoration measures will also result in more functional ecosystems that support other economic
29 activities and contribute to the conservation and recovery of other species.

30
31 To achieve delisting, the objective of this recovery plan is to increase sDPS green sturgeon
32 abundance, distribution, productivity, and diversity by alleviating significant threats. To
33 determine when these threats have been alleviated and the sDPS green sturgeon population has
34 recovered, the following criteria have been developed:

35
36 **Demographic Recovery Criteria**

- 37
38 1. The adult sDPS green sturgeon census population remains at or above 3,000 for 3
39 generations (this equates to a yearly running average of at least 813 spawners for
40 approximately 66 years). In addition, the effective population size must be at least 500
41 individuals in any given year and each annual spawning run must be comprised of a
42 combined total, from all spawning locations, of at least 500 adult fish in any given year.
- 43 2. sDPS green sturgeon spawn successfully in at least two rivers within their historical
44 range. Successful spawning will be determined by the annual presence of larvae for at
45 least 20 years.

- 46 3. A net positive trend in juvenile and subadult abundance is observed over the course of at
47 least 20 years.
48 4. The population is characterized by a broad distribution of size classes representing
49 multiple cohorts that are stable over the long term (20 years or more).
50 5. There is no net loss of sDPS green sturgeon diversity¹ from current levels.

51

52 Threats-based Recovery Criteria

53

- 54 1. Access to spawning habitat is improved through barrier removal or modification in the
55 Sacramento, Feather, and/or Yuba rivers such that successful spawning occurs annually
56 in at least two rivers. Successful spawning will be determined by the annual presence
57 of larvae for at least 20 years.
58 2. Volitional passage is provided for adult green sturgeon through the Yolo and Sutter
59 bypasses.
60 3. Water temperature and flows are provided in spawning habitat such that juvenile
61 recruitment is documented annually. Recruitment is determined by the annual presence
62 of age-0 juveniles in the lower Sacramento River or San Francisco Bay Delta Estuary.
63 Flow and temperature guidelines have been derived from analysis of inter-annual
64 spawning and recruitment success and are informing this criterion.
65 4. Adult contaminant levels are below levels that are identified as limiting population
66 maintenance and growth.
67 5. Operation guidelines and/or fish screens are applied to water diversions in mainstem
68 Sacramento, Feather, and Yuba rivers and San Francisco Bay Delta Estuary such that
69 early life stage entrainment is below a level that limits juvenile recruitment.
70 6. Take of adults and subadults through poaching and state, federal and tribal fisheries is
71 minimal and does not limit population persistence and growth.

72

73 Recovery Strategy & Actions

74

75 In order to recover sDPS green sturgeon, 20 recovery actions are presented that aim to restore
76 passage and habitat, reduce mortality from fisheries, entrainment, and poaching, and address
77 threats in the areas of contaminants, climate change, predation, sediment loading and oil and
78 chemical spills. Most of the recovery efforts focus on the Sacramento River Basin and San
79 Francisco Bay Delta Estuary environments, as threats in spawning and rearing habitats were
80 considered the greatest impediments to recovery. Priority recovery actions aim to incrementally
81 restore habitat below Keswick, Oroville, and Englebright dams, provide volitional passage
82 upstream of the boulder weir at Sunset Pumps on the Feather River and at Daguerre Point Dam
83 on the Yuba River, support adequate water flow and temperature on the Sacramento, Feather,
84 and Yuba rivers now and in the future, reduce stranding at Yolo and Sutter bypasses and other
85 sources of take (e.g., fisheries bycatch), improve rearing habitats in the San Francisco Bay
86 Delta Estuary, and ameliorate the risk posed by entrainment in water diversions and

¹ Diversity refers to variation in life history, behavior, age structure, genetics, and physiology. Our current understanding of sDPS green sturgeon diversity is described in this recovery plan and published literature (e.g., Israel et al. 2004, Lindley et al. 2008, 2011; Anderson et al. 2017).

87 contaminants. Additional recovery actions address predation and non-point source sediment
88 loading. These actions will likely have less of a direct and immediate impact in terms of
89 meeting the recovery criteria, and are thus considered secondary in priority.

90

91 The recovery strategy calls for simultaneous implementation of research, monitoring, and
92 education and outreach programs. The 16 research priorities identified focus on the same
93 recovery action topics discussed above as well as competition for habitat, altered prey base, the
94 potential impact of non-native species, and disease. The monitoring program focuses on
95 demonstrating attainment of demographic and threat-based recovery criteria, tracking the
96 effectiveness of recovery actions, and filling critical data gaps in the life-history of sDPS green
97 sturgeon. The education and outreach program seeks to gain public and agency partner support
98 and facilitate recovery plan implementation. Working with partners to secure funding for
99 implementing this recovery plan is also an essential component of the plan.

100

101 **Estimated Date and Cost of Recovery**

102

103 Based on the identified recovery actions, the estimated cost for the first 20 years of
104 implementation is \$236 million. Many of the most costly recovery actions (e.g., barrier
105 removal, increased enforcement, addressing entrainment at diversions) have multi-species
106 benefits and may be covered under recovery efforts for other species. For example, the
107 recovery plan for ESA-listed Central Valley salmonids (NMFS 2014) includes recovery actions
108 designed to improve watershed-wide processes that will likely benefit sDPS green sturgeon by
109 restoring natural ecosystem functions. Specific actions to improve Delta habitat, remove
110 barriers, and reduce entrainment could aid in the recovery of the sDPS green sturgeon and
111 reduce the recovery plan cost by \$17 million.

112

113 It is anticipated that the recovery of sDPS green sturgeon is likely to be a long process.
114 Restoring habitat by providing adequate water flow and temperature and addressing migration
115 barriers is likely to take ten years or more. Due to green sturgeon's slow maturation and low
116 recruitment rate, increases in abundance may not be observed for three to four generations
117 following habitat improvement. Given a generation time for sDPS green sturgeon of
118 approximately 22 years, a substantial increase in adult abundance in response to habitat-based
119 recovery actions may not be observed for 66-88 years. Funds will thus likely be needed to
120 monitor adult abundance after the first 20 years, for a total additional cost of \$25-40 million.
121 Additional funds may also be needed to monitor larval, juvenile, and subadult lifestages in
122 order to meet the demographic criteria.

Chapter I. Background

The purpose of this recovery document is to guide implementation of the recovery of the southern Distinct Population Segment (sDPS) of North American green sturgeon (*Acipenser medirostris*). Section 4(f) of the Endangered Species Act (ESA) directs NOAA's National Marine Fisheries Service (NMFS) to develop and implement recovery plans for threatened and endangered species, unless such a plan would not promote conservation of the species. The recovery recommendations detailed herein aim to resolve the main threats to the sDPS and ensure self-sustaining populations in the wild into the future.

Status of the Species

On April 7, 2006, NMFS determined that the sDPS warranted listing as a threatened species (71 FR 17757), effective July 6, 2006. This determination was based on: (1) the fact that the spawning adult population occurred in only one river system (i.e., Sacramento River); (2) evidence of lost spawning habitat in the Sacramento and Feather rivers; (3) threats to habitat quality and quantity in the Sacramento River and Delta System; and (4) fish salvage data exhibiting a negative trend in juvenile sDPS abundance. The sDPS was assigned a recovery priority number of 5 under the ESA on a scale of 0-10 under the current guidance (i.e., 55 FR 24296, June 15, 1990). A priority number of 5 indicates a moderate risk of extinction. The priority number reflects the presence of factors that may limit sDPS recovery such as conflicting uses of water within its habitat (e.g., agriculture, urban) as detailed in this document. The recovery potential for this species is likely high, however, if sources of mortality and activities that decrease habitat quality and quantity, particularly in spawning and rearing habitat, are limited.

Description and Taxonomy

The North American green sturgeon is one of 27 species of sturgeon within the Order Acipenseriformes and Family Acipenseridae (Billard and Lecointre 2000). Part of the Class of bony fishes (Osteichthyes), sturgeons are unique in having a mostly cartilaginous skeleton and having scutes covering their bodies rather than scales. All sturgeons inhabit the Northern Hemisphere, reproduce in freshwater, and are characterized by late maturity and a long lifespan. Most species are benthic feeders. Many sturgeons are of conservation concern due to historical overfishing for meat and black caviar, poaching, and/or spawning habitat degradation and loss.

The North American green sturgeon was first described by Ayres (1854) in San Francisco Bay. The species was once considered to be conspecific with the Sakhalin sturgeon (*A. mikadoi*), but genetic differences later confirmed the species as distinct (Birstein and Bemis 1997). Green

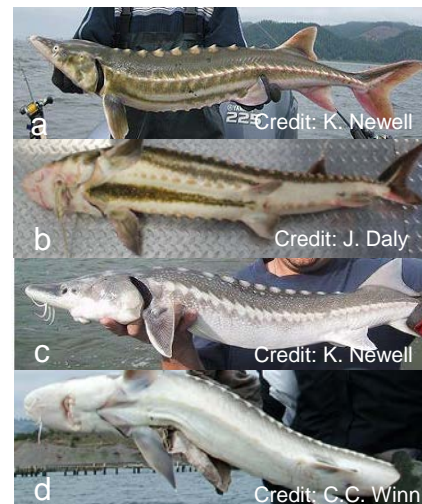


Figure 1. Lateral and ventral morphological differences between green sturgeon (a-b) and white sturgeon (c-d).

168 sturgeon share the west coast of North America with the white sturgeon, *A. transmontanus*
169 (Moyle 2002), and may be distinguished from this sympatric sturgeon by their olive green
170 color, barbel placement (closer to the mouth than the tip of their snout), a prominent green
171 stripe on the lateral and ventral sides of their abdomen, the number of dorsal and lateral scutes,
172 the presence of one large scute behind the dorsal and anal fins (which is absent in white
173 sturgeon), and the location of the vent (North et al. 2002; Figure 1).

174
175 Two distinct population segments are recognized within the North American green sturgeon
176 based on genetic information and spawning site fidelity: the sDPS and a northern DPS (nDPS)
177 of green sturgeon (68 FR 4433, January 23, 2003; Adams et al. 2002; Israel et al. 2004). The
178 sDPS of green sturgeon is only known to spawn in the Sacramento River basin. The nDPS of
179 green sturgeon spawns in the Rogue River in southern Oregon and the Klamath River in
180 northern California. Recent genetic analysis of samples from five non-juvenile green sturgeon
181 collected in the Eel River confirms the nDPS assignment (Anderson et al. 2017). Recent study
182 further suggests a spawning population in the Eel River (Stillwater Sciences and Wiyot Tribe
183 Natural Resources Department. 2017). A juvenile collected in the Columbia River has been
184 assigned to the nDPS (Schreier et al. 2016), but no further evidence of spawning is currently
185 available. The northern and southern DPS inhabit similar estuarine and marine habitats along
186 the west coast and are morphologically similar; genetic analysis is the only method currently
187 available to identify them to DPS in these habitats. The nDPS is considered a NMFS Species
188 of Concern (<http://www.nmfs.noaa.gov/pr/species/concern/>).

189 **Population Trends**

190
191
192 Several challenges exist in understanding population trends in sDPS green sturgeon. Sturgeon
193 catch in California was not historically reported by species and green sturgeon harvest in other
194 areas probably included mixtures of nDPS and sDPS fish. At present, the most useful dataset
195 for examining population trends comes from Dual Frequency Identification Sonar (DIDSON)
196 surveys in the Sacramento River, which began in 2010. These surveys have been used to
197 estimate the abundance of sDPS adults— current estimate 2,106 (95% confidence interval [CI]
198 = 1,246-2,966; Mora 2016). Mora (2016) also applied a conceptual demographic structure to
199 that adult population estimate resulting in an sDPS subadult population estimate of 11,055
200 (95% CI = 6,540-15,571). The DIDSON surveys and associated modeling will eventually
201 provide population trend data. Other efforts to track population trends are underway using
202 tagging and fisheries data and larval capture as reviewed in Heublein et al. (2017a).

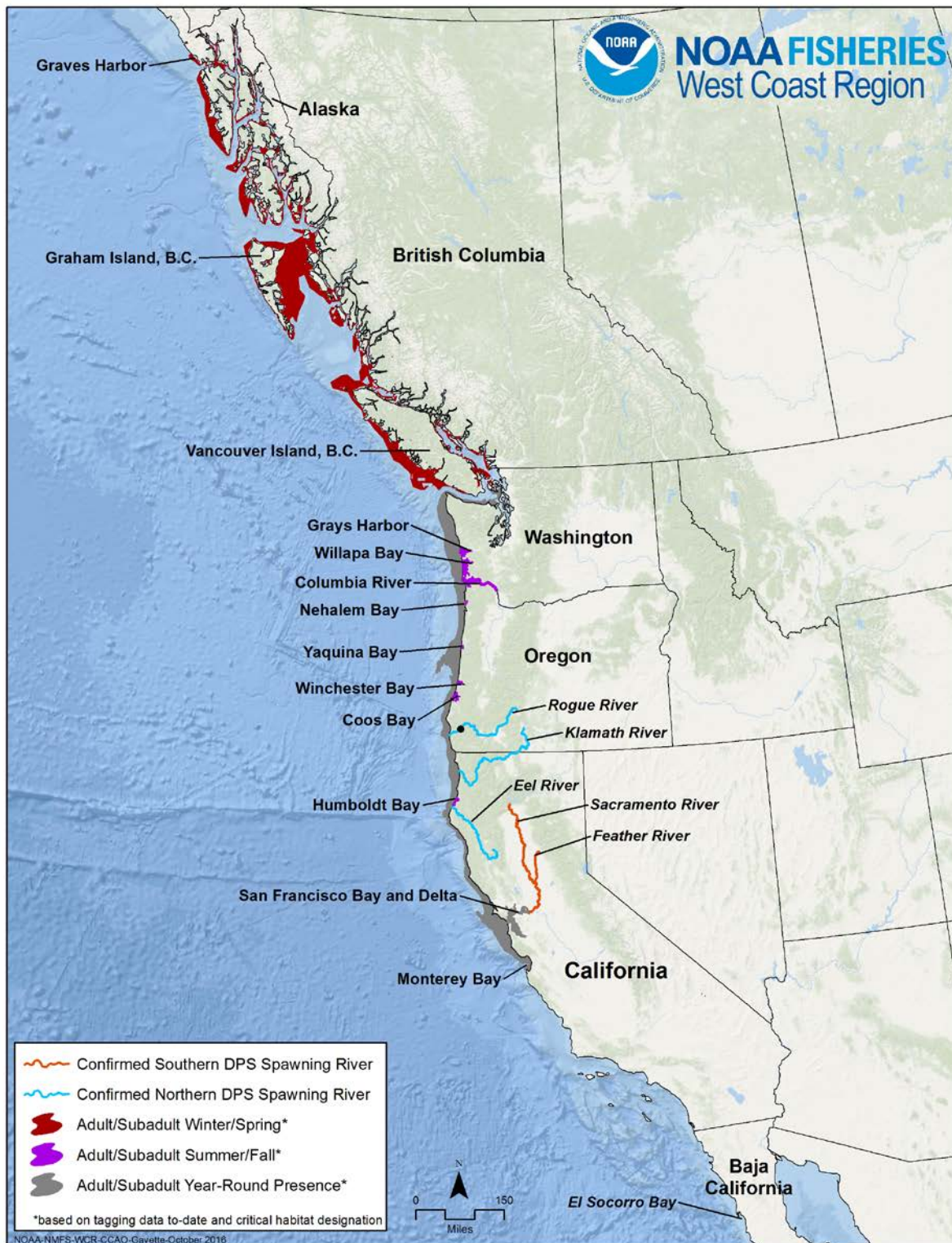
203 **Distribution**

204
205
206 The sDPS of the anadromous green sturgeon occurs along the western seaboard of the US
207 (Figure 2). Non-spawning adult and subadult nDPS and sDPS green sturgeon spend much of
208 their lives coexisting in marine and estuarine waters from the Bering Sea, Alaska (Colway and
209 Stevenson 2007) to El Socorro, Baja California, Mexico (Rosales-Casian and Almeda-Juaregui
210 2009). Telemetry, genetic, and fisheries data suggest that sDPS green sturgeon generally occur
211 from Graves Harbor, Alaska to Monterey Bay, California (Moser and Lindley 2007; Lindley et
212 al. 2008, 2011; Schreier et al. 2016) and, within this range, frequent coastal waters of
213 Washington, Oregon, Vancouver Island, and San Francisco and Monterey bays (Huff et al.

214 2012). Adult and subadult sDPS green sturgeon occur in relatively large concentrations in
215 summer and autumn within coastal bays and estuaries including the Columbia River estuary,
216 Willapa Bay, Grays Harbor and the Umpqua River estuary (Moser and Lindley 2007; Lindley
217 et al. 2008, 2011; WDFW and ODFW 2012; Schreier et al. 2016) making these habitats
218 important to sDPS conservation. Within the nearshore marine environment, sDPS green
219 sturgeon were most often encountered in marine waters less than a depth of 110 m (Erickson
220 and Hightower 2007). Although the nDPS and sDPS coexist in the marine environment, the
221 two DPSs only enter spawning areas of their respective natal rivers (Lindley et al. 2011).

222
223 Within the freshwater portion of their range, sDPS distribution is limited by permanent or flow-
224 dependent barriers (Figures 3-6; Mora et al. 2009). Keswick Dam (rkm 486, completed in
225 1950), Shasta Dam (rkm 505, completed in 1944), and Fremont Weir and Sutter Bypass/Tisdale
226 Weir (both flow-dependent) on the Sacramento River and Oroville Dam (rkm 116, completed
227 in 1968) on the Feather River are impassible barriers (71 FR 17757, April 7, 2006). Potential
228 barriers to adult migration also include the Sacramento Deep Water Ship Channel locks, the
229 Anderson Cottonwood Irrigation District Dam (ACID; rkm 479, completed in 1937; typically
230 operated from April through October), the Delta Cross Channel Gates on the Sacramento River,
231 and Sunset Pumps (rkm 39, originally completed in 1800s, reconfigured 2003) on the Feather
232 River (BRT 2005; 71 FR 17757, April 7, 2006). The Fish Barrier Dam (rkm 108.5, completed
233 in 1964) on the Feather River and the Daguerre Point Dam (rkm 19, completed in 1910) on the
234 lower Yuba River are also recognized as limiting the distribution of the sDPS (74 FR 52300,
235 October 9, 2009). Mora et al. (2009) showed that suitable habitat exists above Englebright
236 Dam (rkm 39, completed in 1941) on the Yuba River, thus Englebright Dam can also be
237 considered a barrier. Additional potential barriers on the Feather River include Thermalito
238 Diversion Dam (rkm 109, completed in 1968). On the Sacramento, features such as scour
239 pools, borrow pits, and swales within bypasses can also potentially strand green sturgeon when
240 bypass flooding flows recede. Two barriers originally cited in the listing decision as posing a
241 limit to distribution have undergone changes since the listing: Red Bluff Diversion Dam
242 (RBDD; rkm 391, completed 1964) on the Sacramento River and Shanghai Bend on the
243 Feather River. The decommissioning of RBDD in 2013 now permits passage of the sDPS
244 during all months that they are present in the river. The breach of Shanghai Bend on the
245 Feather River in early 2012 likely also eliminated this naturally formed passage barrier (flow
246 dependent) in the lower Feather River (NMFS 2015).

247



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249
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Figure 2. Map of west coast of North America showing distribution of adult and subadult sDPS green sturgeon.

251 The Sacramento River watershed is the only confirmed historical and present spawning area for
252 the sDPS (Adams et al. 2007). Within the Sacramento River, the sDPS spawns from the GCID
253 area (rkm 332.5) to Cow Creek (rkm 451) based on adult distribution (Klimley et al. 2015a;
254 Heublein et al. 2009), with egg mat sampling confirming spawning between the GCID area and
255 Inks Creek (rkm 426) (Poytress et al. 2015). Adults, eggs and larvae can occur in the latter area
256 during spawning and rearing periods. Spawning at the Thermalito Afterbay Outlet in the
257 Feather River was first documented in June 2011 (Seesholtz et al. 2015) by the presence of
258 fertilized eggs collected from egg mats and was coincident with the above average flows during
259 a wet year. Adult sturgeon have been detected in other areas in the Feather River (i.e., from the
260 Fish Barrier Dam to Shanghai Bend), but aside from the Thermalito Afterbay Outlet, spawning
261 has only been confirmed in one year (2017) at the Fish Barrier Dam. At least one larval or
262 post-larval green sturgeon has been reported during salvage in the south Delta and larval white
263 sturgeon are periodically collected during high outflows in the San Francisco Bay Delta
264 Estuary, well downstream of documented spawning habitat. Based on these limited data, larval
265 distribution may extend 100 km or more downstream from spawning habitats on the
266 Sacramento and Feather rivers in high flow years. This estimated downstream distribution
267 corresponds with the Colusa area on the Sacramento River (rkm 252) and the confluence of the
268 Sacramento and Feather rivers near Verona (rkm 129) for larvae originating in the Sacramento
269 and Feather Rivers, respectively, although distribution will be influenced by spring and summer
270 flows.

271

272 It is unknown how long juveniles remain in upriver rearing habitats after metamorphosis.
273 Juveniles may remain upriver for at least several months before entering the Delta to rear prior
274 to ocean entry (Radtke 1966). The Sacramento River is an important migratory corridor for
275 larval and juvenile sturgeon during their downstream migration to the San Francisco Bay Delta
276 Estuary. The San Francisco Bay Delta Estuary provides year-round rearing habitat for
277 juveniles, as well as foraging habitat for non-spawning adults and subadults in the summer
278 months (NMFS 2008).

279

280 Presumed sDPS green sturgeon have also been documented in other tributaries and river
281 systems. Adult green sturgeon have been observed in the lower Yuba River downstream of
282 Daguerre Point Dam, but spawning has not been documented (Cramer Fish Sciences 2011).
283 Data from angler self-reporting indicate catch of green sturgeon in the San Joaquin River
284 between 2007 and 2016 (DuBois et al. 2014; DuBois and Harris 2015, 2016; DuBois and
285 Danos 2017). Spawning could have been supported in the San Joaquin River based on the
286 habitat that existed in this system historically (Adams et al. 2007; Mora et al. 2009), but
287 spawning has not been documented historically or currently. Sightings of green sturgeon have
288 also been recorded in the Bear River (USFWS 1995; Beamesderfer et al. 2004). Although
289 sturgeon have been observed in the Russian River, the only known photo is of a white sturgeon.
290 The American, Stanislaus, and Tuolumne rivers may have historically supported the sDPS
291 based on habitat attributes, but no confirmed green sturgeon sightings exist (Beamesderfer et al.
292 2004).



293
294
295

Figure 3. Map of California's Central Valley showing distribution of sDPS green sturgeon.



(a)



(b)



(c)

Figure 4. Migration barriers for the sDPS on the Sacramento mainstem: (a) Shasta Dam, USBR; (b) Keswick Dam, USBR; (c) Anderson-Cottonwood Irrigation flash dam, Bill Paxson.

308



(a)

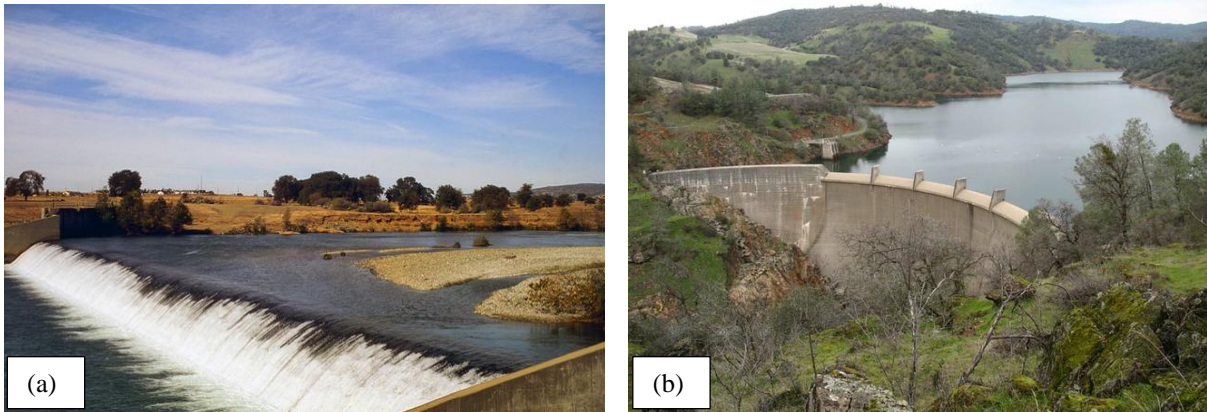


(b)



(c)

Figure 5. Migration barriers for the sDPS on the Feather River: (a) Oroville Dam, CDFW; (b) Thermalito Diversion Dam (background) and Fish Barrier Dam (foreground), Thomas O'Keefe; (c) Boulder weir at Sunset Pumps, Alicia Seesholtz.



319

Figure 6. Migration barriers for the sDPS on the Yuba River: (a) Daguerre Point Dam, Hank Meals (b) Englebright Dam, Hank Meals

320
321
322

323 **Life History/Habitat Requirements**

324

325 As noted above, green sturgeon use riverine, estuarine, and marine habitats along the west coast
326 of North America, spending substantial portions of their lives in marine waters (Erickson and
327 Hightower 2007; Lindley et al. 2008, 2011). Green sturgeon are long lived (54 years,
328 Nakamoto et al. 1995), late maturing (around 15 years of age, Van Eenennaam et al. 2006) and
329 exhibit spawning site fidelity in natal streams (Poytress et al. 2011). After maturity is reached
330 at approximately 15 years of age and 150 cm total length, the sDPS typically spawn every three
331 to four years (range two to six years) (Brown 2007; Poytress et al. 2012; in NMFS 2015).
332 Adult sDPS enter San Francisco Bay in late winter through early spring and spawn in the
333 Sacramento River primarily from April through early July, with peaks of activity likely
334 influenced by factors including water flow and temperature (Heublein et al. 2009; Poytress et
335 al. 2011, 2015). Late summer or early fall spawning may also occur given presence of sDPS
336 larvae in October 1997, 1999 and 2000 at GCID and the fall of 2016 at RBDD. In the nDPS,
337 temperature seems to be an important cue signaling adults to migrate into river systems
338 (Erickson and Webb 2007). Water flow is an important cue in spawning migration for both
339 nDPS and sDPS green sturgeon, with outmigration related to elevated flows (Benson et al.
340 2007; Erickson and Webb 2007; Heublein et al. 2009; Poytress et al. 2011, 2012; University of
341 California at Davis, unpublished data). In white sturgeon, spawning has been documented to
342 occur after elevated flows (Schaffter 1997; Jackson et al. 2016), suggesting a connection
343 between flow and spawning.

344

345 Southern DPS spawning primarily occurs in cool sections of the upper mainstem Sacramento
346 River in deep pools containing small to medium sized gravel, cobble, or boulder substrate
347 (Klimley et al. 2015a; Poytress et al. 2015). Post-spawn fish may hold for several months in
348 the Sacramento River and out-migrate in the fall or winter, or move out of the river quickly
349 during the spring and summer months, with the holding behavior most commonly observed
350 (Heublein et al. 2009; CDWR 2013; Mora 2016). Post-spawn outmigration through the San
351 Francisco Bay Delta Estuary is also variable, with individuals migrating to the Pacific Ocean

352 rather quickly (2-10 days) and others remaining in the estuary for a number of months after
353 leaving upstream holding habitats (Heublein et al. 2009). The early life history of the sDPS has
354 not been fully studied, so data from experiments using the nDPS are used as a proxy for the
355 sDPS life-history and habitat requirements. Three recent documents give full descriptions of
356 these data (NMFS 2015; Moser et al. 2016; Heublein et al. 2017a) and can be referenced for
357 additional information. North American green sturgeon eggs primarily adhere to gravel or
358 cobble substrates, or settle into crevices (Van Eenennaam et al. 2001; Poytress et al. 2011).
359 Lab-based data from the nDPS indicate that eggs hatch after 144-192 hours when incubated at a
360 temperature of $15.7 \pm 0.02^{\circ}\text{C}$ (Deng et al. 2002). Temperature plays a role in egg development
361 according to laboratory studies and is likely a factor in sDPS recovery. Van Eenennaam et al.
362 (2005) found that the hatching rate for green sturgeon eggs was slightly reduced when
363 incubation temperatures were less than 11°C . They also found that the upper lethal temperature
364 for developing embryos was $22\text{-}23^{\circ}\text{C}$, with sub-lethal effects occurring at 17.5 to 22.2°C (Van
365 Eenennaam et al. 2005).

366
367 Green sturgeon larvae disperse at approximately 12 days post hatch (dph) in the laboratory
368 (Kynard et al. 2005). Larval activity is primarily nocturnal, with peaks in migration between
369 dusk and dawn (Kynard et al. 2005; Poytress et al. 2011). Larvae utilize benthic structure (Van
370 Eenennaam et al. 2001; Deng et al. 2002; Kynard et al. 2005) and seek refuge within crevices,
371 but will forage over hard surfaces (Nguyen and Crocker 2006). Larval abundance and
372 distribution may be influenced by spring and summer outflow and recruitment may be highest
373 in wet years, making water flow an important habitat parameter (reviewed in Heublein et al.
374 2017a). California Department of Fish and Game (CDFG 1992) and USFWS (1995) found a
375 positive correlation between mean daily freshwater outflow (April to July) and white sturgeon
376 year class strength in the San Francisco Bay Delta Estuary. This is consistent with
377 relationships found for other anadromous fish in the estuary and may be due to the fact that
378 flows transport larvae to areas with greater food availability, disperse larvae over a wider area,
379 or enhance nutrient availability. These studies involved the more abundant white sturgeon,
380 which has life history requirements similar to those of green sturgeon.

381
382 Temperature is also a factor in larval and juvenile development and has been the subject of
383 several laboratory studies involving nDPS green sturgeon. Linares-Casenave et al. (2013)
384 found that the survival of green sturgeon larvae to yolk-sac depletion was optimal at $18\text{-}20^{\circ}\text{C}$,
385 sub-optimal at $22\text{-}26^{\circ}\text{C}$, and lethal at 28°C in a laboratory setting. Cech et al. (2002) found that
386 optimal temperature for larval growth was 15°C , with temperatures less than 11°C or greater
387 than 19°C reducing growth rates. Werner et al. (2007) also suggested that temperature should
388 remain below 20°C for optimal larval development. Mayfield and Cech (2004) found that age-
389 0 and age-1 sDPS green sturgeon tested under laboratory conditions had optimal bioenergetic
390 performance (i.e., growth, food conversion, swimming ability) between $15\text{-}16^{\circ}\text{C}$, with an upper
391 limit of 19°C (Mayfield and Cech 2004; Allen et al. 2006).

392
393 The juvenile life stage is from completed metamorphosis to first ocean entry. As indicated
394 above, it is unknown how long juveniles remain in upriver rearing habitats after
395 metamorphosis, but they likely spend the first year in freshwater environments. In the
396 laboratory, juvenile nDPS were highly tolerant of changes in salinity during the first 6 months

397 (Allen et al. 2011) and the ability to transition to seawater occurred at 1.5 years of age (Allen
398 and Cech 2007). Results from Klimley et al. (2015b) suggest that some individuals in the sDPS
399 may enter the ocean and transition to the subadult life stage in their first year, but typical length
400 of fish encountered in the ocean (>600-mm TL) suggests ocean entry occurs at a later age.

401

402 The subadult life stage begins at the first entry to the Pacific Ocean and extends until maturity
403 is reached. When not in rivers for spawning, adults and subadults migrate seasonally along the
404 coast and congregate at specific sites in nearshore marine waters as described in the
405 Distribution section above. Tagging studies indicate that green sturgeon typically occupy
406 depths of 20-70 m in marine environments (Erickson and Hightower 2007; Huff et al. 2011)
407 making rapid vertical ascents, often at night (Erickson and Hightower 2007). Temperatures
408 occupied in the marine environment range from 7.3-16°C, with a range of mean temperatures
409 from 10.5-12.5 °C (Erickson and Hightower 2007; Huff et al. 2011). In the estuarine
410 environment, green sturgeon are exposed to varying water temperatures, salinities, and
411 dissolved oxygen (DO) concentrations. For example, green sturgeon in coastal estuaries have
412 been detected in water temperatures ranging from 11.9-21.9°C, salinities from 8.8-32.1 parts
413 per thousand, and DO from 6.54 to 8.98 milligrams of oxygen per liter (Kelly et al. 2007;
414 Moser and Lindley 2007).

415

416 Green sturgeon are opportunistic feeders that consume a variety of prey items. The diet of
417 larval green sturgeon is unknown, but may be similar to that of larval white sturgeon, which
418 includes macrobenthic invertebrates such as insect larvae, oligochaetes, and decapods (NMFS
419 2009a). In the San Francisco Bay Delta Estuary, juvenile green sturgeon feed on shrimp,
420 amphipods, isopods, clams, annelid worms, and an assortment of crabs and fish (Ganssle 1966;
421 Radtke 1966). Post-spawn adult green sturgeon in freshwater likely feed on benthic prey
422 species (e.g., lamprey ammocoetes, crayfish). In coastal bays and estuaries, adult and subadult
423 green sturgeon feed on shrimp, clams, crabs, and benthic fish (Moyle et al. 1995; Dumbauld et
424 al. 2008). Nearshore marine prey resources likely include species similar to those of coastal
425 bays and estuaries. Recent stomach content data from subadult green sturgeon captured in the
426 California halibut trawl fishery indicate a diet consisting mostly of right-eyed flatfish (likely
427 English sole *Parophrys vetulus*), followed by shrimp (Palanidae), bivalves (likely *Macoma*
428 spp.), and crab (*Cancer* spp.) (R. Bellmer, CDFW, unpublished).

429

430 **Reasons for Listing**

431

432 The habitat for the sDPS in California's Central Valley has been modified since the mid-19th
433 century (Lockington 1879). Degradation of sDPS habitat has occurred due to hydraulic gold
434 mining (1860s to early 1900s) and associated continued mercury contamination of sediments as
435 well as alteration of wetland habitats to create farmland (1850's to 1930's). Since the 1950's,
436 construction of water pumping plants, dams and water diversions (Figure 7) has altered the
437 hydrograph and habitats of the Sacramento River watershed and created barriers to migration.
438 More recently, urbanization has resulted in increasing demands for water as well as the
439 alteration of large areas of aquatic and riparian habitat.

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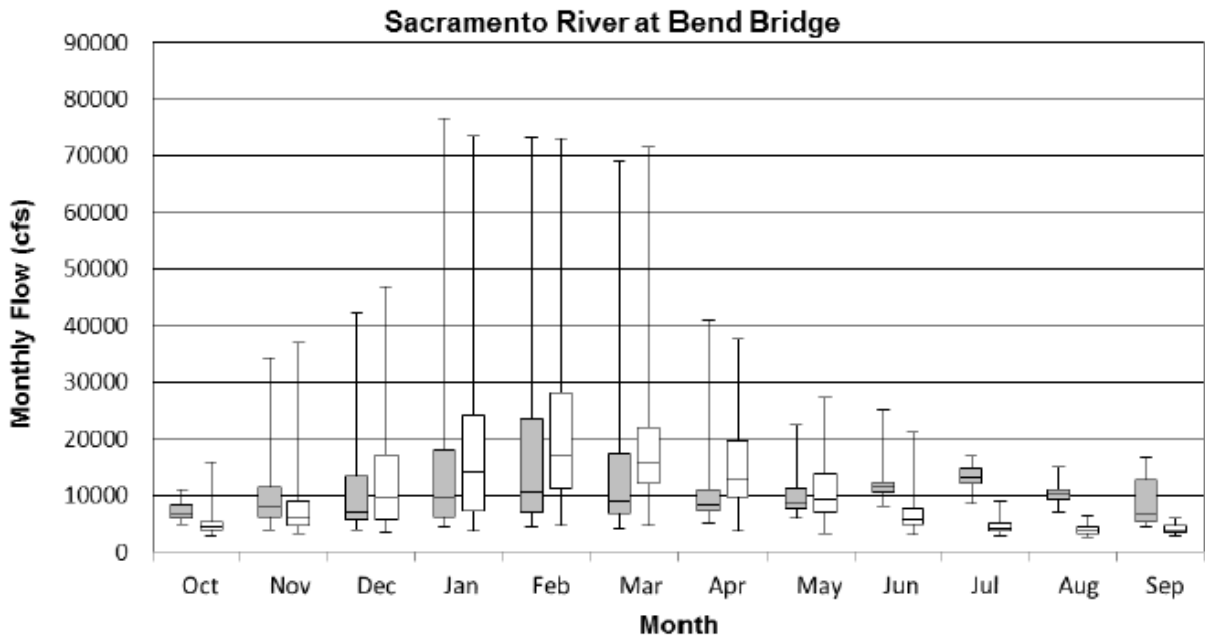


Figure 7. Map of water storage and delivery facilities as well as major rivers and cities in the state of California. Source: Wikipedia

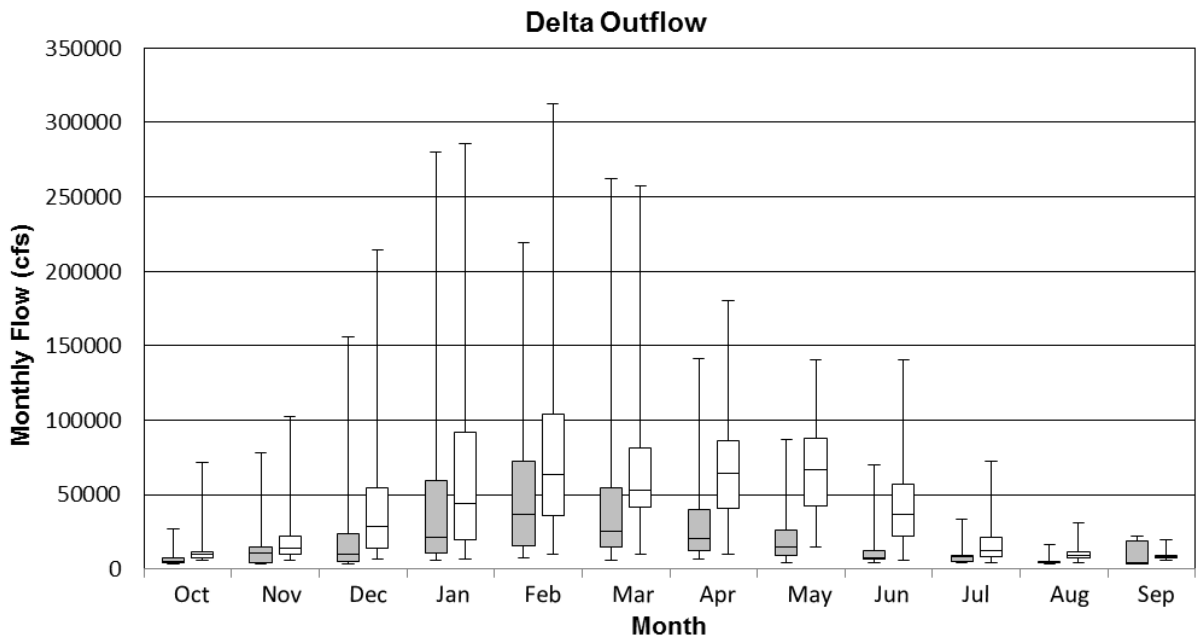
- California State Water Project (SWP) infrastructure
- Central Valley Project (CVP) infrastructure
- SWP-CVP shared infrastructure
- Other federally owned/operated infrastructure
- State and private infrastructure

Bold letters and colored squares denote reservoirs. Bold italic letters and colored (except light blue) lines denote canals/aqueducts. Light blue lines denote rivers. Large squares indicate reservoirs of over 2 million acre feet capacity. Medium squares indicate reservoirs of 1–2 million acre feet. Small squares indicate reservoirs of 250,000–1 million acre feet. Smaller squares indicate reservoirs of less than 250,000 acre feet.

487 (a)



488 (b)
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Figure 8. Adapted from Figure 2.2-2 (a) and 2.4-6 (b) in Sacramento Water Resources Control Board (2016). 9a Boxplot summarizes monthly current hydrologic conditions (gray box) and unimpaired flow (white box) at Bend Bridge on the Sacramento River (a) and (b) for simulated delta net outflow. Plot shows maximum and minimum flows (top and bottom whiskers), upper quartile (top of box), median (line within box) and lower quartile (bottom of box) of the flow data.

497 A recent analysis indicates that current seasonal and overall flow patterns in the Sacramento
498 River substantially differ from unimpaired flows (State Water Resources Control Board 2016).
499 Peak fall and winter flows are reduced in both wet and critically dry water year types at Bend
500 Bridge, with the recession limb of the spring snowmelt truncated or absent, and base flows in
501 summer augmented (Figure 8a). Water flow into the Delta has also been significantly altered,
502 with peaks in flow in winter and spring greatly reduced by upstream storage and replaced by
503 increased summer and early fall flows. Water reaching the Delta is also pumped out for
504 various uses, impacting available water, habitat and salinity. Delta outflows have been
505 significantly reduced overall as a result (Figure 9b). These changes could negatively impact
506 the sDPS through changes to spawning and rearing habitats and migration cues.

507
508 The sDPS of green sturgeon was listed as threatened because of the following factors (71 FR
509 17757, April 7, 2006): (1) the Sacramento River contains the only known sDPS spawning
510 population; (2) there has been a substantial loss of spawning habitat in the upper Sacramento
511 and Feather Rivers; (3) the Sacramento River and Delta System face mounting threats to habitat
512 quality and quantity; and (4) fishery-independent data indicated a decrease in observed
513 numbers of juvenile green sturgeon collected.

514
515 While some threats have been addressed (see NMFS 2015 for full description), many remain
516 and are discussed below. The listing Biological Review Team (BRT) considered additional
517 threats (e.g., entrainment, contaminants, fisheries bycatch, poaching, marine and estuarine
518 energy projects, and non-native species); however, due to a high level of uncertainty, they were
519 characterized as “potential” risk factors for which future research was recommended.

520

521 **Critical Habitat**

522

523 On October 9, 2009, NMFS published a final rule designating critical habitat for sDPS green
524 sturgeon (74 FR 52300, October 9, 2009) pursuant to 50 CFR 424.12(b). The designation took
525 effect on November 9, 2009 (Figure 9). In freshwater, designated critical habitat includes: 1)
526 the Sacramento River from the Sacramento I-Street bridge to Keswick Dam, including the
527 Sutter and Yolo bypasses; 2) the Feather River from its confluence with the Sacramento River
528 upstream to Fish Barrier Dam; 3) the Yuba River from its confluence with the Feather River
529 upstream to Daguerre Point Dam; 4) the American River from its confluence with the
530 Sacramento River upstream to the Highway 160 bridge; and 5) the Sacramento-San Joaquin
531 Delta (as defined by California Water Code section 12220). In coastal bays and estuaries,
532 designated critical habitat includes: 1) San Francisco, San Pablo, Suisun, and Humboldt bays in
533 California; 2) Coos, Winchester, Yaquina, and Nehalem bays in Oregon; 3) Willapa Bay and
534 Grays Harbor in Washington; and 4) the lower Columbia River estuary from the mouth to rkm
535 74. In coastal marine waters, designated critical habitat includes nearshore waters within the
536 60-fathom isobath from, and including, Monterey Bay north to the U.S./Canada border
537 (including the Strait of Juan de Fuca).

538

539 The designation of critical habitat for species uses the term primary constituent elements
540 (PCEs) or essential features. These PCEs are discussed in the sDPS critical habitat designation
541 (74 FR 52300, October 9, 2009). It is noted that revisions to the critical habitat regulation (81



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Figure 9. Map of critical habitat for the sDPS. Refer to text for more specific location information.

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FR 7414, February 11, 2016) removed the phrase “primary constituent elements” to alleviate the tension caused by trying to understand the relationship between it and the statutory definition of critical habitat that includes “physical or biological features.” However, the 2016 revisions to the critical habitat regulations grandfathered in existing critical habitat designations, including the sDPS green sturgeon, which describes primary constituent elements. This shift in terminology does not change the approach used in conducting the analysis.

The essential features of the sDPS critical habitat are as follows:

Freshwater riverine systems:

- a) Food resources. Abundant prey items for larval, juvenile, subadult, and adult life stages.
- b) Substrate type or size (i.e., structural features of substrates). Substrates suitable for egg deposition and development (e.g., bedrock sills and shelves, cobble and gravel, or hard clean sand, with interstices or irregular surfaces to “collect” eggs and provide protection from predators, and free of excessive silt and debris that could smother eggs during incubation), larval development (e.g., substrates with interstices or voids providing refuge from predators and from high flow conditions), and feeding of juveniles, subadults, and adults (e.g., sand/mud substrates).
- c) Water flow. A flow regime (i.e., the magnitude, frequency, duration, seasonality, and rate-of-change of fresh water discharge over time) necessary for normal behavior, growth, and survival of all life stages.
- d) Water quality. Water quality, including temperature, salinity, oxygen content, and other chemical characteristics, necessary for normal behavior, growth, and viability of all life stages.
- e) Migratory corridor. A migratory pathway necessary for the safe and timely passage of all life stages within riverine habitats and between riverine and estuarine habitats (e.g., an unobstructed river or dammed river that still allows for safe and timely passage).
- f) Depth. Deep (≥ 5 m) holding pools for both upstream and downstream holding of adult or subadult fish, with adequate water quality and flow to maintain the physiological needs of the holding adult or subadult fish.
- g) Sediment quality. Sediment quality (i.e., chemical characteristics) necessary for normal behavior, growth, and viability of all life stages.

For estuarine habitats:

- a) Food resources. Abundant prey items within estuarine habitats and substrates for juvenile, subadult, and adult life stages.
- b) Water flow. Within bays and estuaries adjacent to the Sacramento River (i.e., the Sacramento-San Joaquin Delta and the Suisun, San Pablo, and San Francisco bays), sufficient flow into the bay and estuary to allow adults to successfully orient to the incoming flow and migrate upstream to spawning grounds.
- c) Water quality. Water quality, including temperature, salinity, oxygen content, and other chemical characteristics, necessary for normal behavior, growth, and viability of all life stages.

- 589 d) Migratory corridor. A migratory pathway necessary for the safe and timely passage of
590 all life stages within estuarine habitats and between estuarine and riverine or marine
591 habitats.
592 e) Depth. A diversity of depths necessary for shelter, foraging, and migration of juvenile,
593 subadult, and adult life stages.
594 f) Sediment quality. Sediment quality (i.e., chemical characteristics) necessary for normal
595 behavior, growth, and viability of all life stages.

596 For nearshore coastal marine areas:

- 597 a) Migratory corridor. A migratory pathway necessary for the safe and timely passage of
598 all life stages within marine and between estuarine and marine habitats.
599 b) Water quality. Nearshore marine waters with adequate DO levels and low enough
600 levels of contaminants (e.g., pesticides, organochlorines, elevated levels of heavy
601 metals) to allow normal behavior, growth, and viability of subadult and adult green
602 sturgeon.
603 c) Food resources. Abundant prey items for subadults and adults, which may include
604 benthic invertebrate fishes.
605

606 **Threats Assessment**

607

608 In 2010, the sDPS green sturgeon Recovery Team conducted a threats assessment to reevaluate
609 the threats affecting green sturgeon to provide the basis for a recovery plan. Appendix A
610 describes the methodology used to conduct the threats assessment for each habitat unit and the
611 definitions for each specific threat for each threat category for each habitat. In 2015, the
612 Recovery Team reconvened to discuss the recovery plan draft and concluded that the threats
613 assessment was still current.
614

615 The Recovery Team ranked threats across the following geographic areas and life stages: 1)
616 Sacramento River Basin (SRB; Sacramento River and its tributaries) – adults, eggs, larvae,
617 juveniles; 2) San Francisco Bay Delta Estuary (SFBDE; tidal waters inland of the Golden Gate
618 Bridge and the legal boundaries of the Delta as defined in California Water Code Section
619 12220) – adults, subadults, juveniles; 3) Coastal Bays and Estuaries (CBE; the bays and
620 estuaries along the west coast (mainly from Grays Harbor south to Monterey Bay, but
621 excluding SFBDE) - adults, subadults; and 4) Nearshore Marine (NM; nearshore waters (shore
622 to a depth of approximately 110 m from Alaska to mid Baja California, Mexico)) - adults,
623 subadults. Life stages are defined as: 1) eggs from release to hatching, 2) larvae hatched from
624 eggs until complete metamorphosis (1 to 6 centimeters [cm] total length [TL]), 3) juveniles
625 from complete metamorphosis until their first entry to the ocean (6 to 65 cm TL), 4) sub-adults
626 from first ocean entry to first spawning (65 to 150 cm TL), and 5) adults that are sexually
627 mature and fish greater than 150 cm.
628

629 Current and future threats were considered following guidelines developed under Conservation
630 Measures Partnership and Benetech’s Miradi program (<https://miradi.org/>). Threats were
631 classified as “Very High, High, Medium, Low, or Not Applicable” and based on the “scope,
632 severity, and permanence” of the threat (see Appendix A for more detail). Although data
633 sufficiency was not used to derive a final ranking for each threat, it was considered in reference

634 to each threat and is detailed in Table 1. It should be noted that threats were ranked within
635 habitat units only, and sometimes relative to other threats within the same habitat unit, in terms
636 of their severity. Thus, threat rankings within each habitat unit are relative to that habitat unit
637 only rather than in comparison across habitat units. When preparing to allocate limited
638 resources to recovery, stakeholders should recognize that additional work would be required to
639 compare threats across habitat units. A Very High or High score for scope/severity or for
640 permanence also had a large influence on the overall rating. Many threats in the CBE and NM
641 were influenced by these factors, particularly because permanence was ranked highly, even
642 though data sufficiency was ranked low. These factors were considered when deciding whether
643 a threat should be addressed through a research priority or recovery action. In some cases,
644 insufficient information about a Very High or High ranking threat prevented the development
645 of a recovery action, so a research priority was developed instead. This additional research
646 could improve our understanding of a threat, refine threat ranking, and lead to the development
647 of a research action.

648

649 The conclusion reached by the Recovery Team following their threats assessment was that the
650 primary threats identified at the time of listing were still present, although no new evidence
651 suggested a decline in abundance. Most of the assessed threats were given a Low or Medium
652 ranking, with 24 of the 87 threats ranked High or Very High for any habitat unit or life stage
653 within a unit (Table 1). However, for many of the threats ranked High or Very High, the level
654 of data sufficiency regarding the threat and its effects on the species was low (Table 1). In
655 other words, the Recovery Team felt that these threats could have substantial impacts on the
656 species, but also expressed a high degree of uncertainty regarding these threats, either due to a
657 lack of understanding about the species or the threat itself. For some of these threats, research
658 priorities rather than recovery actions were developed for many threats. The only threat ranked
659 as High or Very High that also had a high degree of data sufficiency was that of impoundments
660 causing a barrier to migration in the SRB.

661

662 Recovery actions (Chapter III, IV) are provided for most threats ranked Very High or High as
663 well as some that were ranked Medium or Low, because new information indicates that the
664 threat may substantially affect the sDPS. For example, following the threats assessment, new
665 information became available regarding entrainment risk to green sturgeon (Mussen et al.
666 2014). The Recovery Team's threats assessment does not reflect this new study, but the plan's
667 recovery actions include a measure to address this threat. As stated above, some threats ranked
668 as Very High or High were not assigned a recovery action, due to low data sufficiency and/or
669 limited current understanding of the threat, the impact of scope, permanence, or geographic
670 area on the overall ranking, or some combination of these factors.

671

672 **Threats to sDPS green sturgeon (organized by the five ESA listing factors)**

673

674 The narrative below provides a description of the threats identified by the Recovery Team
675 based on the five listing factors described in ESA section 4(a)(1) that need to be addressed in
676 order to promote recovery of the sDPS.

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Table 1. Results of the Recovery Team assessment in ranking threats across habitat types with associated data sufficiency. See main text and Appendix A for more details. Note: Listing Factor D “Inadequacy of existing regulatory mechanisms” was addressed for each specific threat under listing factors A through C and E. Blank categories (grey cells) indicate specific threats that were not selected for rating (described in greater detail in Appendix A). Specific threats ranked Very High and High are highlighted in red and yellow, respectively.

Listing Factor	Threat Category	Specific Threat	Sacramento River Basin					
			Eggs	Data Sufficiency	Larvae/Juveniles	Data Sufficiency	Adults	Data Sufficiency
A. Habitat Destruction, Modification, or Curtailment	Altered Water Flow	Channel control structures	Medium	Low	Medium	Low	Low	Medium
		Impoundments	Medium	Low	Low	Low	Medium	Medium
		Upstream Diversions	Low	Low	Low	Low	Low	Low
		Local Diversions	Medium	Low	Medium	Low	Medium	Low
		Bypasses			Low	Low	Medium	Low
	Altered Prey Base	Non-native species			High	Low	Medium	Low
		Global climate change			High	Low	Medium	Low
		Non-point source contaminants			Medium	Low	Medium	Low
		Point source contaminants			Medium	Low	Low	Low
		Harvest of prey species					Low	Low
		Dredging and disposal of dredged materials			Low	Low	Low	Low
	Altered Water Temperature	Global climate change	Medium	Low	High	Low	High	Low
		Impoundments	High	Medium	High	Medium	Medium	Medium
		Sacramento River temperature management	Medium	Medium	Medium	Medium	Medium	Medium
		Local diversions	Medium	Medium	Medium	Medium	Medium	Medium
		Point source thermal effluent	Medium	Low	Medium	Low	Low	Low
		Bypasses			Medium	Low	Medium	Low
		Non-point source thermal effluent	Low	Low	Medium	Low	Low	Low
	Contaminants	Non-point source contaminants	High	Medium	High	Medium	High	Medium
		Point source contaminants	High	Medium	High	Medium	High	Medium
		Dredging and disposal of dredged material	Low	Low	Low	Low	Low	Low
		In-water construction	Low	Low	Low	Low	Low	Low
	Altered Sediment	Impoundments	Medium	Low	Medium	Low	Medium	Medium
		Non-point source sediment	Medium	Low	Medium	Low	Medium	Low
		Channel control structures	Medium	Medium	Medium	Medium	Medium	Medium
		Shoreline development	Medium	Low	Medium	Medium	Medium	Medium
		Local diversions	Low	Medium	Low	Low	Medium	Low
Point source sediment		Low	Low	Low	Low	Medium	Low	
Dredging and disposal of dredged material		Low	Low	Low	Low	Low	Low	
Augmentation		Low	Low	Low	Low	Low	Low	
In-water construction		Low	Low	Low	Low	Low	Low	
Sand/gravel mining	Low	Low	Low	Low	Low	Low		

	Threat Category	Specific Threat	Sacramento River Basin					
			Eggs	Data Sufficiency	Larvae/Juveniles	Data Sufficiency	Adults	Data Sufficiency
	Barriers to Migration	Impoundments			Low	Medium	High	High
		Anthropogenic underwater sound			Low	Low	Low	Low
		Bypasses			Low	Low	Medium	Medium
		In-water structures			Low	Low	Low	Medium
		Anthropogenic light			Low	Low	Low	Low
		Local diversions			Low	Medium	Low	Medium
	Water Depth Modification	Non-point source sediment	Medium	Low	Medium	Low	High	Low
		Impoundments	Medium	Low	Medium	Low	Medium	Medium
		Mitigation and restoration	Medium	Low	Medium	Low	Medium	Low
		Dredging and disposal of dredged material	Low	Low	Low	Low	Low	Low
		In-water construction	Low	Low	Low	Low	Low	Low
		Point source sediment	Low	Low	Low	Low	Low	Low
	Loss of Wetland Function	Shoreline development	Medium	Low	Medium	Low	Medium	Low
		In-water construction	Low	Low	Low	Low	Low	Low
	B. Overutilization for Recreational, Commercial, Scientific, or Educational Purposes	Take	Poaching	Medium	Low	Low	Low	Medium
Fisheries					Low	Low	Low	Medium
Derelict fishing gear			Low	Low	Low	Low	Low	Low
Scientific research activities			Low	High	Low	High	Low	High
Reduced Genetic Diversity		Artificial propagation of green sturgeon			Low	Low	Low	Low
C. Disease and Predation	Disease	Water quality	Medium	Low	Medium	Low	Medium	Low
		Native and non-native species	Low	Low	Low	Low	Low	Low
		Hatcheries	Low	Low	Low	Low	Low	Low
	Predation	Native species	High	Medium	Medium	Medium		
		Marine mammals			Low	Low	Low	Low
		Non-native species	High	Medium	Medium	Low		
E. Other Natural or Man-made Factors	Competition for Habitat	Native and non-native species			High	Low	Medium	Low
	Take	Electromagnetic field			Low	Low	Low	Low
		Anthropogenic underwater sound	Low	Low	Low	Low	Low	Low
		Entrainment at water diversion intakes	Low	Low	Medium	Medium	Low	Low
		Vessel propeller strikes			Low	Low	Low	Low

Listing Factor	Threat Category	Specific Threat	San Francisco Bay Delta Estuary				Coastal Bays and Estuaries		Nearshore Marine	
			Juveniles	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency
A. Habitat Destruction, Modification, or Curtailment	Altered Water Flow	Channel control structures	Very High	Low	Very High	Low				
		Impoundments	Very High	Low	High	Medium	High	Medium		
		Upstream Diversions	High	Low	Medium	Low	Medium	Medium		
		Local Diversions	Low	Medium	Low	Medium				
	Altered Prey Base	Non-native species	Medium	Low	Medium	Low	Very High	Low	Very High	Low
		Global climate change	High	Low	High	Low	High	Low	High	Low
		Non-point source contaminants	High	Medium	Medium	Low	Medium	Low	Low	Low
		Point source contaminants	Low	Medium	Low	Medium	Medium	Low	Low	Low
		Harvest of prey species	Low	Low	Low	Low	Low	Low	Medium	Low
		Bottom trawling							Medium	Low
		Dredging and disposal or dredged materials	Low	Low	Low	Low	Low	Low	Low	Medium
		Sand mining	Low	Low	Low	Low				
		In-water structures					Low	Low	Low	Low
		Electromagnetic field							Low	Low
	Altered Water Temperature	Global climate change					Very High	Low	High	Low
		Impoundments					High	Medium	Low	Medium
		Point source thermal effluent					Low	Low	Low	Medium
		Upstream diversions					Medium	Medium	Low	Medium
	Contaminants	Non-point source contaminants	High	Medium	Medium	Medium	Medium	Medium	Low	Low
		Point source contaminants	Low	Low	Low	Low	Medium	Low	Low	Low
		Oil and chemical spills	Low	Low	Low	Low	High	Low	Medium	Medium
		Dredging and disposal of dredged material	Low	Medium	Low	Medium	Low	Medium	Low	Medium
		In-water construction	Low	Low	Low	Low	Low	Low	Low	Medium
		Aquaculture					Low	Low	Low	Low
	Altered Sediment	Impoundments					High	Low	Medium	Low
		Non-point source sediment					Medium	Low	Low	Low
		Channel control structures					Medium	Low		
Shoreline development						Medium	Low			
Upstream diversions						Medium	Low			
Dredging and disposal of dredged material						Low	Medium	Low	Medium	

Listing Factor	Threat Category	Specific Threat	San Francisco Bay Delta Estuary				Coastal Bays and Estuaries		Nearshore Marine	
			Juveniles	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency
A. Habitat Destruction, Modification, or Curtailment	Altered Sediment	Augmentation					Low	Low		
		In-water construction					Low	Low		
		Beach renourishment					Low	Low		
		Sand/gravel mining					Low	Medium		
	Barriers to Migration	Water quality	Low	Low	Low	Low	High	Low	Medium	Low
		Anthropogenic underwater sound	Medium	Low	Medium	Low	Medium	Low	Medium	Low
		Electromagnetic field	Medium	Low	Medium	Low	Medium	Low	Medium	Low
		In-water structures	Low	Low	Low	Low				
		Anthropogenic light					Low	Medium	Low	Low
	Water Depth Modification	Non-point source sediment					Medium	Medium	Low	Medium
		Impoundments					Medium	Medium		
		Mitigation and restoration					Low	Medium		
		Dredging and disposal of dredged material	Low	Low	Low	Low	Low	Medium	Low	Medium
		In-water construction					Low	Medium		
	Loss of Wetland Function	Sand/gravel mining			Low	Low	Low	Medium		
		Non-native species	Medium	Low	Low	Low	High	Low		
		Shoreline development	Medium	Low	Medium	Low	Medium	Low		
		In-water construction	Low	Low	Low	Low	Low	Low		
		Dredging and disposal of dredged material	Low	Low	Low	Low	Low	Low		
		Beach renourishment					Low	Low		
	Altered Turbidity	Impoundments					High	Low	Medium	Low
		Shoreline development					Medium	Low		
		Dredging and disposal of dredged material					Low	Low	Low	Low
Non-point source turbidity						Low	Low	Low	Low	
Beach renourishment						Low	Low			
Point source turbidity						Low	Low			
B. Overutilization for Recreational, Commercial, Scientific, or Educational Purposes	Take	Poaching	Low	Low	Medium	Low	Low	Medium	Low	Low
		Fisheries	Low	Medium	Low	High	Medium	Medium	Medium	Medium
		Derelict fishing gear					Medium	Low	Low	Low
		Scientific research activities	Low	High	Low	High	Low	High	Low	Medium

687

Listing Factor	Threat Category	Specific Threat	San Francisco Bay Delta Estuary				Coastal Bays and Estuaries		Nearshore Marine	
			Juveniles	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency	Adults/ Subadults	Data Sufficiency
C. Disease and Predation	Disease	Water quality	Low	Low	Low	Low	Medium	Low	High	Low
		Native and non-native species	Low	Low	Low	Low	Medium	Low	High	Low
		Hatcheries	Low	Low	Low	Low	Medium	Low	Medium	Low
	Predation	Native species	High	Low	Medium	Low	High	Low	Low	Low
		Marine mammals	Medium	Low	High	Low	High	Low	Low	Low
		Non-native species	High	Low						
E. Other Natural or Man-made Factors	Competition for Habitat	Native and non-native species	Medium	Low			High	Low	High	Low
	Take	Electromagnetic field	Low	Low	Low	Low	Medium	Low	High	Low
		Anthropogenic underwater sound	Low	Low	Low	Low	Medium	Low	Medium	Low
		Entrainment at water diversion intakes	Low	High	Low	High	Low	Low		
		Entrainment from hydrokinetic projects	Low	Low	Low	Low	Low	Low	Medium	Low
		Vessel propeller strikes	Low	Low	Low	Low	Low	Low	Low	Low
		Entrainment from dredging	Low	Low	Low	Low	Low	Low	Low	Low
		Water quality	Low	Low	Low	Low				

688

689 **Listing Factor A - Destruction, Modification, or Curtailment of Habitat or Range**

690

691 The majority of the threats examined by the Recovery Team and all of the threats ranked as Very
692 High were in this Listing Factor category. Major threats ranked as High or Very High include
693 altered water flow, prey base, water temperatures, water quality (including turbidity) and depth,
694 and sediments. As in the original listing, barriers to migration were also recognized as a
695 considerable threat. Additional threats included contaminants and loss of wetland function.

696

697 **Altered Water Flow**

698

699 Within the SFBDE, channel control structures, impoundments and upstream diversions were
700 recognized as specific threats that have altered and impacted juvenile and subadult/adult sDPS
701 green sturgeon. The SFBDE environment has been highly impacted by structures built to divert
702 water and by upstream impoundments, which have changed flow patterns, channel morphology,
703 and water depth/presence and salinity in certain areas. Localized flow patterns can impact
704 habitat quality for the sDPS and flow may impact migration and movement. Data sufficiency
705 was low in terms of the impact of altered water flow in the SFBDE.

706

707 Altered water flow was ranked as a Medium to Low threat within the SRB. A discussion of the
708 impact of altered flow as a barrier to migration can be found in the corresponding section below.
709 As indicated in sections above, flow may be a migration cue for green sturgeon, so altered flows
710 could impact in or out migration. Flows could also impact the number of deep pools in the river
711 as well as those with specific characteristics (possibly including flow) that are necessary for
712 spawning. Flow is also likely important for egg development and larval dispersal, but specific,
713 appropriate flow rates are not determined. Reduced spring flows could negatively impact
714 recruitment, given the likely relationship between high spring flows and high sDPS green
715 sturgeon recruitment seen in 2006 (Heublein et al. 2017a). Successful spawning in the Feather
716 River has also been linked to high spring flows (2011 and 2017; Heublein et al. 2017a). Under
717 existing regulated conditions on the Feather River, the high spring flows that appear to be
718 necessary for green sturgeon spawning are extremely rare. In light of this new information,
719 altered water flow may be greater than a Medium to Low threat to recovery in the SRB.

720

721 Within the CBE, altered flow due to impoundments was ranked High, with medium data
722 sufficiency. Relatively large numbers of Southern DPS green sturgeon seasonally utilize the
723 following bays and estuaries: 1) Humboldt Bay in California; 2) Coos, Winchester, Yaquina, and
724 Nehalem bays in Oregon; 3) Willapa Bay and Grays Harbor in Washington; and 4) the lower
725 Columbia River estuary from the mouth to river kilometer 74 (the SFBDE is discussed
726 separately). Of the CBEs listed, the Columbia River estuary has the most significant alterations
727 to unimpacted flow related to impoundments. In this case, water management operations hold
728 back water during spring and early summer compared to pre-development condition, thereby
729 reducing flows in the estuary. This can affect salinity intrusion and other water parameters such
730 as DO concentrations along the bottom. Southern DPS subadults and adults would likely be able
731 to find areas of suitable water quality but foraging habitat may be affected by factors associated
732 with altered flow. Additional studies are needed to understand the relationship between flow and
733 foraging habitat in the CBE as well as how flows may be impacted by climate change (e.g., in
734 the Nehalem, Umpqua and other important estuaries).

735 **Altered Prey Base**

736

737 Within this category, non-native species, climate change, and contaminants are all specific
738 threats ranked as highly impacting the sDPS prey base. Data sufficiency for almost all of the
739 areas and life-stages identified was considered low.

740

741 In the SRB, an altered prey base was considered a High threat to larvae/juvenile sDPS due to
742 non-native species and global climate change. The establishment of non-native species of plants
743 and invertebrates (e.g., mussels, clams) has the potential to alter food resources for the sDPS and
744 the effects could be exacerbated by climate change. Projected 33% salinity increases in the SRB
745 in the 21st century due to climate change may result in declining habitat quality and food web
746 productivity (CH2M HILL 2014).

747

748 In the SFBDE, an altered prey base due to global climate change was considered a High threat to
749 juvenile and adult life-stages, while the impact of non-point source contaminants through run-off
750 and agricultural practices on the prey base were considered a High threat to juveniles.

751 Laboratory experiments confirm the potential negative impacts on green sturgeon of predicted
752 salinity and prey base changes due to climate change in the San Francisco Bay Delta (Sardella
753 and Kultz 2014; Haller et al. 2015; Vaz et al. 2015). Research conducted on white and green
754 sturgeon has shown that many of the non-native food resources including the non-native overbite
755 clam, *Corbula amurensis*, are either non-digestible (Kogut 2008) or, if digested, may expose
756 green sturgeon to selenium (CDFG 2002; Linville et al. 2002). Bioaccumulation and exposure to
757 selenium may have negative effects on green sturgeon and has been shown to cause viability and
758 reproductive issues in other species (see Contaminant section below).

759

760 Within the CBE and the NM, an altered prey base due to non-native species and climate change
761 was recognized as a Very High and High threat, respectively. Data sufficiency was considered
762 low. As mentioned above, the sDPS utilizes CBE along the west coast for feeding. Some of
763 these estuaries, such as Willapa Bay, have been impacted by non-native and invasive species
764 including *Spartina alterniflora* and *Zostera japonica*, which can alter prey resources for the
765 sDPS (Grosholz et al. 2009; Patten 2014; Moser et al. 2017). An invasive isopod affecting blue
766 mud shrimp (*U. pugettensis*) in estuaries (Chapman et al. 2012) and the invasive European green
767 crab, *Carcinus maenas*, that preys on burrowing shrimp and displaces habitat, could also impact
768 sDPS prey resources (Jamieson 1998; NMFS 2014). In the Umpqua River estuary, non-native
769 warmwater species like smallmouth bass could potentially impact food availability, particularly
770 in the upper estuary (ODFW 2017). In both the CBE and NM, global climate change may have
771 an adverse effect on benthic prey either directly or indirectly. Climatic shifts/ocean acidification
772 could also impact invasive species abundance. The Recovery Team confirmed that studies are
773 needed to understand the impacts of non-native species and climate change on the sDPS prey
774 base in the CBE and NM environments. In the NM, particularly, little is known about the prey
775 base of the sDPS. Contaminants could also impact the prey base in the CBE (ranked Medium),
776 as discussed in the Contaminants section.

777

778 **Altered Water Temperature**

779

780 The threat posed by altered water temperatures due to impoundments was ranked High in the
781 SRB for eggs and juveniles, with medium data sufficiency. Impoundments alter natural flow
782 regimes, which in turn affect the water temperature of the river downstream of the impoundment.
783 If water released from the impoundments results in water temperatures that are not within the
784 optimal thermal window for development, survival and growth will be limited.

785

786 In the Feather River, spawning has only been documented at the Thermalito Afterbay Outlet
787 (Figure 3). Late spring and summer water temperature in the lower Feather River can exceed
788 suitable ranges for normal egg and larval development (NMFS 2016a). Green sturgeon spawned
789 in 2011 and 2017 in the Feather River at the Thermalito Afterbay Outlet and Fish Barrier Dam,
790 respectively. Water temperature was substantially cooler than average in both years, likely due
791 to the above average flow that occurred in spring.

792

793 Sacramento River temperature management was rated as a Medium threat to all life stages by the
794 Recovery Team. The California State Water Resource Control Board Water Rights Orders 90-05
795 and 91-01 and the Reasonable and Prudent Alternative (RPA) issued for the long-term operations
796 of the Central Valley Project and State Water Project (NMFS 2009a, 2011) requires maintenance
797 of 13.3°C water temperature at a compliance point ranging from RBDD to above the confluence
798 of the Sacramento River and Clear Creek. The CALFED Science Review Panel (2009) felt
799 temperatures associated with this compliance point might reduce the growth rate of larvae and
800 post-larvae relative to warmer temperatures (CALFED Science Review Panel 2009). Under
801 laboratory conditions, Mayfield and Cech (2004) reported optimal bio-energetic performance of
802 age 0 and age 1 nDPS green sturgeon at 15 to 19°C. Summer water temperatures in the upper
803 Sacramento River have typically been below this range, within lab-based optima for egg
804 development but below lab-based optima for larval and juvenile growth (Van Eenennaam et al.
805 2005; Mayfield and Cech 2004; Allen et al. 2006). Notably, temperatures throughout the upper
806 Sacramento River were in excess of 13.3 °C during periods of 2014 and 2015 due to the historic
807 drought but the effect of this on green sturgeon production remains unclear. Although the first
808 successful season of directed juvenile green sturgeon sampling near RBDD occurred during
809 elevated temperatures in 2015, years with more typical precipitation levels and water
810 temperatures (2011 and 2016) had the highest larval green sturgeon catch on record (USFWS
811 unpublished data). ACID Dam currently serves as a migration barrier, but water temperature
812 above ACID Dam could be an issue should passage be created, because cold-water releases from
813 Keswick Dam may deter sDPS spawning between Keswick and ACID dams.

814

815 Temperatures in the Yuba River may be detrimental for the sDPS. Summer water temperatures
816 in accessible portions of the Yuba River (downstream from Daguerre Point Dam) may exceed
817 18°C, potentially impacting sDPS spawning and normal egg development (Lower Yuba River
818 Accord River Management Team Planning Group [LYRARMTPG] 2010).

819

820 The threat posed by altered water temperatures due to impoundments was ranked High in the
821 CBE, with medium data sufficiency. Impoundment outflow temperature can be one of multiple
822 factors influencing water temperatures in the CBE. The Recovery Team indicated that the threat
823 was high because of its potential effect of altered water temperatures on food resources and

824 sDPS green sturgeon growth in the CBE. Additional studies are needed to understand the
825 relationship between water temperature and foraging habitat in the CBE.

826
827 The threat posed by altered water temperatures due to climate change was ranked as High or
828 Very High in the SRB (all life-stages except eggs), CBE and NM, with low data sufficiency.
829 Future changes in weather patterns, ocean currents, and marine and freshwater temperatures are
830 potential sources of uncertainty for green sturgeon throughout the west coast of North America.
831 In the SRB, climate change models predict increased air temperatures in the Central Valley and
832 surrounding mountains (Ficklin et al. 2012), altered precipitation patterns with a higher
833 frequency of dry years, reduced spring snowpack, and reduced spring flows (Knowles and Cayan
834 2002; CH2M HILL 2014). Water temperatures in the SRB could also increase (CH2M HILL
835 2014). A warming climate with continued changes in precipitation patterns may influence
836 reservoir operations and thus influence water temperature and flow that sDPS experience in the
837 Sacramento, Feather, and Yuba rivers.

838
839 In the CBE, similar climate-change induced habitat quality impacts in estuaries in Washington
840 and Oregon could affect the health of sub-adult and adult sDPS. Sea level rise is predicted to
841 cause losses of tidal habitats in Willapa Bay and Grays Harbor (Washington State Department of
842 Ecology 2012). Green sturgeon occupy the CBE in summer months such that elevated water
843 temperatures and associated changes in water quality in CBEs may affect behavior (e.g.,
844 occupancy length), bioenergetic performance, and growth (Moser and Lindley 2007; Washington
845 State Department of Ecology 2012; Borin et al. unpublished). In the Umpqua estuary, increased
846 temperatures have occurred due to factors including below average snow packs, early cessation
847 of rains, and early and prolonged above average air temperatures. Subadult and adult sDPS can,
848 however, occupy habitats with a wide range of temperature, salinity, and DO levels (Kelly et al.
849 2007; Moser and Lindley 2007), so predicting the impact of climate change in these
850 environments is difficult. In the NM and CBE, changing ocean conditions such as rising
851 temperatures, ocean acidification, and changes of migrations of prey species could impact the
852 sDPS. Overall, our knowledge of the environmental impact of climate change is increasing, but
853 the direction of the impact on the sDPS is unknown at this point in time. Monitoring potential
854 impacts into the future is important.

855 856 **Contaminants**

857
858 Non-point and point source contaminants were seen as a High threat to all life-stages within the
859 SRB, with low to medium data sufficiency. Exposure to contaminants within the SRB stems
860 from agriculture runoff, urban development, discharge from various industries and user groups,
861 and legacy contaminants from mining. Land use practices continue to cause deposition of
862 mercury, polychlorinated biphenyls (PCB), heavy metals, and persistent organochlorine
863 pesticides in watersheds throughout the Central Valley. Although most of these contaminants
864 are at low concentrations in the food chain, they continue to work their way into the base of the
865 food web, particularly when sediments are disturbed and compounds are released into the water
866 column. Contaminants found in the SRB were determined to pose the greatest threat to eggs,
867 larvae, and juveniles, resulting in reduced growth, injury, or mortality. Contaminants could also
868 negatively affect the reproductive capacity of female adults during spawning. In addition,

869 pyrethroid insecticides used in crop protection and home pest control may affect aquatic
870 invertebrates and the prey base of the sDPS.

871
872 Non-point source contaminants entering the SFBDE as runoff (e.g., urban sites, forests,
873 agricultural lands, landfills, pastures, mines, nurseries, etc.) were considered a High threat for
874 juvenile green sturgeon, with low to medium data sufficiency. Poor agricultural practices result
875 in a low water-holding retention of the soil causing high runoff rates of pesticides, petroleum
876 hydrocarbons, and other contaminants during rain events and irrigation. Due to their widespread
877 nature, increased permanence within the environment, and the fact that effects are difficult to
878 reverse, non-point source contaminants were considered to potentially have a negative impact on
879 juvenile growth and reproductive capacity of females. Although the accumulation of
880 contaminants in green sturgeon has not been studied, bioaccumulation of contaminants in white
881 sturgeon is well documented (e.g. Feist et al. 2005), and may also occur in green sturgeon. As
882 stated above, the diet of green sturgeon in the estuary includes overbite clams, a non-native
883 species known to bioaccumulate selenium (CDFG 2002; Linville et al. 2002). Recent laboratory
884 research has revealed that green sturgeon are highly sensitive to selenium with potential impacts
885 including reduced growth and organ abnormalities (Silvestre et al. 2010, Bakke et al. 2010; Lee
886 et al. 2011; De Riu et al. 2014).

887
888 Point and non-point source contaminants were also ranked as a Medium threat to the sDPS and
889 their prey base within the CBE. The application of chemicals and pesticides (e.g., carbaryl,
890 imidacloprid) to control burrowing shrimp (i.e., ghost shrimp (*Neotrypaea californiensis*) and
891 mud shrimp (*Upogebia pugettensis*) populations in Washington estuaries may also pose a threat
892 to the sDPS, through porewater exposure or by feeding on affected burrowing shrimp (Dumbauld
893 et al. 2008; NMFS 2009b; Frew 2013; Frew et al. 2015). Carbaryl application has been phased
894 out and the chemical imidacloprid, an alternative to carbaryl, is being considered for use in
895 Washington. The impact of imidacloprid exposure to green sturgeon, studied using field
896 experimentation and modeling, found no evidence of acute toxicity to green sturgeon and
897 minimal risk to the species (Frew 2013; Frew et al. 2015). In Yaquina and Coos Bay and the
898 Umpqua estuaries, various industries release contaminants into the estuary. Research is needed to
899 understand the effects on green sturgeon and their prey species.

900
901 The threat of oil and chemical spills was recognized as a High threat in the CBE with medium
902 data sufficiency, but consensus was not reached on specific impacts to the sDPS and the
903 permanence of the threat. Updating existing oil and chemical response plans so as to minimize
904 sDPS impacts was seen as useful in mitigating this threat.

905 906 **Altered Sediments**

907
908 The threat of altered sediments due to impoundments was ranked High in the CBE. The creation
909 of upstream dams and impoundments can reduce sediment delivery to bays and estuaries. This
910 can impact sDPS feeding habitat quality and quantity through changes in sediment deposition
911 and composition and subsequent changes in prey resources or through changes in turbidity that
912 could impact habitat use and predation by site-predators. In the Columbia River basin,
913 impoundments have reduced total sediment discharge to about one-third of nineteenth-century

914 levels. Data sufficiency was low and the effects on green sturgeon are largely theoretical and
915 have not been studied. Additional research in this area was considered a priority.

916

917 **Barriers to Migration**

918

919 Barriers to migration caused by impoundments were recognized as a High threat to adult sDPS in
920 the SRB, with high data sufficiency. Large dams constructed on the Sacramento, Feather, and
921 Yuba rivers have restricted spawning and rearing areas for the sDPS by presenting a physical
922 barrier to migration (see Distribution section above and Figure 3). Impassible barriers were
923 recognized as a main threat to the sDPS in the original listing decision as well as in subsequent
924 status reviews. These barriers, along with water management actions that divert water for other
925 uses and restrict water at certain times of year, affect river flow volumes and temperatures
926 throughout the year. As described in sections above, flow may be an important cue for migration
927 and can factor into successful spawning, egg deposition, and early life-stage development.

928

929 In the mainstem Sacramento River (Figure 3), the decommissioning of RBDD in 2013 was an
930 important step in barrier removal, as the sDPS could reach spawning areas above RBDD during
931 all months of the year. The next significant barrier on the mainstem for the sDPS is ACID,
932 followed by Keswick and Shasta Dams. ACID Dam may be a passage barrier to address in
933 recovering the sDPS. Currently, the fish ladder at the ACID Dam is not adequate for sturgeon
934 passage.

935

936 Farther downstream, the Yolo and Sutter bypasses can also serve as a barrier to sDPS migration
937 during high water events (Thomas et al. 2013). During some high flow events, adult green
938 sturgeon enter the Yolo and Sutter bypasses and become stranded when the water recedes. In
939 some cases, adult sturgeon remain stranded in small isolated bypass ponds through the summer
940 or fall, making these fish extremely vulnerable to poaching and other sources of mortality. In
941 2011, 24 sDPS were rescued from the Yolo and Sutter bypasses (Thomas et al. 2013). Since
942 relocation efforts cannot prevent all mortality associated with stranding, and the loss of even a
943 few adult fish periodically should be avoided, it is important to construct structures at these weirs
944 that allow volitional passage of upstream migrating green sturgeon.

945

946 The Sacramento Deep Water Ship Channel can also block migration. There are multiple upriver
947 migration routes through the lower Sacramento River that either lead to the middle Sacramento
948 River and Feather River or terminate in areas with no upriver passage (e.g., Fremont Weir). The
949 Sacramento Deep Water Ship Channel terminates at closed locks in the City of West Sacramento
950 that separates the ship channel from the Sacramento River. These locks are approximately 32
951 kilometers upstream from open migration routes to spawning habitat and it is uncertain how long
952 fish encountering the closed locks search for open routes and resume normal migration. Adult
953 Chinook salmon are frequently observed in the vicinity of these locks during the fall migration
954 period attempting to enter the Sacramento River. Acoustically tagged adult sDPS have not been
955 detected in the vicinity of the Sacramento Deep Water Ship Channel locks. In 2011, 24 sDPS
956 without acoustic tags were collected at Fremont and Tisdale weirs during relocation and tagging
957 efforts (Thomas et al. 2013). Hence, the number of acoustically tagged fish and associated
958 detection has been insufficient to identify all migratory behaviors and potential barriers.

959

960 Within the Delta, the Delta Cross Channel may negatively impact migration. The Cross Channel
961 is a controlled diversion channel that tagged sDPS are known to use en route to and from
962 upstream spawning sites (Israel et al. 2010). Operation of the Delta Cross Channel gates may
963 influence downstream migration by providing migration cues for juvenile and adult sturgeon to
964 move from lower Sacramento River to the central Delta.
965

966 In the Feather River, the boulder weir at Sunset Pumps is the first potential barrier encountered
967 by migrating adult sDPS (Figure 3). The weir creates a partial barrier to adult sDPS migration to
968 the only confirmed spawning location in the Feather River. This barrier is flow-dependent.
969 With construction of Oroville Dam, late-winter and spring peak flows were reduced thus
970 hindering upstream migration. Niggemyer and Duster (2003) described the potential flows
971 needed for passage of green sturgeon, concluding that flows need to be higher than 10,000 cubic
972 feet per second (cfs). During recent high flow years, such as in 2006 (44,000 cfs) and 2011
973 (39,000 cfs), many green sturgeon were observed upstream, although what the flow was when
974 the fish passed upstream is not known. Recent analysis suggests that a small number of sturgeon
975 can pass upstream of the boulder weir when flows are very low (e.g., less than 1,500 cfs) and
976 spawning has been documented upstream of this barrier (Seesholtz et al. 2015). Although it
977 appears that some fish can pass the dam at low flows, higher flows would allow larger numbers
978 of adult sDPS to access upstream spawning sites on a consistent, annual basis. It is likely that the
979 sDPS also historically spawned above Oroville Dam.
980

981 On the Yuba River, Daguerre Point Dam is the lowermost barrier (Figure 3). It was built to trap
982 mining debris in the river and is now filled with sediment. The current function of the dam is to
983 maintain a suitable river elevation for a gravity–water fed diversion. It serves as a complete
984 barrier to sDPS migration, followed by Englebright Dam upstream. Water diversions associated
985 with Daguerre Point Dam also influence the flow regime in the Yuba River, potentially further
986 affecting the sDPS.
987

988 Within the CBE, water quality, due to anoxic bottom conditions or acidified pulp mill effluent
989 (Grays Harbor), was ranked as a “High” threat as a barrier to migration. Data sufficiency was
990 considered low. The degree to which this is a threat in specific estuaries and its impact on the
991 sDPS is currently uncertain.
992

993 **Water Depth Modification**

994
995 Water depth modification caused by non-point source sediment was ranked as a High threat to
996 adults within the SRB and a Medium threat to other life-stages in the SRB. Impoundments and
997 mitigation and restoration efforts (ranked Medium) were also considered as contributing to the
998 water depth modification threat to all life-stages in the SRB. Data sufficiency was considered
999 low. Non-point source sediment includes runoff from urban areas, agriculture, forests, irrigated
1000 lands, landfills, livestock, mining operations, nurseries, orchards, etc. as well as removal of
1001 riparian vegetation results in increased erosion and input of fine grain material into the water.
1002 Sediment from these sources can be deposited in pools. The sDPS requires deep pools for
1003 spawning and holding in the SRB. Large impoundments (e.g. Oroville, Shasta) that reduce the
1004 frequency of high flow events may limit pool scouring and result in a reduction of pool depth.
1005 Survival and development of early life stages within the SRB may also be impacted by non-point

1006 source sediments through altered turbidity and substrate composition. At the time that the
1007 Recovery Team conducted its assessment, the High ranking for adults was attributed, in part, to
1008 the impact of water depth modification on the quantity and habitat quality of deep pools. The
1009 work of Mora (2016) indicates 50-125 areas with greater than 5m depth available on the
1010 mainstem Sacramento River depending upon the year. It is uncertain as to whether all of these
1011 pools supply sufficient habitat for spawning and holding in terms of depth and substrate.
1012 Research on the effects of sedimentation and impoundments on the sDPS within each potential
1013 spawning river system (i.e. Sacramento, Feather, Yuba) is needed. Water depth modification due
1014 to non-point sediment was ranked as a Medium threat in the CBE. Human disturbance in the
1015 Umpqua River may be causing increased sediment to reach the estuary, increasing the potential
1016 necessity for dredging in the future. Monitoring will be needed moving forward as will an
1017 understanding of the fine scale spatial use of the Umpqua estuary by the sDPS.

1018 1019 **Loss of Wetland Function**

1020
1021 Loss of wetland function due to non-native species was considered a High threat to adults and
1022 sub-adults in the CBE. Data sufficiency was considered low. Some of these estuaries used by
1023 the sDPS for feeding, such as Willapa Bay, have been impacted by non-native species including
1024 *Spartina alterniflora* and *Zostera japonica* as well as non-native oysters, which can alter wetland
1025 function and prey resources for the sDPS (Grosholz et al. 2009; Moser et al. 2017; Patten et al.
1026 2012). In the SFDDBE, the invasive aquatic plant *Egeria densa*, is also having a negative impact
1027 on water quality and associated plant and animal species composition (Durand et al. 2016).
1028 Additional research is needed to understand the degree to which this is a threat in specific
1029 estuaries and its impact on the sDPS.

1030 1031 **Altered Turbidity**

1032
1033 Altered turbidity due to impoundments was ranked High for the CBE, with low data sufficiency.
1034 Impoundments upstream of bays and estuaries may result in a long-term reduction in turbidity by
1035 holding back sediment and this could result in increased predation on the sDPS. Additional
1036 research is needed to understand the degree to which this is a threat in specific estuaries and its
1037 impacts on the sDPS.

1038 1039 **Listing Factor B - Overutilization for Recreational, Commercial, Scientific, or Educational** 1040 **Purposes**

1041
1042 No threats within this Listing Factor category were listed as High or Very High, with fisheries
1043 and poaching considered Medium in some areas. In the past, fisheries had a considerable impact
1044 on the sDPS. At present, no fishery permits directed take or retention of green sturgeon,
1045 regardless of the DPS origin, with the exception of the Yurok Tribe fishery for nDPS green
1046 sturgeon in the Klamath River (see NMFS 2015 for more detail). Incidental take of green
1047 sturgeon does occur and action and research priorities are included in the recovery plan to better
1048 quantify and manage non-lethal take across all fisheries and post-release mortality. Poaching of
1049 the sDPS has been documented to occur, particularly in the SRB and SFBDE and Yolo and
1050 Sutter bypasses. Understanding annual rates of poaching is a research priority.

1051

1052 **Listing Factor C - Disease and Predation**

1053

1054 **Disease**

1055

1056 The Recovery Team ranked disease as a High threat in the NM for adults and subadults due to
1057 water quality and native and non-native species. The recovery team recognized that there are no
1058 current reports indicating that disease poses a problem, but ranked the permanence of the threat
1059 as Very High should disease transmission occur. Potential sources include disease transmittal
1060 from native and non-native species, release of diseased fish from hatcheries (e.g., iridovirus from
1061 white sturgeon), and reduced immunity from exposure to poor water quality, such as dead zones.
1062 At this time, the extent of this potential threat is unknown, data sufficiency is considered low and
1063 evaluating diseases to determine their significant to green sturgeon is a research priority in this
1064 recovery plan. Should disease be detected in the sDPS in the future, efforts to reduce exposure
1065 should be undertaken.

1066

1067 **Predation**

1068

1069 Predation was ranked High for eggs and Medium for larvae in the SRB and High in the SFBDE
1070 for larvae and juveniles due to native species (e.g., piscivorous fishes like the Sacramento sucker
1071 and pikeminnow, prickly sculpin) and non-native species (e.g., striped bass, carp, American
1072 shad, crayfish, centrarchids, catfish, and non-native minnows), with low to medium data
1073 sufficiency. Additional research is needed to understand the degree to which this is a threat in
1074 specific parts of the species range, the impact of predation on the status of the sDPS and the
1075 interaction between predation, flow, turbidity and temperature (e.g., whether predation increases
1076 with low flow, high temperature and/or low turbidity).

1077

1078 Predation was also ranked High for adults and subadults in the SFBDE and CBE due to marine
1079 mammals. Although Steller sea lions (*Eumetopias jubatus*) only have been observed feeding on
1080 white sturgeon in the Columbia, they are known to feed on green sturgeon in the Rogue River
1081 (see NMFS 2015) and white sturgeon in the SFBDE (CDFW, unpublished). Predation on the
1082 sDPS by California sea lions (*Zalophus californianus*) occurs in the Sacramento River, bays and
1083 Delta (CDFW 2013). Steller and California sea lion abundance has increased in recent decades
1084 (Carretta et al. 2013), but the impact on the sDPS has not been studied. Recovering marine
1085 mammal populations may intensify the likelihood of predation if effects on green sturgeon as
1086 prey are similar to those on adult salmonids, consumed by Steller and California sea lions at
1087 Bonneville Dam in the lower Columbia River (Keefer et al. 2012).

1088

1089 **Listing Factor D - The Inadequacy of Existing Regulatory Mechanisms**

1090

1091 At the time of listing, NMFS concluded that the inadequacy of existing regulatory mechanisms
1092 had contributed significantly to the decline of sDPS green sturgeon and to the severity of threats
1093 that the species faced in terms of fisheries, blocked passage, and water diversions (71 FR 17757,
1094 April 7, 2006). Some of these issues have been addressed as described in NMFS (2015), but
1095 improvements to regulatory mechanisms could still be made. Regulatory mechanisms were
1096 considered by the Recovery Team when ranking the threats under listing factors A through C and
1097 E. High or Very High rankings for many threats indicates that underlying regulatory

1098 mechanisms are likely inadequate. The broader regulatory landscape also has been recognized
1099 when defining recovery partners. There is a need to establish or improve regulatory mechanisms
1100 associated with Listing Factors A through C and E and, as highlighted throughout this recovery
1101 plan, specifically the regulatory mechanisms (e.g., Clean Water Act Section 404, ESA Section 7,
1102 California Fish and Game Code Section 1602, Federal Energy and Regulatory Commission
1103 licensing, state Fishery Management and Evaluation Plans) in the following areas:

- 1104
- 1105 • Sturgeon passage improvement at outstanding barriers to migration (e.g., boulder weir at
1106 Sunset Pumps, Daguerre Point Dam);
- 1107 • Modification of impoundment operations or facilities to address flow, water temperature,
1108 and sediment impacts (e.g., Oroville-Thermalito Complex, Keswick Reservoir, Shasta
1109 Lake);
- 1110 • Improvement of lock and gate operations at the Port of Sacramento and Delta Cross
1111 Channel;
- 1112 • Enforcement of poaching and other fishery regulations (e.g., bycatch in state fisheries);
- 1113 • Screening criteria and/or operations guidelines for agricultural, municipal, and industrial
1114 water diversions in the SRB and SFBDE;
- 1115 • Land use regulations for non-point and point source contaminants in the SRB and
1116 SFBDE;
- 1117 • Control of invasive species (e.g., overbite clam) in the SFBDE and CBE;
- 1118 • Response plans for oil and chemical spills in the SFBDE and CBE; and
- 1119 • Permitting of offshore and near-shore kinetic energy projects in the CBE and NM habitat.

1120

1121 **Listing Factor E - Other Factors**

1122

1123 Competition for habitat by native and non-native species was a threat ranked as High in the SRB
1124 (larvae/juveniles) and in the CBE and NM (subadults/adults). Data sufficiency for these threats
1125 was considered low. With habitat alteration in the SRB, ranges of native species (e.g.,
1126 Sacramento suckers, salmonids, white sturgeon) may have greater overlap with the sDPS,
1127 making competition more of a threat. Non-native species (e.g., striped bass) also compete for
1128 resources. Within the CBE, competition between white and green sturgeon could occur as
1129 habitats contract, especially given the impact of non-native species as described above in terms
1130 of wetland function and prey base. Within the NM, the Recovery Team recognized the need for
1131 more research looking at specific habitat utilization in these environments. Overall, additional
1132 research is needed to better evaluate this threat.

1133

1134 Electromagnetic fields were also considered a High threat in the NM, with low data sufficiency.
1135 Development and operation of offshore and near shore kinetic energy projects within the range
1136 of the sDPS (reviewed in NMFS 2015) could cause direct mortality, habitat loss, or migration,
1137 feeding or habitat impacts due to electromagnetic fields (Nelson et al. 2008; Normandeau et al.
1138 2011; EPRI 2013). A similar concern is the potential effect on green sturgeon from the use of
1139 turbines at the mouths of large rivers (e.g., just upstream of the Golden Gate Bridge in San
1140 Francisco Bay). The effect of electromagnetic fields from a high voltage, DC cable leading from
1141 Pittsburg to San Francisco has been studied based on detections of acoustically tagged green
1142 sturgeon before and after the cable was installed in 2010 (EPRI 2016). Cable activity affected
1143 transit times of the sDPS through the areas, but did not impact overall successful movement

1144 through the area. Additional research is needed regarding this threat, including that which
1145 examines the response of green sturgeon to different levels of electromagnetic fields (EPRI
1146 2013). It should be noted that the permitting process for these facilities considers potential sDPS
1147 effects and monitoring may be a requirement for any facility receiving a permit.
1148

1149 Although ranked as a Medium threat in the SRB and Low in all other areas,
1150 entrainment/impingement of green sturgeon larvae at screened and unscreened agricultural,
1151 municipal, and industrial water diversions in the SRB and SFBDE has recently been identified as
1152 an important threat. Green sturgeon appear to be highly vulnerable to entrainment in the
1153 thousands of diversions that exist in the Sacramento River and Delta (Mussen et al. 2014).
1154 Current screen criteria may not be useful in preventing sDPS impingement and entrainment (see
1155 NMFS 2015). In the laboratory, green sturgeon contact screens and become impinged upon
1156 them more frequently than white sturgeon (Poletto et al. 2014a). Flow and pipe configuration
1157 affects entrainment rates (Mussen et al. 2014; Poletto et al. 2014b) and may be strategies for
1158 addressing this threat. A threat-based recovery criterion has been included in the plan to address
1159 this threat.
1160

1161 **Conservation Efforts**

1162

1163 As described previously, the sDPS has benefited from the prohibition of green sturgeon retention
1164 in commercial and recreational fisheries in the US and Canada, the decommissioning of RBDD,
1165 the conservation measures provided through the ESA 4(d) rule, and the critical habitat
1166 designation. The States of California, Oregon, and Washington have adopted measures to
1167 increase monitoring of green sturgeon incidental capture. California has established specific
1168 rules to protect the sDPS population, prohibiting fishing for green or white sturgeon year-round
1169 in the mainstem Sacramento River from Highway 162 (rkm 283) to Keswick Dam (rkm 485) and
1170 Yolo Bypass, prohibiting the removal of incidentally hooked green sturgeon from the water, only
1171 allowing the use of barbless hooks, prohibiting use of wire leaders and snares, and increasing
1172 fines for poaching. The CDFW also relocates sDPS stranded in the Yolo and Sutter bypasses
1173 and provides enforcement regarding poaching and fisheries infractions.
1174

1175 Since the early 1990's, a number of restoration projects have been completed in California's
1176 Central Valley with likely benefits to sDPS (e.g., barrier modifications for fish passage, habitat
1177 restoration in wetland areas, fish screens; see CALFED 2000). In cases such as complete barrier
1178 removal (e.g., RBDD) there are obvious benefits to green sturgeon. Screening criteria for green
1179 sturgeon have not been developed, and the benefits to sturgeon of projects intended to reduce
1180 salmonid impingement and entrainment at diversions are not fully understood. However,
1181 implementation of fish screens most likely reduce some negative effects of unscreened
1182 diversions (e.g., entrainment) to green sturgeon. The Central Valley Project and Central Valley
1183 Project Improvement Act (CVPIA) have initiated habitat restoration, water acquisitions for the
1184 environment, and fish screening projects. These projects also have some ancillary benefits to
1185 sturgeon, but are mostly intended to increase anadromous salmonid abundance. The revision of
1186 CVPIA priorities could include consideration of the projects described in this recovery plan.
1187

1188 As noted above, juvenile sturgeon can become entrained in water diversions in the SRB and
1189 SFBDE. Efforts to salvage green sturgeon at the Tracy Fish Collection Facility and the Skinner

1190 Delta Fish Protective Facility in the South Delta have been conducted for decades. The numbers
1191 of green sturgeon observed in these facilities is typically low (i.e., a few individuals per year).

1192
1193 **Known Biological Constraints and Needs**

1194
1195 As detailed in the sections above, the sDPS has inherent vulnerability due to its slow growth, late
1196 maturity, and infrequent spawning; thus population growth is inherently limited. The sDPS
1197 relies upon multiple habitats along the entire west coast of North America for the completion of
1198 its life history and needs accessibility, connectivity, and adequate habitat quality in all areas.
1199 Vulnerability is enhanced by the fact that there is only one population in the SRB that has been
1200 documented to spawn annually (i.e., in the mainstem Sacramento; annual spawning has not been
1201 documented in the Feather River). The SRB is also a stressed environment with competing
1202 demands on water resources for people and wildlife. Given that flow, temperature, and habitat
1203 access are parameters influential to the sDPS life-history, these characteristics are important to
1204 consider within the recovery plan.

1205
1206 **Chapter II. Recovery Goal, Objective, and Criteria**

1207
1208 **Recovery Goal**

1209
1210 Recovery is the process by which listed species and their ecosystems are restored and their future
1211 safeguarded to the point that protections under the ESA are no longer needed. Thus, the goal of
1212 this recovery plan is to recover sDPS green sturgeon and consequently remove it from the
1213 Federal List of Endangered and Threatened Wildlife (50 CFR 17.11).

1214
1215 **Recovery Objective**

1216
1217 To achieve the goal of recovery, the objective of this recovery plan is to increase sDPS green
1218 sturgeon abundance, distribution, productivity, and diversity by reducing threats associated with
1219 habitat degradation and access, contaminants, and take.

1220
1221 **Recovery Criteria**

1222
1223 The following recovery criteria are provided in order to determine when the recovery objectives
1224 have been met. Recovery criteria are targets or values by which progress toward achievement of
1225 recovery objectives can be measured, and may include population demographics, management or
1226 elimination of threats by specific mechanisms, and specific habitat conditions. Delisting may be
1227 considered when the recovery criteria are met, although it is possible that delisting could occur
1228 without meeting all of the recovery criteria if the best available information indicates that the
1229 species no longer meets the definition of endangered or threatened. In the case of the sDPS, it is
1230 possible that because of the interaction between the threats and the species' population
1231 responses, fully achieving all of the recovery criteria may not be necessary to achieve the
1232 recovery objective. Changes to the species' status and delisting would be made through
1233 additional rulemaking after considering the same five ESA factors considered in listing decisions
1234 and taking new information into account.

1235

1236 The criteria are organized below according to: (1) Demographic Recovery Criteria addressing
1237 abundance, distribution, productivity, and diversity; and (2) Threat-based Recovery Criteria
1238 addressing the significant known threats impeding recovery.
1239

1240 **Demographic Recovery Criteria**

1241

1242 The following demographic recovery criteria describe a population at low risk of extinction over
1243 the foreseeable future. Because we do not have much demographic information for sDPS green
1244 sturgeon, we developed these criteria using general principles of conservation biology. We also
1245 reviewed recovery plans for other species and focused on four factors considered important for
1246 assessing the viability of populations: abundance, distribution, productivity, and diversity. To
1247 develop the criteria for adult population abundance, we used the best available information from
1248 scientific literature relating population viability to abundance. To develop criteria for
1249 distribution, productivity, and diversity, we considered the threats faced by green sturgeon and
1250 the best available information on population viability and green sturgeon population dynamics.
1251

1252 Our goal is to reduce the risk of extinction to an acceptably low level such that the species is no
1253 longer considered endangered or threatened; however, at this time we do not have the biological
1254 basis to define that level quantitatively. Explicitly defining the acceptable level of extinction risk
1255 (e.g., less than 5% risk of extinction in 100 years) can be useful as the basis for developing
1256 demographic recovery criteria (e.g., identifying the adult population size and spawning
1257 population size needed to reduce extinction risk to the acceptable level) and evaluating progress
1258 toward recovery. However, to estimate extinction risk, we need demographic information to
1259 develop population viability models. We currently have little of the information needed to
1260 model and estimate extinction risk for sDPS green sturgeon. This limits our ability to define an
1261 acceptable risk level and the value of defining this risk level. We note that recovery plans for
1262 other sturgeons also do not explicitly define what constitutes a “low” extinction risk. The
1263 following demographic criteria are interim and may be updated as viability models or other
1264 pertinent information becomes available.
1265

1266 *Abundance*

1267

1268 **Demographic Recovery Criterion 1. The adult sDPS green sturgeon census population**
1269 **remains at or above 3,000 for 3 generations (this equates to a yearly running average of at**
1270 **least 813 spawners for approximately 66 years). In addition, the effective population size**
1271 **must be at least 500 individuals in any given year and each annual spawning run must be**
1272 **comprised of a combined total, from all spawning locations, of at least 500 adult fish in any**
1273 **given year.**
1274

1275 A viable population is sufficiently abundant when: 1) it has a high probability of surviving
1276 environmental variation of the patterns and magnitudes observed in the past and expected in the
1277 future; 2) compensatory processes provide resilience to environmental and anthropogenic
1278 perturbation; 3) its genetic diversity is maintained over the long term; and 4) it provides
1279 important ecological functions throughout its life-cycle (McElhany et al. 2000). Additionally, a
1280 population is considered critically low in abundance if: 1) depensatory processes are likely to
1281 reduce it below replacement; 2) it is at risk from inbreeding depression or fixation of deleterious

1282 mutations; and 3) productivity varies due to demographic stochasticity and becomes a substantial
1283 source of risk (*ibid.*).

1284
1285 As we do not have reliable estimates of historical or current sDPS green sturgeon abundance, we
1286 did not use green sturgeon population data to develop these criteria. Instead, we developed the
1287 adult abundance criteria using the best available information from general principles in
1288 conservation biology relating population viability to abundance. Long-term abundance
1289 objectives for conservation are generally based on minimum population sizes that are naturally
1290 self-sustaining. A wide range of viable abundance values has been established for different
1291 species. Census numbers are typically several times greater than effective population size
1292 because of non-random mating. Population abundance targets ranging from 1,000 to 20,000
1293 have been recommended for various species (IUCN 2001; Fisheries and Oceans Canada 2014).
1294 Other sturgeon recovery plans have identified abundance objectives ranging from 1,000 per
1295 population with multiple populations (Fisheries and Oceans Canada 2014) to a single population
1296 value from 2,000 to 5,000 adults (IUCN 2001; Hildebrand and Parsley 2013).

1297
1298 In theory, an effective population size of 500 or more adults is needed for a population to be
1299 naturally self-sustaining, based on the principle that loss of genetic diversity through drift is
1300 significant when effective population sizes are less than 500 (Franklin 1980, Soulé 1980). To
1301 estimate the needed census population size to achieve an effective population size of 500, we
1302 need to know the ratio of the census to effective population size. This ratio is not known for
1303 green sturgeon or other sturgeon species. Hence, a ratio of adult census to effective population
1304 size that is widely used in anadromous fish recovery planning (about 0.2; Waples et al. 2004)
1305 was also employed in this plan. Using this ratio, we estimate that the minimum census
1306 population size of 2,500 adult sDPS green sturgeon is needed for a naturally self-sustaining
1307 population at low risk of extinction. Because abundance estimates contain observational error,
1308 population targets may need to be much larger than the desired population size in order to be
1309 confident that the guideline is actually met (McElhany et al. 2000). For example, Mora 2016
1310 estimated an average run size of adult sDPS in the Sacramento River at 571 individuals, with a
1311 95% confidence limit of 529 to 613 individuals. The total number of adults in the sDPS was
1312 estimated to be 2,106 individuals, with 95% confidence limits of 1,246 to 2,966 individuals
1313 (Mora 2016). Therefore, we have added a buffer of 20%, which increases the census population
1314 to 3,000 adults. The Recovery Team agreed that it is biologically feasible for sDPS green
1315 sturgeon to achieve an effective population size of greater than 500 adults and a census
1316 population size of greater than 3,000 adults. These abundance criteria should be updated if
1317 relevant information on green sturgeon population dynamics becomes available. Furthermore, if
1318 the adult sDPS green sturgeon census population exceeds 3,000 upon issuance of this recovery
1319 plan, then the census population must remain stable or increase.

1320
1321 Because not all adults return to spawn each year, methods will be needed to estimate the census
1322 population size. One method is to calculate a running geometric average of the annual spawning
1323 run size over a 6-year period (the maximum spawning periodicity). A running average would
1324 account for variation in spawning periodicity and natural inter-annual fluctuations in run size.
1325 Based on our current understanding of spawning periodicity (range of 2-6 years, mean 3.69), the
1326 average annual spawning run would need to be 813 adults (combined from all spawning
1327 locations), which would represent a census population of 3,000. The average should be

1328 calculated with geometric mean and not arithmetic mean to reduce the influence of extreme
1329 values (e.g., one good year or one bad year). A minimum total annual spawning run for all
1330 locations of at least 500 adults is needed to ensure resiliency. Finally, due to late maturation and
1331 low natural mortality of adult sturgeon, an adult population may remain stable over a relatively
1332 long time period (e.g. 20 years) even when little to no juvenile recruitment occurs. Thus, adult
1333 demographic criteria should be maintained for at least three generations (approximately 66 years)
1334 to ensure recruitment to the spawning population is consistently occurring at a level that offsets
1335 adult mortality. This criterion should be updated in the future based on new information
1336 regarding spawning periodicity. It should also be updated as our ability to detect effective
1337 population size using genetic techniques is refined.

1338
1339 ***Distribution***

1340
1341 **Demographic Recovery Criterion 2. sDPS green sturgeon spawn successfully in at least**
1342 **two rivers within their historical range. Successful spawning will be determined by the**
1343 **annual presence of larvae for at least 20 years.**

1344
1345 Another feature of a population at low risk of extinction is having a spatial structure or
1346 distribution such that stochastic events do not significantly threaten the population's long-term
1347 viability. Loss of access to historical spawning habitat and habitat degradation have largely
1348 restricted the sDPS to one reach of the mainstem Sacramento River and made the population
1349 vulnerable to stochastic events. The listing highlighted this as a major threat to the species. To
1350 reduce this risk, consistent spawning is needed in at least one additional location outside the
1351 mainstem Sacramento River.

1352
1353 Successful annual spawning outside of the mainstem Sacramento River should be promoted in
1354 the Feather and Yuba rivers, because green sturgeon are already found in these rivers. The Yuba
1355 River is a tributary to the Feather River. If successful sDPS green sturgeon spawning in these
1356 rivers cannot be achieved, then rivers that are either currently unoccupied or not known to
1357 support spawning populations (e.g., San Joaquin, Stanislaus, Tuolumne, Russian, American
1358 rivers) should be investigated to determine whether habitat in those rivers could support
1359 successful spawning of adults and rearing of larvae. Restoration of habitat and access to
1360 upstream reaches may be needed to establish consistent spawning in the Feather and Yuba rivers.
1361 The presence of larvae in these rivers can be used to confirm successful spawning. Larval
1362 sampling may also be used to estimate the annual spawner abundance (i.e., annual spawning run
1363 size) using genetic techniques; however, we would need to collect enough larvae to sufficiently
1364 represent the spawning adults in that year. At this time, estimates of annual spawner abundance
1365 are likely to require observations of adult green sturgeon in putative spawning habitat or genetic
1366 applications (see criterion 1).

1367
1368
1369 ***Productivity***

1370
1371 **Demographic Recovery Criterion 3. A net positive trend in juvenile and subadult**
1372 **abundance is observed over the course of at least 20 years.**

1373

1374 Productivity refers to a population's growth rate. For a threatened population like sDPS green
1375 sturgeon, recovery involves achieving positive growth rates. Increasing trends in juvenile and
1376 subadult numbers are important indicators of a recovering population.

1377
1378 Long-term recruitment is a function of the number of annual spawners or population fecundity,
1379 the quality of spawning habitat, and the magnitude of annual early life stage survival. Because
1380 the adult abundance objectives can be achieved in a number of ways and because recruitment is
1381 difficult to measure, we did not identify a specific annual recruitment objective for sDPS green
1382 sturgeon. Instead, the trend in juvenile and subadult abundance is used to measure population
1383 growth. A net positive trend in juvenile and subadult abundance (e.g., based on time series
1384 analysis) would indicate successful recruitment and survival of early life stages. This, in
1385 combination with achievement of the adult abundance criterion, would indicate sufficient
1386 recruitment. Data for this criterion will be based on a time series analysis over at least 20 years
1387 and include 20 annual datapoints that indicate increasing or stable juvenile and subadult
1388 abundance.

1389
1390 **Demographic Recovery Criterion 4. The population is characterized by a broad**
1391 **distribution of size classes representing multiple cohorts that are stable over the long term**
1392 **(20 years or more).**

1393
1394 For long-lived species such as sturgeon, abundance, age structure, and sex ratios are particularly
1395 powerful indicators of long-term productivity patterns. Viable sturgeon populations are
1396 characterized by a broad distribution of size classes and ages. Long term stability in size and age
1397 distributions, or population at equilibrium, can signify a healthy population with normal levels of
1398 life stage mortality and recruitment. Thus, measures of population equilibrium can be used to
1399 evaluate the sDPS green sturgeon's progress toward recovery. Beamesderfer et al. (2007)
1400 estimated that adult, subadult, and juvenile green sturgeon in a hypothetical population at
1401 equilibrium would comprise 12%, 63%, and 25% of the population, respectively. These values
1402 are the best available information to date and can serve as a guideline for evaluating population
1403 equilibrium in the sDPS green sturgeon. However, further modeling may identify different
1404 benchmarks for measuring population equilibrium, and a larger percentage of younger fish may
1405 be present in the sDPS in the early stages of potential recovery.

1406
1407 **Diversity**

1408
1409 **Demographic Recovery Criterion 5. There is no net loss of sDPS green sturgeon diversity**
1410 **from current levels.**

1411
1412 Diversity refers to individual and population variability in genetic, life history, behavioral, and
1413 physiological traits. Diversity is related to population viability because it allows a species to
1414 exploit a wider array of environments, protects against short-term spatial and temporal changes
1415 in the environment, and provides the raw material for surviving long-term environmental
1416 changes (McElhany et al. 2000). Thus, maintaining these types of diversity is critical to
1417 retaining the species' ability to adapt to a diverse and variable environment. At this time, we do
1418 not have methods to directly measure diversity or compare present and historical levels.
1419 However, if we use the loss of spawning habitat as a proxy, then some loss has likely occurred.

1420 Because diversity is closely tied with abundance, distribution, and productivity, this criterion
1421 may be met by improving and/or increasing spawning and rearing habitat to a level which
1422 increases spawning and/or rearing distribution or success.

1423
1424 **Threat-Based Recovery Criteria**

1425
1426 The following threat-based recovery criteria were developed to address the threats to sDPS green
1427 sturgeon identified during the recovery planning process and based on knowledge gained since
1428 the threats assessment. If research or monitoring indicates that 1) future threats have been
1429 identified and are considered significant, or 2) threats currently ranked low become more
1430 important, then recovery criteria may be adjusted or developed at that time. By focusing on the
1431 threats detailed below, recovery (as defined above) of the sDPS is expected.

1432
1433 **A. Present or Threatened Destruction, Modification, or Curtailment of a Species Habitat or**
1434 **Range**

1435
1436 For Listing Factor A, each major threat category had threats ranked as High or Very High in at
1437 least one geographic area (Table 1). Threat-based criteria have been developed to address
1438 barriers to migration, water flow and temperature issues, and contaminants. For the remaining
1439 identified threats, criteria were not developed either because the tractability of the issue was
1440 outside the scope of a single species recovery plan or due to data insufficiency, or both.

1441 Research priorities have been developed to better understand the scope and severity of these
1442 threats.

1443
1444 **Listing Factor A Recovery Criterion 1: Access to spawning habitat is improved through**
1445 **barrier removal or modification in the Sacramento, Feather, and/or Yuba rivers such that**
1446 **successful spawning occurs annually in at least two rivers. Successful spawning will be**
1447 **determined by the annual presence of larvae for at least 20 years.**

1448
1449 Barriers to migration caused by impoundments were recognized as a High threat to adult sDPS in
1450 the SRB, with high data sufficiency. Large dams and flow dependent barriers constructed on the
1451 Sacramento, Feather, and Yuba rivers have restricted spawning and rearing areas for the sDPS by
1452 presenting a physical barrier to migration, an issue that was recognized as a main threat in the
1453 ESA listing decision and in the 2002 green sturgeon and 2016 sDPS status reviews.

1454
1455 Targets for meeting this criterion include passage over the boulder weir at Sunset Pumps on the
1456 Feather River, which is a flow-dependent barrier. The weir could either be removed, a low-flow
1457 gradient system could be constructed, or adequate flows could be provided through water
1458 management practices. Daguerre Point Dam on the Yuba River is also a target for modification
1459 or removal. On the mainstem Sacramento, volitional passage of green sturgeon in the
1460 Sacramento River upstream of the ACID Dam should be provided if areas upstream are
1461 identified as potential spawning habitat. If the census population of adult green sturgeon has not
1462 reached 3,000, all recovery actions have been successfully implemented, and appropriate time
1463 has been allocated for the population to reach the census population goal, additional options for
1464 expanding green sturgeon habitat will need to be identified and implemented.

1465

1466 **Listing Factor A Recovery Criterion 2: Volitional passage is provided for adult green**
1467 **sturgeon through the Yolo and Sutter bypasses.**
1468

1469 During some high flow events, adult green sturgeon enter the Yolo and Sutter bypasses and
1470 become stranded when the water recedes. CDFW has made efforts to rescue these fish in recent
1471 years, but poaching of some sDPS fish has also likely occurred. Ameliorating the loss of these
1472 sDPS individuals to the spawning population due to poaching or stress will contribute to
1473 recovery. Addressing this issue will require structural changes as described in the next chapter.
1474

1475 **Listing Factor A Recovery Criterion 3: Water temperature and flows are provided in**
1476 **spawning habitat such that juvenile recruitment is documented annually. Recruitment is**
1477 **determined by the annual presence of age-0 juveniles in the lower Sacramento River or San**
1478 **Francisco Bay Delta Estuary. Flow and temperature guidelines have been derived from**
1479 **analysis of inter-annual spawning and recruitment success and are informing this criterion.**
1480

1481 The background literature referenced in Chapter 1 described the importance of flow and
1482 temperature for migration, egg development, and recruitment. While much is known from
1483 laboratory experiments using the nDPS and from field observations that suggest correlations
1484 between flow, temperature and effective spawning or recruitment, uncertainty in the applicability
1485 of the information precludes it from being used to prescribe specific flow and temperature
1486 parameters necessary for sDPS recovery. It is further recognized that the Sacramento River
1487 watershed is a highly altered system that now must meet the needs of different species with
1488 potentially different habitat needs. Thus, an ecosystem approach is needed to meet this threat-
1489 based criterion. Before specific flow and temperature guidelines are provided, long term
1490 monitoring is necessary, as described in Chapter 3. This has been incorporated into the
1491 monitoring program of this plan and can form the basis of recommended flow and temperature
1492 guidelines along with other sources of information.
1493

1494 **Listing Factor A Recovery Criterion 4: 1. Adult contaminant levels are below levels that**
1495 **are identified as limiting population maintenance and growth.**
1496

1497 The threat posed by contaminants was recognized in all regions except the NM. While
1498 contaminants may impact survival, reproduction, and recruitment as suggested through
1499 laboratory studies and surrogate species, specific impacts to the sDPS have not been quantified
1500 in terms of how they might impede sDPS recovery. Given this, research and monitoring is a first
1501 step in meeting this threat-based criterion so that correlations can be assessed regarding the
1502 impact of contaminants on population stability and growth and contaminant levels limiting
1503 population growth and maintenance can be identified.
1504

1505 **B. Overutilization for Commercial, Recreational, Scientific, or Educational Purposes**
1506

1507 No threats within this Listing Factor category were listed as High or Very High. Fisheries and
1508 poaching were considered as a Medium level threat in some areas, but any take of subadult or
1509 adult sDPS may limit population productivity. This threat-based criterion is aimed at reducing
1510 any take of sDPS that may still occur.
1511

1512 **Listing Factor B Recovery Criterion 1: Take of adults and subadults through poaching and**
1513 **state, federal and tribal fisheries is minimal and does not limit population persistence and**
1514 **growth.**

1515
1516 As described in Chapter 1, directed take of the sDPS is not permitted. Incidental take, post-
1517 release mortality, and poaching are thought to occur. This threat-based criterion is aimed at
1518 ensuring that governments monitor the take of the sDPS and minimize it to maintain population
1519 stability and growth as described in Chapter 3. One way to address this criteria is to have
1520 Fishery Management and Evaluation Plans (FMEPs) in place demonstrating that incidental take
1521 does not significantly reduce the likelihood of survival or recovery (75 FR 30714, June 6, 2010).
1522

1523 **C. Disease and Predation**

1524
1525 No threat-based criteria were developed for this category. Disease was ranked as a High threat
1526 in the NM due to the potential transmission from native and non-native species and the potential
1527 effect of water quality on disease susceptibility. Since the extent of these potential threats in
1528 terms of limiting population growth and recovery is unknown, a research priority has been
1529 developed. Predation by marine mammals and non-native and native species was ranked as a
1530 High threat for at least one life stage in all areas except the NM. A recovery action is included
1531 for predation by marine mammals. Given the limited information about predation by non-
1532 mammalian native species and non-native species, a research priority has been developed.
1533 Threat-based recovery criteria could be developed in the future should this research illustrate a
1534 necessity.
1535

1536 **D. Inadequacy of Existing Regulatory Mechanisms**

1537
1538 Threats considered under this listing factor have been identified in factor D of the previous
1539 section and additionally discussed under the other listing factors A through C and E.
1540

1541 **E. Other Natural or Manmade Factors Affecting Its Continued Existence**

1542
1543 Although several threats were identified under this listing factor, such as competition for habitat
1544 by native and non-native species and the potential threat of electromagnetic fields (EMF) from
1545 nearshore hydrokinetic facilities, there is currently not enough information to set threat-based
1546 recovery criteria. If future research provides information that suggests any of these threats are
1547 significant, then criteria may be developed at that time.
1548

1549 Recent laboratory research on entrainment of juvenile green sturgeon has shown that they are
1550 much more susceptible than either juvenile white sturgeon or salmonids, and therefore the
1551 following recovery criterion is provided.
1552

1553 **Listing Factor E Recovery Criterion 1: Operation guidelines and/or fish screens are**
1554 **applied to water diversions in mainstem Sacramento, Feather, and Yuba rivers or San**
1555 **Francisco Bay Delta Estuary such that early life stage entrainment is below a level that**
1556 **limits juvenile recruitment.**
1557

1558 This recovery criterion requires research identifying the water diversions posing the greatest risk
1559 of entrainment of sDPS and the development of operations and screening criteria to limit
1560 entrainment and impingement. Implementation of these measures should reduce the threat to a
1561 point where it is not a limiting factor for juvenile recruitment. Further monitoring and
1562 population modeling will be necessary to estimate a potential level of entrainment that limits
1563 juvenile recruitment.

1564

1565 **Chapter III. Recovery Strategy**

1566

1567 This chapter presents the strategy for recovering the sDPS, including the primary focus of the
1568 recovery effort and how it addresses the most significant threats and biological needs of the
1569 species. This chapter also provides the rationale for the recommended recovery program actions.

1570

1571 **Biological Needs, Significant and Potential Threats**

1572

1573 The most critical biological needs of the sDPS as identified here are unobstructed passage,
1574 functional spawning and rearing habitat with appropriate water flow and temperature regimes,
1575 minimal risk of entrainment, take (e.g., poaching, stranding, fisheries bycatch), and enhanced
1576 understanding of the impacts of contaminants and climate change. These factors are the basis for
1577 the main recovery actions and are also the focus of research actions. Other significant or
1578 potential threats, including those posed by altered prey resources, predation, habitat suitability
1579 (turbidity, sediment load, substrate and water quality, competition for habitat) and disease, form
1580 the foundation for additional recovery actions and research priorities.

1581

1582 One of the greatest threats to the sDPS is the loss of spawning habitat due to the construction of
1583 dams in the Sacramento River. Dams have limited available spawning habitats and, along with
1584 water management practices, have changed the flow and temperature profiles of the three major
1585 rivers that could be utilized by the sDPS for spawning (i.e., Sacramento, Feather, and Yuba
1586 rivers). Channel modification and water management practices have also affected sDPS rearing
1587 habitat within the SFBDE and likely impact recovery potential. Potential threats within CBE and
1588 NM habitats include those affecting habitat and prey resources. Uncertainty exists as to whether
1589 these factors are limiting recovery, particularly in reference to climate change. Other threats in
1590 CBE and NM habitats, such as incidental take through fisheries and predation, have the potential
1591 to cause the direct take of sDPS individuals.

1592

1593 **Primary Focus and Justification of Recovery Strategy**

1594

1595 Recovery plan actions and research priorities are summarized in Table 2. Table 3 presents
1596 actions and research priorities organized by geographic area, lifestage affected, and threat
1597 addressed. Specifics of the actions and research priorities are discussed in Chapter 4. Priorities
1598 (55 FR 24296, June 15, 1990) are defined as follows: Priority 1: An action that must be taken to
1599 prevent extinction or to identify those actions necessary to prevent extinction; Priority 2: An
1600 action that must be taken to prevent a significant decline in population numbers, habitat quality,
1601 or other significant negative impacts short of extinction; Priority 3: All other actions necessary to
1602 provide for full recovery of the species. This priority system (55 FR 24296, June 15, 1990) is
1603 used to compare actions between listed species inhabiting a similar region. No Priority 1 actions

1604 were identified for sDPS green sturgeon as, by definition, this species is not in imminent danger
1605 of extinction. As noted previously, threats ranked as Very High or High were not always
1606 assigned a recovery action. Rather, a research priority has been assigned in an effort to better
1607 characterize the threat and assist in the formulation of a future recovery action.
1608

1609 The main (Priority 2) recovery actions identified fall into six threat categories concerning
1610 passage, water flow and temperature, entrainment, take, contaminants, and climate change.
1611 Undertaking actions in these areas is expected to have the biggest impact in terms of sDPS
1612 recovery. These actions aim to restore spawning and rearing habitat in the SRB and SFBDE and
1613 limit mortality of individual juvenile and adult sDPS. The recovery strategy will incrementally
1614 restore habitat below Keswick, Oroville, and Englebright dams, provide volitional passage
1615 upstream of the boulder weir at Sunset Pumps on the Feather River and at Daguerre Point Dam
1616 on the Yuba River and support adequate water flow and temperature on the Sacramento, Feather,
1617 and Yuba rivers while reducing stranding at Yolo and Sutter bypasses and other sources of take.
1618 Rearing habitats within the SFBDE will be studied with respect to suitability, with restoration
1619 options considered. Additional actions will focus on ameliorating the risk posed by entrainment
1620 in water diversions. Priority 3 recovery actions are identified in the areas of predation and non-
1621 point source sediment loading. Priority 3 actions can be implemented at any time, but will likely
1622 have less of a direct and immediate impact in terms of meeting the recovery criteria. Some of
1623 these actions focus heavily on research in an effort to address data insufficiency and clarify
1624 actions to address the threat. All but one of the recovery action categories also includes research
1625 priorities, further emphasizing that monitoring and research is needed to understand the degree to
1626 which these threats impact population recovery and to identify recovery actions. A major
1627 challenge will be in providing conditions suitable for recovery while managing water resources
1628 for flood control, hydropower, water diversion, and conservation of other listed species.
1629

1630 Following implementation of the recovery actions, we expect to see an increase in the
1631 abundance, distribution, productivity, and diversity of sDPS green sturgeon such that the
1632 recovery criteria are met and the species can be delisted. Should recovery still appear hindered
1633 once recovery actions are implemented or should research reveal that additional actions are
1634 necessary, recovery actions and/or threats based criteria will be adjusted or developed.

1635 Table 2a. Recovery Actions to recover the sDPS. Priority classification information can be found in Chapter IV.

1. Passage
1a (Priority: 2) Provide upstream passage in the Feather River at the boulder weir located at Sunset Pumps.
1b (Priority: 2) Until the Fremont Weir (Yolo bypass) and Tisdale Weir (Sutter bypass) are improved structurally to reduce stranding and to provide passage, ensure that any stranded green sturgeon are immediately relocated to the Sacramento river.
1c (Priority: 2) Provide upstream passage at Daguerre Point Dam in the Yuba River.
1d (Priority: 2) Construct a structure that will provide volitional passage for upstream migrating adults at Fremont and Tisdale Weirs.
1e (Priority: 2) Assess the feasibility of Sacramento Deep Water Ship Channel lock operation during the green sturgeon upstream migration period.
1f (Priority: 2) Provide volitional upstream passage for green sturgeon at the Anderson-Cottonwood Irrigation District (ACID) Dam if a spawning habitat suitability study indicates that suitable upstream habitat is currently present or if upstream habitat is expected to become suitable in the foreseeable future.
2. Flow and Temperature
2a (Priority: 2) Modify operations or facilities in the Oroville-Thermalito Complex to maintain suitable water temperatures and flows for spawning and recruitment throughout the sDPS spawning and rearing period in the Feather River.
2b (Priority: 2) Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.
2c (Priority: 2) Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.
3. Entrainment
3a (Priority: 2) Identify current and proposed water diversions posing significant risk to green sturgeon.
3b (Priority: 2) Develop operations and/or screening guidelines.
3c (Priority: 2) Apply operations or screening guidelines to diversions in the mainstem Sacramento, Feather, and Yuba rivers or San Francisco Bay Delta Estuary such that early life stage entrainment is below a level that limits juvenile recruitment.
4. Take
4a (Priority: 2) Reduce poaching in the Sacramento, Feather, and Yuba rivers and when the weirs overtop at the Yolo and Sutter bypasses through increased enforcement presence or improved relocation method.
4b. (Priority 2) Implement measures to reduce fisheries bycatch of green sturgeon in commercial and recreational fisheries and complete Fishery Management and Evaluation Plans for state fisheries encountering sDPS green sturgeon.
5. Contaminants
5a (Priority: 2) Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the Sacramento River Basin and San Francisco Bay Delta Estuary.
6. Habitat and Climate Change
6a (Priority: 2) Forecast changes in temperatures in accessible spawning and rearing habitat in the Sacramento, Feather, and Yuba rivers for the next century. Use available lab-based tolerances and optima from nDPS as well as sDPS field data to assess the viability of spawning and rearing habitat over forecasted temperature change.
6b (Priority: 2) Forecast temperature changes in CBE and NM habitats and potential response of the sDPS.
7. Predation
7a (Priority: 3) Develop actions to reduce predation on sDPS green sturgeon in areas where high rates of predation occur based on an evaluation of the severity of marine mammal predation on sDPS green sturgeon.
8. Sediment
8a (Priority: 3) Improve compliance and implementation of BMPs to reduce input of non-point source sediment within the upper Sacramento River Basin.
9. Oil and Chemical Spills
9a (Priority: 3) Assess efficacy of oil and chemical spill response plans in the sDPS range in minimizing potential adverse effects to green sturgeon and develop updated plans as necessary.

1636 Table 2b. Research priorities to be addressed to recover the sDPS. Priority classification information can be found in Chapter IV.

1. Passage
1a (Priority: 3) Conduct research to assess migration of green sturgeon in the Sacramento Deep Water Ship Channel and Port of Sacramento (i.e., upstream locks).
1b (Priority: 3) Conduct research to determine the effects on green sturgeon migration from the operations of the Delta Cross Channel gates.
2. Flow and Temperature
2a (Priority: 2) Evaluate the effects of habitat modification and/or restoration (e.g., levee alteration, channel reconnection, floodplain connectivity measures) on green sturgeon recruitment and growth.
2b (Priority: 3) Determine the effects of water management on green sturgeon habitat in the CBEs and consequent effects, if any, on individual growth and survival.
3. Entrainment
3a (Priority: 3) Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.
4. Take
4a (Priority: 2) Conduct research to estimate the annual level of mortality of sDPS green sturgeon from poaching.
4b (Priority: 2) Conduct research to develop an estimate of green sturgeon immediate and post-release mortality and sub-lethal effects from incidental capture in fisheries (e.g., gillnet, hook and line fisheries (CBE); coastal trawl fisheries (NM)).
5. Contaminants
5a (Priority: 2) Conduct research to identify contaminants and contaminant concentrations in all life stages green sturgeon and their prey base.
5b (Priority: 2) Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.
6. Habitat and Climate Change
6a (Priority: 3) Conduct research to determine how native and non-native species compete with green sturgeon for habitat.
6b (Priority: 3) Conduct research to determine the effect of water quality, including anoxic conditions, on habitat use of green sturgeon.
6c (Priority: 3) Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
7. Predation
7a (Priority: 3) Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.
8. Sediment
8a (Priority: 2) Conduct research to evaluate sDPS spawning substrate suitability in the Sacramento, Feather, and Yuba rivers.
8b (Priority 3): Conduct research on the effects of changes in turbidity and sediment load on green sturgeon habitat in the CBEs and consequent effects, if any on individual growth and survival.
9. Disease
9a (Priority: 3) Include condition/health study in long-term green sturgeon monitoring to determine potential risk of disease to the sDPS

1637

1638 Table 3. Recovery Actions (RA) and Research Priorities (RP) along with threat category and life-stage organized by geographic
 1639 region. 3a Sacramento River Basin for eggs and larvae/juveniles, 3b. Sacramento River Basin for adults/subadults, 3c. San Francisco
 1640 Bay Delta Estuary for juveniles, adults, and subadults, 3d. Coastal Bays and Estuaries, 3e. Nearshore Marine. Specific threats ranked
 1641 very high and high are highlighted in red and yellow, respectively. Grey boxes indicate the threat was not relevant to the area and/or
 1642 lifestage and was not ranked. Acronyms: APB: Altered Prey Base, AS: Altered Sediment, AT: Altered Turbidity, AWF: Altered Water
 1643 Flow, AWT: Altered Water Temperature, BM: Barriers to Migration, C: Contaminants, CH: Competition for Habitat, D: Disease,
 1644 DM: Water Depth Modification, LWF: Loss of wetland function, P: Predation, T: Take in listing factor C “Overutilization”, TO” Take
 1645 in Listing Factor E “Other Factors”.
 1646

3a. Sacramento River Basin	Threat Ranking	Threat Ranking	Identified Recovery Action or Research Priority
Specific Threats (Threat Category)	Eggs	Larvae/Juveniles	
Impoundments (AWT)	High	High	RA2a (Priority 2): Modify operations or facilities in the Oroville-Thermalito Complex to maintain suitable water temperatures and flows for spawning and recruitment throughout the sDPS spawning and rearing period in the Feather River. RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment. RA2c (Priority: 2): Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.
Sacramento River temperature management (AWT)	Medium	Medium	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.
Impoundments and Upstream Diversions (AWF)	Low	Low	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment. RA2c (Priority: 2): Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.

1647

Entrainment at water diversions (TO)		Medium	RA3a (Priority 2): Identify current and proposed water diversions posing significant risk to green sturgeon. RA3b (Priority: 2): Develop operations and/or screening guidelines. RA3c (Priority 2): Apply operations or screening guidelines to diversions in the mainstem Sacramento, Feather, and Yuba rivers or San Francisco Bay Delta Estuary such that early life stage entrainment is below a level that limits juvenile recruitment.
Point and Non-point source contaminants (C)	High	High	RA5a (Priority: 2): Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the Sacramento River Basin and San Francisco Bay Delta Estuary. RP5a (Priority: 2): Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base. RP5b (Priority: 2): Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.
Global climate change (AWT)	Medium	High	RA6a (Priority 2): Forecast changes in temperatures in accessible spawning and rearing habitat in the Sacramento, Feather, and Yuba rivers for the next century. Use available lab-based tolerances and optima from nDPS as well as sDPS field data to assess the viability of spawning and rearing habitat over forecasted temperature change.
Non-native species (APB)		High	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Global climate change (APB)		High	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Native and non-native species (CH)	High	High	RP6a (Priority: 3): Conduct research to determine how native and non-native species compete with green sturgeon for habitat.
Non-native and Native species (P)	High	Medium	RP7a (Priority: 3): Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.

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3b. Sacramento River Basin	Threat Ranking	Identified recovery action
Specific Threats (Threat Category)	Adults/ Subadults	
Impoundments (BM)	High	RA1a (Priority 2): Provide upstream passage in the Feather River at the boulder weir located at Sunset Pumps. RA1c (Priority 2): Provide upstream passage at Daguerre Point Dam in the Yuba River. RA1f (Priority 2): Provide volitional upstream passage for green sturgeon at the Anderson-Cottonwood Irrigation District (ACID) Dam if a spawning habitat suitability study indicates that suitable upstream habitat is currently present or if upstream habitat is expected to become suitable in the foreseeable future.
Bypasses (BM)	Medium	RA1b (Priority 2): Until the Fremont Weir (Yolo bypass) and Tisdale Weir (Sutter bypass) are improved structurally to reduce stranding and to provide passage, ensure that any stranded green sturgeon are immediately relocated to the Sacramento river. RA1d (Priority 2): Construct structures that will provide volitional passage for upstream migrating adults at Fremont and Tisdale weirs.
Impoundments (AWT)	Medium	RA2a (Priority 2): Modify operations or facilities in the Oroville-Thermalito Complex to maintain suitable water temperatures and flows for spawning and recruitment throughout the sDPS spawning and rearing period in the Feather River. RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment. RA2c (Priority: 2): Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.
Sacramento River temperature management (AWT)	Medium	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.

1650

Impoundments (AWF)	Medium	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment. RA2c (Priority: 2): Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.
Poaching (T)	Medium	RA4a (Priority: 2): Reduce poaching in the Sacramento, Feather, and Yuba rivers and when the weirs overtop at the Yolo and Sutter bypasses through increased enforcement presence or improved relocation methods. RP4a (Priority: 2): Conduct research to estimate the annual level of mortality of sDPS green sturgeon from poaching.
Point and Non-point source contaminants (C)	High	RA5a (Priority: 2): Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the Sacramento River Basin and San Francisco Bay Delta Estuary. RP5a (Priority: 2): Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base. RP5b (Priority: 2): Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.
Global climate change (AWT)	High	RA6a (Priority 2): Forecast changes in temperatures in accessible spawning and rearing habitat in the Sacramento, Feather, and Yuba rivers for the next century. Use available lab-based tolerances and optima from nDPS as well as sDPS field data to assess the viability of spawning and rearing habitat over forecasted temperature change.
Non-point source sediment (DM)	High	RA8a (Priority: 3): Improve compliance and implementation of BMPs to reduce input of non-point source sediment within the upper Sacramento River Basin. RP8a (Priority: 2): Conduct research to evaluate sDPS spawning substrate suitability in the Sacramento, Feather, and Yuba rivers.

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3c. San Francisco Bay Delta Estuary	Threat Ranking	Threat Ranking	Identified recovery action
Specific Threats (Threat Category)	Juveniles	Adults/ Subadults	
In-water Structures (BM)	Low	Low	RA1e (Priority 2): Assess the feasibility of Sacramento Deep Water Ship Channel lock operation during the green sturgeon upstream migration period. RP1a (Priority 3): Conduct research to assess migration of green sturgeon in the Sacramento Deep Water Ship Channel and Port of Sacramento (i.e., upstream locks). RP1b (Priority 3): Conduct research to determine the effects on green sturgeon migration from the operations of the Delta Cross Channel gates.
Impoundments (AWF)	Very High	High	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.
Upstream Diversions (AWF)	High	High	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.
Channel Control Structures (AWF)	Very High	Very High	RA2b (Priority 2): Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment. RP2a (Priority 2): Evaluate the effects of habitat modification and/or restoration (e.g., levee alteration, channel reconnection, floodplain connectivity measures) on green sturgeon recruitment and growth.
Entrainment at Water Diversion (TO)	Low	Low	RA3a (Priority 2): Identify current and proposed water diversions posing significant risk to green sturgeon. RA3b (Priority: 2): Develop operations and/or screening guidelines. RA3c (Priority 2): Apply operations or screening guidelines to diversions in the mainstem Sacramento, Feather, and Yuba rivers or San Francisco Bay Delta Estuary such that early life stage entrainment is below a level that limits juvenile recruitment. RP3a (Priority: 3): Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.

1654

Non-point Source Contaminants (C, APB)	High	Medium	RA5a (Priority: 2): Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the Sacramento River Basin and San Francisco Bay Delta Estuary. RP5a (Priority: 2): Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base. RP5b (Priority: 2): Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base. RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Marine Mammals (P)	Medium	High	RA7a (Priority: 3): Develop actions to reduce predation on sDPS green sturgeon in areas where high rates of predation occur based on an evaluation of the severity of marine mammal predation on sDPS green sturgeon.
Native and Non-native Species (CH)	Medium		RP6a (Priority: 3): Conduct research to determine how native and non-native species compete with green sturgeon for habitat.
Global Climate Change (APB)	High	High	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Non-native Species (APB)	Medium	Medium	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Native Species (P)	High	High	RP7a (Priority: 3): Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.
Non-native Species (P)	High	Medium	RP7a (Priority: 3): Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.

1655

3d. Coastal Bay & Estuaries	Threat Ranking	Identified recovery action
Specific Threats (Threat Category)	Adults/ Subadults	
Global Climate Change (AWT)	Very High	RA6b (Priority 2): Forecast temperature changes in CBE and NM habitats and potential response of the sDPS.
Marine Mammals (P)	High	RA7a (Priority 3): Develop actions to reduce predation on sDPS green sturgeon in areas where high rates of predation occur based on an evaluation of the severity of marine mammal predation on sDPS green sturgeon.
Impoundments (AWF, AWT)	High	RP2b (Priority 3): Determine the effects of water management on green sturgeon habitat in the CBEs and consequent effects, if any, on individual growth and survival.
Impoundments (AT, AS)	High	RP8b (Priority 3): Conduct research on the effects of turbidity and sediment load changes on green sturgeon habitat in the CBEs and consequent effects, if any, on individual growth and survival.
Hydrokinetic project entrainment (TO)	Low	RP3a (Priority 3): Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.
Fisheries (T)	Medium	RA4b (Priority 2): Implement measures to reduce fisheries bycatch of green sturgeon in commercial and recreational fisheries and complete Fishery Management and Evaluation Plans for state fisheries encountering sDPS green sturgeon. RP4b (Priority 2): Conduct research to develop an estimate of green sturgeon immediate and post-release mortality and sub-lethal effects from incidental capture in fisheries (e.g., gillnet, hook and line fisheries (CBE); coastal trawl fisheries (NM)).
Point-source Contaminants (C)	Medium	RP5a (Priority 2): Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base. RP5b (Priority 2): Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.
Non-native Species (APB)	Very High	RP6c (Priority 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Global Climate Change (APB)	High	RP6c (Priority 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Non-native Species (LWF)	High	RP6c (Priority 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Water Quality (BM)	High	RP6b (Priority 3): Conduct research to determine the effect of water quality, including anoxic conditions, on habitat use by green sturgeon.
Native & non-native Species (CH)	High	RP6a (Priority 3): Conduct research to determine how native and non-native species compete with green sturgeon for habitat.
Native Species (P)	High	RP7a (Priority 3): Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.
Oil and Chemical Spills (C)	High	RA9a (Priority 3): Assess efficacy of oil and chemical spill response plans in the sDPS range in minimizing potential adverse effects to green sturgeon and develop updated plans as necessary.

1657

3e. Nearshore Marine	Threat Ranking	
Specific Threats (Threat Category)	Adults/ Subadults	Identified recovery action
Global climate change (AWT)	High	RA6b (Priority 2): Forecast temperature changes in CBE and NM habitats and potential response of the sDPS.
Water quality, Non-native species (D)	High	RP9a (Priority 3) Include condition/health study in long-term green sturgeon monitoring to determine potential risk of disease to the sDPS.
Hydrokinetic project entrainment (TO)	Low	RP3a (Priority: 3): Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.
Fisheries (TO)	Medium	RA4b (Priority 2): Implement measures to reduce fisheries bycatch of green sturgeon in commercial and recreational fisheries and complete Fishery Management and Evaluation Plans for state fisheries encountering sDPS green sturgeon. RP4b (Priority: 2): Conduct research to develop an estimate of green sturgeon immediate and post-release mortality and sub-lethal effects from incidental capture in fisheries (e.g., gillnet, hook and line fisheries (CBE); coastal trawl fisheries (NM)).
Native and non-native species (CH)	High	RP6a (Priority: 3): Conduct research to determine how native and non-native species compete with green sturgeon for habitat.
Non-native species (APB)	Very High	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.
Global climate change (APB)	High	RP6c (Priority: 3): Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.

1658
1659

1660 **Schedule**

1661
1662 The schedule for implementing the actions in this recovery plan will depend on many factors
1663 such as staffing and funding. Implementation of recovery plans for other listed species may also
1664 provide an indirect benefit to the sDPS and affect the timing of recovery. Upon approval of this
1665 recovery plan, the following activities should be implemented, as guided by the recovery actions
1666 and research priorities described in Chapter 4. These programs should be flexible to incorporate
1667 new information as it becomes available.

- 1668
- 1669 1) Implementing recovery actions addressing passage, temperature and flow, entrainment, and
1670 poaching.
 - 1671 2) Developing the following:
 - 1672 a) Research plan to fill data gaps regarding threats limiting green sturgeon recovery,
1673 beginning with the research-oriented recovery actions and the research priorities
1674 identified here;
 - 1675 b) Monitoring plan to assess the progress of recovery actions and the attainment of
1676 demographic and threat-based recovery criteria. Monitoring plan priorities are discussed
1677 later in this document. An overview of current and historical sDPS green sturgeon
1678 monitoring and research, including recommendations for potential studies tracking
1679 demographic recovery criteria, is provided in Heublein et al. (2017b);
 - 1680 c) Education, outreach, and stakeholder engagement program to facilitate awareness and
1681 support and secure funding for implementing this recovery plan. Recovery will require
1682 working together with a diverse array of stakeholders, including Federal, state, and local
1683 agencies, non-profit organizations, and Tribes, to carry out the recovery actions outlined
1684 in this plan. The public will need to be engaged by raising their awareness of green
1685 sturgeon conservation needs and protections.
 - 1686 3) Implementing remaining recovery actions and research priorities not implemented in 1 and 2
1687 above.
- 1688

1689 Based on results from implementation, NMFS may refine the recovery criteria or revise or re-
1690 prioritize recovery actions. For example, if indices of recruitment to the juvenile life stage do
1691 not show a net positive trend within 15 years after restoring adequate habitat in the Sacramento,
1692 Feather, and Yuba rivers, then additional spawning and rearing habitat may be needed elsewhere
1693 or other activities that increase juvenile productivity may be needed. Watersheds that might
1694 have once provided spawning habitat based on historical conditions (i.e., Bear River, American
1695 River, and Russian River) could be considered. Assessments of these rivers would first need to
1696 be conducted to determine if they contain suitable spawning/rearing habitat or the geomorphic
1697 conditions needed to create that habitat. While sDPS currently utilize the lower San Joaquin
1698 River, this river is not a main focus of the recovery plan due to the lack of historical records
1699 indicating that the sDPS once spawned in the system. An increase in sDPS reports or evidence
1700 of spawning migratory behavior in the San Joaquin River, particularly in higher river reaches,
1701 would merit consideration of establishment of a spawning population as a recovery goal.

1702

1703 **Chapter IV. Recovery Program**

1704
1705 This chapter presents prioritized recovery actions for the threats that limit recovery, with a focus
1706 on threats ranked as High or Very High. If the recovery criteria have not been met after
1707 implementing recovery actions in this plan, these threats may be revisited. Since research is
1708 needed to inform many recovery actions, a research plan should be developed during the initial
1709 phase of implementation. The supporting programs of monitoring and outreach should also be
1710 developed during the initial phase.

1711
1712 The following outlines the 20 recommended recovery actions and 16 research priorities. The
1713 first 17 recovery actions, classified into the four categories of passage, flow and temperature,
1714 entrainment, take, contaminants and habitat and climate change are assigned priority 2; they
1715 represent the most significant actions necessary to recover the sDPS. The remaining three
1716 priority 3 recovery actions are less of a priority given their likely impact on recovery.
1717 Associated research priorities are described within each category for ease of understanding and
1718 because research should be implemented immediately. That said, the listing of research
1719 priorities sequentially does not confer prioritization. It is also recognized that the research
1720 priorities will not likely be accomplished along with the recovery actions. Research with
1721 potentially high management or recovery value is given a priority of 2. Threat categories, areas,
1722 and life stages are given in the headings before the actions and research are described. The
1723 subsequent sections detailing monitoring and outreach are also necessary components of this
1724 plan. Priority rankings have also been given to actions within these sections.

1725
1726 *Addresses Listing Factor A and D - Habitat Destruction, Modification, or Curtailment of*
1727 *Habitat or Range and Inadequacy of Existing Regulatory Mechanisms*

1728
1729 **Barriers to Migration (SRB, SFBDE adults/subadults)**

1730
Recovery Action 1a (Priority 2) Provide upstream passage in the Feather River at the
boulder weir located at Sunset Pumps.

1731
1732
1733 There are several potential solutions available to address the passage barrier on the Feather River
1734 at Sunset Pumps' boulder weir. If more water can be diverted from the Thermalito Afterbay,
1735 then water may not need to be diverted at Sunset Pumps and the boulder weir could be removed.
1736 Alternatively, a fish way or low-flow gradient system similar to the one located near the Glenn
1737 Colusa Irrigation District's water diversion intake on the Sacramento River near Hamilton City
1738 could be constructed in order to provide both upstream and downstream passage of green
1739 sturgeon at the boulder weir. If none of these potential solutions are implemented, then research
1740 is needed to better determine the minimum flow required for the sDPS to pass at this site.

1741
Recovery Action 1b (Priority: 2) Until the Fremont Weir (Yolo bypass) and Tisdale Weir
(Sutter bypass) are improved structurally to reduce stranding and to provide passage, ensure
that any stranded green sturgeon are immediately relocated to the Sacramento River.

1744 Efforts are needed to reduce stranding time and fish should continue to be relocated from the
1745 bypasses into the Sacramento River until the weirs are improved structurally to provide passage.
1746

Recovery Action 1c (Priority: 2) Provide upstream passage at Daguerre Point Dam in the Yuba River.

1747
1748
1749 Volitional fish passage at Daguerre Point Dam is the preferred approach for restoring access to
1750 historical green sturgeon habitat and establishing an additional spawning location in the Yuba
1751 River watershed. Although modification may meet this standard, there are no current examples
1752 of a functioning adult green sturgeon passage structure. Dam removal is the most preferred
1753 approach because it provides unimpeded passage for adult sturgeon as well as numerous aquatic
1754 species, best restores the natural processes of the river ecosystem, and thus substantially
1755 contributes to their recovery. It is recognized that habitat improvements may need to be made
1756 once sturgeon passage is addressed at Daguerre Point Dam, the specifics of which will need to be
1757 determined after the response of the sDPS to passage improvement or restoration is evaluated.
1758

Recovery Action 1d (Priority: 2) Construct a structure that will provide volitional passage for upstream migrating adults at Fremont and Tisdale Weirs.

1759
1760
1761 The United States Bureau of Reclamation (USBR) and the California Department of Water
1762 Resources (CDWR) have proposed a plan to address this issue in the Yolo bypass (USBR and
1763 CDWR 2012). Plans should be developed and implemented to address this issue at the Sutter
1764 bypass as well. Once these major structural changes are made, additional changes may be
1765 needed downstream of the weirs and throughout the bypasses to address features such as scour
1766 pits and ponds if green sturgeon strand in these areas when flows recede after flooding.
1767

Recovery Action 1e (Priority: 2) Assess the feasibility of Sacramento Deep Water Ship Channel lock operation during the green sturgeon upstream migration period.

1768
1769
1770 Intermittent opening of the locks during the green sturgeon spawning migration may address
1771 potential passage impediment. While presently available information does not show that green
1772 sturgeon are impacted by the Deep Water Ship Chanel, this may be an artefact of limitations in
1773 tagging, receiver arrays, or data analysis. Operation of the lock will also improve habitat
1774 connectivity for multiple species.
1775

Recovery Action 1f (Priority: 2) Provide volitional upstream passage for green sturgeon at the Anderson-Cottonwood Irrigation District (ACID) Dam if a spawning habitat suitability study indicates that suitable upstream habitat is currently present or if upstream habitat is expected to become suitable in the foreseeable future.

1776
1777
1778 A habitat assessment, using parameters from field and lab-based literature and modeling
1779 exercises should be undertaken to assess current habitat suitability and future suitability given
1780 climate change. If the sDPS is not determined as moving forward towards recovery after other
1781 recovery actions are implemented, and habitat above ACID Dam is deemed unsuitable because

1782 of cold-water releases, water management alterations providing suitable habitat for the sDPS
1783 between ACID and Keswick dams should be evaluated.
1784

Research Priority 1a (Priority: 3) Conduct research to assess migration of green sturgeon in the Sacramento Deep Water Ship Channel and Port of Sacramento (i.e., upstream locks).

Research Priority 1b (Priority: 3) Conduct research to determine the effects on green sturgeon migration from the operations of the Delta Cross Channel gates.

1785
1786
1787 New research and/or analysis of telemetry data is needed to understand if these structures prevent
1788 or delay passage of adult green sturgeon or have a potential effect on juvenile migration and
1789 rearing habitat accessibility.

1790
1791 *Addresses Listing Factor A and D - Habitat Destruction, Modification, or Curtailment of*
1792 *Habitat or Range and Inadequacy of Existing Regulatory Mechanisms*

1793
1794 **Altered Water Flow, Altered Water Temperature (SRB eggs, larvae/juveniles,**
1795 **adults/subadults; SFBDE juveniles, adults/subadults)**

1796
1797 **Altered Water Flow, Altered Water Temperature, Altered Turbidity, Altered**
1798 **Sediment (CBE adults/subadults) (RP2b only)**
1799

Recovery Action 2a (Priority: 2) Modify operations or facilities in the Oroville-Thermalito Complex to maintain suitable water temperatures and flows for spawning and recruitment throughout the sDPS spawning and rearing period in the Feather River.

1800
1801
1802 Evaluation of water operations needed to provide water temperatures and flows suitable for
1803 sDPS reproduction while also serving agriculture and hydropower is a necessary first step. One
1804 possible method to lower the water temperature in the Feather River would be to increase cold
1805 water releases from the Thermalito Diversion Pool (directly downstream of Oroville Dam) into
1806 the Feather River. Increasing irrigation diversions directly from the Thermalito Afterbay would
1807 further reduce the amount of warm water entering the Feather River at the Thermalito Afterbay
1808 Outlet. Other solutions may be more optimal and a core focus of efforts to achieve this action
1809 should analyze trade-offs.
1810

Recovery Action 2b (Priority: 2) Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.

1811
1812
1813 This recovery action addresses the management of impoundments, water diversions, and
1814 temperature control in the SRB. The recovery action would require use of information from
1815 long-term monitoring of the sDPS to determine flow and temperature targets rather than relying
1816 on laboratory studies and studies of surrogate species.
1817

Recovery Action 2c (Priority: 2) Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.

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Investigation into inter-annual green sturgeon spawning success on the Feather River and downstream spawning range of the Sacramento River may identify temperature and flow thresholds associated with successful green sturgeon spawning. These potential flow and temperature thresholds could then be used to evaluate existing water operations on the Yuba River and the need for modifying water operations or providing passage into upstream habitat.

Research Priority 2a (Priority 2) Evaluate the effects of habitat modification and/or restoration (e.g., levee alteration, channel reconnection, floodplain connectivity measures) on green sturgeon recruitment and growth.

Research Priority 2b (Priority 3) Determine the effects of water management on green sturgeon habitat in the CBEs and consequent effects, if any, on individual growth and survival.

1826
1827

The population (i.e., recruitment) and individual (i.e., growth) impacts of current channel margin, wetland, and floodplain modification projects in the SFBDE should be evaluated. Options for wetland and floodplain restoration should be explored to restore beneficial flow and turbidity characteristics in SFBDE. Research priorities regarding temperature and flow aim to understand how current in-water projects and water management practices impact the sDPS and refine future recovery actions. In the CBE, particularly the Columbia River estuary, testable hypotheses are needed that link changes in habitat through water management (e.g., changes in flow, temperature, turbidity, and sediment load) to growth and survival of sDPS green sturgeon.

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Addresses Listing Factor D and E – Inadequacy of Existing Regulatory Mechanisms and Other Factors

Take (SRB larvae/juveniles, SFBDE juveniles for 3a, 3b, 3c and RP 3a; SFBDE juveniles, adults/subadults, CBE, NM for RP3a)

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1842

Recovery Action 3a (Priority: 2) Identify current and proposed water diversions posing significant risk to green sturgeon.

1843

Recovery Action 3b (Priority: 2) Develop operations and/or screening guidelines.

1844

Recovery Action 3c (Priority: 2) Apply operations or screening guidelines to diversions in the mainstem Sacramento, Feather, and Yuba rivers or San Francisco Bay Delta Estuary such that early life stage entrainment is below a level that limits juvenile recruitment.

1845
1846

Identifying the highest risk diversions to sDPS based on combined field and laboratory studies, developing operation and/or screening criteria, and finally applying these criteria to highest risk diversions in the Sacramento, Feather, and Yuba rivers and SFBDE will reduce loss of individual

1847
1848
1849

1850 sDPS fish through entrainment. This will require monitoring and population modeling to
1851 determine a potential quantitative level of entrainment that limits juvenile recruitment.
1852

Research Priority 3a (Priority: 3) Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.

1853
1854
1855 This research priority concerns conducting new research on the risks posed by potential
1856 hydrokinetic facilities, particularly the impact of facilities using turbines. Such research would
1857 inform recovery actions and permitting decisions.
1858

1859 *Addresses Listing Factor B and D – Overutilization for Recreational, Commercial, Scientific*
1860 *or Educational Purposes and Inadequacy of Existing Regulatory Mechanisms*

1861
1862 **Take (SRB, SFBDE adults/subadults for 4a, RP 4a; CBE, NM for RP4b)**
1863

Recovery Action 4a (Priority: 2) Reduce poaching in the Sacramento, Feather, and Yuba rivers and when the weirs overtop at the Yolo and Sutter bypasses through increased enforcement presence or improved relocation methods.

1864
1865
1866 This recovery action aims to reduce poaching, particularly when sDPS green sturgeon are
1867 stranded in the bypasses.
1868

Recovery Action 4b (Priority 2): Implement measures to reduce fisheries bycatch of green sturgeon in commercial and recreational fisheries and complete Fishery Management and Evaluation Plans for state fisheries encountering sDPS green sturgeon.

Research Priority 4a (Priority: 2) Conduct research to estimate the annual level of mortality of sDPS green sturgeon from poaching.

Research Priority 4b (Priority: 2) Conduct research to develop an estimate of green sturgeon immediate and post-release mortality and sub-lethal effects from incidental capture in fisheries (e.g., gillnet, hook and line fisheries (CBE); coastal trawl fisheries (NM)).

1869
1870
1871 The recovery action aims to increase knowledge of the impacts of fisheries bycatch and
1872 minimize take of sDPS due to incidental mortality. Completion of FMEPs will ensure that green
1873 sturgeon bycatch in state fisheries will not significantly reduce the likelihood of survival or
1874 recovery of the sDPS (75 FR 30714, June 6, 2010). The research priorities here are of
1875 potentially high management and recovery value in estimating poaching levels and reducing
1876 bycatch mortality in fisheries.
1877

1878 *Addresses Listing Factor A and D - Habitat Destruction, Modification, or Curtailment of*
1879 *Habitat or Range and Inadequacy of Existing Regulatory Mechanisms*

1880
1881 **Altered Prey Base, Contaminants (SRB, SFBDE all life stages, CBE for RP5a,**
1882 **RP5b)**
1883

Recovery Action 5a (Priority: 2) Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the Sacramento River Basin and San Francisco Bay Delta Estuary.

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Best Management Practices (BMPs) are measures either self-imposed or mandated by government (e.g., federal, state, county, city) to reduce environmental impacts of activities such as wastewater treatment, agriculture, logging, mining, and manufacturing. In this plan, the BMPs referenced primarily involve water quality. For this recovery action, BMPs that reduce contaminants in wastewater, stormwater, and agricultural effluent that enter the Central Valley Rivers and SFBDE should be improved with respect to compliance and implementation. Enhancing treatment or adding riparian buffers could be a means of reducing contaminant exposure to all life stages of sDPS green sturgeon.

Research Priority 5a (Priority: 2) Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base.
Research Priority 5b (Priority: 2) Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.

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These research priorities aim to better specify the contaminants posing a risk to the sDPS and its prey base so as to refine the recovery actions. The research has the potential to impact recovery criteria and actions into the future and should include investigation of chemicals used in CBE environments to control burrowing shrimp.

Addresses Listing Factor A - Habitat Destruction, Modification, or Curtailment of Habitat or Range

Altered Water Temperature (SFBDE all life stages for 6a; CBE, NM for 6b), Altered Prey Base (SRB larvae/juveniles, SFBDE for all lifestages, CBE, NM for RP6c), Barriers to Migration (CBE for RP6b), Loss of Wetland Function (CBE for RP6c)

Addresses Listing Factor E – Other Factors

Competition for Habitat (SRB eggs, larvae/juvenile, SFBDE juveniles, CBE, NM for RP6a)

Recovery Action 6a (Priority: 2) Forecast changes in temperatures in accessible spawning and rearing habitat in the Sacramento, Feather, and Yuba rivers for the next century. Use available lab-based tolerances and optima from nDPS as well as sDPS field data to assess the viability of spawning and rearing habitat over forecasted temperature change.

1914

Recovery Action 6b (Priority: 2) Forecast temperature changes in CBE and NM habitats for the next century and potential response of the sDPS.

1915
1916

1917 These recovery actions aim to forecast specific responses to climate changes in terms of
1918 available habitat and prey and altered behavior across the range of the sDPS. Some of this work
1919 will be better supported with completion of RP6a and RP6c below.
1920

Research Priority 6a (Priority: 3) Conduct research to determine how native and non-native species compete with green sturgeon for habitat.

Research Priority 6b (Priority: 3) Conduct research to determine the effect of water quality, including anoxic conditions, on habitat use of green sturgeon.

Research Priority 6c (Priority: 3) Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.

1921
1922
1923 Research on the sDPS prey base and the impact of non-native species and climate change and on
1924 how water quality impacts migration would inform recovery efforts in the future.
1925

1926 *Addresses Listing Factor C – Disease and Predation*

1927
1928 **Predation (SFBDE all lifestages, CBE for 7a; SRB eggs, larvae/juveniles, SFBDE,**
1929 **CBE for RP7a)**

1930
Recovery Action 7a (Priority: 3) Develop actions to reduce predation on sDPS green sturgeon in areas where high rates of predation occur based on an evaluation of the severity of marine mammal predation on sDPS green sturgeon.

1931
1932
Research Priority 7a (Priority: 3) Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.

1933
1934
1935 An evaluation of the severity of marine mammal and native and non-native species predation
1936 would better direct recovery efforts in the future.
1937

1938 *Addresses Listing Factor A and D - Habitat Destruction, Modification, or Curtailment of*
1939 *Habitat or Range and Inadequacy of Existing Regulatory Mechanisms*

1940
1941 **Altered Turbidity, Altered Sediment (CBE for RP8b)**

1942 **Water Depth Modification (SRB subadults/adults for 8a, RP8a)**

1943
Recovery Action 8a (Priority: 3) Improve compliance and implementation of BMPs to reduce input of non-point source sediment within the upper Sacramento River Basin.

1944
1945
1946 See BMP description in *Recovery Action 5a* above. The use of better land use practices, such as
1947 the creation of riparian buffers, use of “greener” bank stabilization technologies, improving
1948 timber harvest practices, such as replanting following fires, and improving road building
1949 practices on both public and private land, should result in reducing sediment runoff.

1950

Research Priority 8a (Priority: 2) Conduct research to evaluate sDPS spawning substrate suitability in the Sacramento, Feather, and Yuba rivers.

Research Priority 8b (Priority 3): Conduct research on the effects of changes in turbidity and sediment load on green sturgeon habitat in the CBEs and consequent effects, if any on individual growth and survival.

1951

1952

1953 These research priorities aim to understand how sediment load is impacting the sDPS in terms of
1954 habitat in the SRB and CBEs.

1955

1956 *Addresses Listing Factor A and D - Habitat Destruction, Modification, or Curtailment of*
1957 *Habitat or Range and Inadequacy of Existing Regulatory Mechanisms*

1958

Contaminants (Oil and Chemical Spills) (CBE)

1959

1960

Recovery Action 9a (Priority: 3) Assess efficacy of oil and chemical spill response plans in the sDPS range in minimizing potential adverse effects to green sturgeon and develop updated plans as necessary.

1961

1962

1963 An assessment of oil and chemical response plans is needed to assess whether specific measures
1964 should be incorporated to minimize potential adverse effects to the sDPS. Should additional
1965 measures be necessary, plans should be updated.

1966

1967 *Addresses Listing Factor C – Disease and Predation*

1968

Disease (NM)

1969

1970

Research Priority 9a (Priority: 3) Include condition/health study in long-term green sturgeon monitoring to determine potential risk of disease to the sDPS.

1971

1972

1973 Disease transmittal from native and non-native species, release of diseased fish from hatcheries,
1974 and reduced immunity from exposure to poor water quality, such as dead zones, are all potential
1975 impacts of this threat, and monitoring would better determine the risk posed.

1976

Supporting Program - Monitoring

1977

1978

1979 During the initial phase of recovery plan implementation, the three supporting programs of
1980 Research, Monitoring, and Outreach/Education will need to be developed. The Research
1981 program should focus on the priorities identified above. Monitoring activities should be initiated
1982 immediately, or be continued if they are already in place, in order to provide baseline
1983 information and to determine progress toward delisting. A great deal of information regarding
1984 current monitoring schemes in the SRB and SFBDE can be found in Heublein et al. (2017a and
1985 2017b). Below, monitoring schemes are only briefly described as the specifics of how

1986 monitoring may be conducted may be at the discretion of the research or dependent upon the
1987 scale of funding.

1988

1989 **Monitoring Priority 1 (Priority: 2)** *Monitor the annual abundance of sDPS green sturgeon*
1990 *spawning adults in the Sacramento, Feather, and Yuba rivers.* Assessments of the number of
1991 green sturgeon spawning in the SRB are currently conducted each spring/summer by NMFS and
1992 CDFW and should continue and possibly be expanded. Monitoring programs should be altered
1993 to allow identification of variations in run timing (e.g., assessing whether spring and fall runs
1994 exist) if an analysis of existing telemetry data proves inadequate to address this.

1995

1996 **Monitoring Priority 2 (Priority: 2)** *Monitor trends in the annual production of larval sDPS*
1997 *green sturgeon from the Sacramento, Feather, and Yuba rivers.* In order to determine if green
1998 sturgeon are successfully reproducing in the Sacramento, Feather, and Yuba rivers, annual
1999 surveys to determine the production of larvae should continue. Surveys will need to change to
2000 focus on new habitat areas as they are opened up via recovery actions. These surveys need to be
2001 standardized to the extent that a net increase in larval production and progress towards this
2002 recovery criterion can be assessed.

2003

2004 **Monitoring Priority 3 (Priority: 2)** *Monitor trends in the annual production and habitat use of*
2005 *juvenile sDPS green sturgeon in the SRB and SFBDE.*

2006

2007 **Monitoring Priority 4 (Priority: 2)** *Monitor the population age structure (size classes) of sDPS*
2008 *green sturgeon once every five years.* Every five years, adult and subadult green sturgeon should
2009 be sampled from coastal bays and estuaries in order to determine if size classes are
2010 proportionately represented.

2011

2012 **Monitoring Priority 5 (Priority: 2)** *Assess genetic diversity of spawning and juvenile sDPS*
2013 *green sturgeon annually, if possible, or for at least three consecutive years each ten-year period.*
2014 *Develop a system to assess effective population size of sDPS spawning adults.* A tissue sample
2015 should be collected from all adult and juvenile green sturgeon collected during research studies
2016 in the SRB for genetic analysis to facilitate the diversity and effective population size analysis.

2017

2018 **Monitoring Priority 6 (Priority: 3)** *Use telemetry to monitor sDPS use of estuaries and coastal*
2019 *environments.* Monitoring programs should be designed to provide a better understanding of
2020 fine-scale habitat use in estuaries given that such information is needed in analyzing the impacts
2021 of different estuarine and nearshore projects (e.g., aquaculture (e.g., in Humboldt Bay), dredging
2022 (e.g., in the Columbia River and Umpqua estuary, Tillamook, Coos, and Nehalem Bay)) on the
2023 sDPS and clarify in-water work windows and best management practices across estuaries. In
2024 addition, monitoring the Eel and Klamath River estuaries should be considered given their
2025 potential use by the sDPS. Monitoring programs should be sensitive enough to provide the
2026 information needed to eventually detect behavioral differences and shifts in habitat use and
2027 migration patterns that may occur with climate change.

2028

2029 **Monitoring Priority 7 (Priority: 2)** *Work cooperatively with fisheries that regularly encounter*
2030 *the sDPS to utilize these encounters as a source of monitoring data on recovery.* Past fisheries

2031 data should also be analyzed to understand whether trend data can be assessed and, if necessary,
2032 how/if monitoring of fisheries could be changed to better gather data on the sDPS.

2033
2034 **Monitoring Priority 8 (Priority: 3)** *Implement strategies in state, federal, and tribal fisheries to*
2035 *monitor and reduce the take of green sturgeon in fisheries.*

2036
2037 **Monitoring Priority 9 (Priority: 2)** *Long-term monitoring of contaminants levels in adults is*
2038 *implemented and compared to inter-annual spawning and recruitment to understand potential*
2039 *relationships between contaminant levels, reproduction, and recruitment.*

2040
2041 **Supporting Programs – Education and Outreach**

2042
2043 Education and outreach efforts should focus on user groups that may encounter green sturgeon
2044 and those that may be impacted by or could facilitate management practices that assist in the
2045 recovery of sDPS green sturgeon. As water use in the Central Valley requires balancing
2046 competing needs, outreach and education efforts targeting user groups and management agencies
2047 could facilitate an understanding of the needs of sDPS green sturgeon. A presentation of the
2048 recovery plan aims, objectives, criteria and actions should be given to user groups and
2049 management agencies. Outreach efforts that focus on fishermen that may encounter the sDPS
2050 across its range should provide information on sDPS fishing regulations and the potential
2051 problems of post-release mortality and poaching. School groups should also be a target for
2052 outreach and education given the unique attributes of green sturgeon and the vehicle they provide
2053 for talking about environmental issues such as water availability, habitat modification and
2054 drought.

2055
2056 The recovery plan presented here aims to restore habitat, reduce mortality and address the major
2057 threats identified to facilitate the recovery of the sDPS. If after implementing the 19 recovery
2058 actions described above, the demographic recovery criteria have not been met, additional actions
2059 will need to be taken. Given that it will potentially take two decades to implement the above
2060 actions and meet demographic criteria, NMFS anticipates that a greater understanding of the
2061 factors affecting this species will be known in the future and thus recovery actions may be
2062 refined moving forward.

2063
2064 **Implementation Schedule & Costs**

2065
2066 Implementation of the plan in terms of action duration, partnering agencies and estimated costs is
2067 outlined in Table 4. Although candidate agencies for completing individual recovery actions
2068 have been identified based on authority, responsibility, and expertise, the listing of a partnering
2069 agency does not require the party to implement the action or to secure funding for implementing
2070 the action, as recovery actions are discretionary. Participating parties will benefit by being able
2071 to show in any funding request that specific work is for a recovery action that has been identified
2072 in an approved recovery plan. Section 7(a)(1) of the ESA directs all Federal agencies to use their
2073 authorities in furtherance of the purposes of the ESA, in this case by specifically addressing
2074 recovery actions for which they have been identified as a responsible party.

2075

2076 Implementation of recovery actions will require collaboration among many entities, including
2077 NMFS, other Federal agencies, and state and local agencies, as detailed in Table 4. As most
2078 recovery actions focus on California’s Central Valley, staff from the NMFS’ West Coast Region
2079 will likely have the biggest role in overseeing implementation of this plan. Collaboration
2080 between NMFS and other federal (e.g., USBR, USFWS) and state agencies (e.g., CDFW and
2081 CDWR) will be imperative.

2082
2083 The estimated total cost of the recovery plan over 20 years is \$236 million dollars, including
2084 actions, research, monitoring and education and outreach. Most actions should be scheduled to
2085 take place in the first five to ten years. Many of the most-costly recovery actions (e.g., barrier
2086 removal, increased enforcement, addressing entrainment at diversions) have multi-species
2087 benefits and may be covered under recovery efforts for other species. For example, the recovery
2088 plan for listed Central Valley salmonids (NMFS 2014) includes recovery actions designed to
2089 improve watershed-wide processes that will likely benefit sDPS green sturgeon by restoring
2090 natural ecosystem functions. Specific actions to improve Delta habitat, remove barriers, and
2091 reduce entrainment could aid in the recovery of the sDPS and reduce the sDPS recovery plan
2092 cost by \$17 million.

2093
2094 It is anticipated that the recovery of sDPS green sturgeon is likely to be a long process.
2095 Restoring habitat by providing adequate water flow and temperature and addressing migration
2096 barriers is likely to take ten years or more. That said, interim measures will be and already being
2097 taken to facilitate green sturgeon recovery. Due to green sturgeon’s slow maturation and low
2098 recruitment rate, increases in abundance may take between three to four generations following an
2099 improvement of habitat conditions. Given a generation time for sDPS green sturgeon of
2100 approximately 22 years, a substantial increase in adult abundance in response to implemented
2101 habitat-based recovery actions may not be observed for 66-88 years. Funds will thus likely be
2102 needed to monitor adult abundance after the first 20 years, for a total additional cost of \$25-40
2103 million.

2104 Table 4. Action duration, partnering agencies and estimated costs of the Southern DPS green sturgeon recovery plan. Costs were
 2105 estimated through research on costed activities currently proposed that are the same or similar to those outlined.
 2106

Identifier	Area	Threat Addressed	Recovery Action	Priority	Recovery Partners	Duration	Estimated Fiscal Year Costs (thousands of dollars)				Total Cost (thousands of dollars) FY1-FY20
							FY1-5	FY6-10	FY11-15	FY16-20	
Recovery Action 1a	SRB	<i>Barriers to Migration</i>	Provide upstream passage in the Feather River at the boulder weir located at Sunset Pumps.	2	CDWR, NMFS, other state and federal agencies	5	17,000	0	0	0	17,000
Recovery Action 1b	SRB	<i>Barriers to Migration</i>	Until the Fremont Weir (Yolo bypass) and Tisdale Weir (Sutter bypass) are improved structurally to reduce stranding and to provide passage, ensure that any stranded green sturgeon are immediately relocated to the Sacramento river.	2	CDFW, other state and federal agencies	10	500	500	0	0	1,000
Recovery Action 1c	SRB	<i>Barriers to Migration</i>	Provide upstream passage at Daguerre Point Dam in the Yuba River.	2	Army Corps, NMFS, state and other federal agencies	5	63,000	0	0	0	63,000
Recovery Action 1d	SRB	<i>Barriers to Migration</i>	Construct a structure that will provide volitional passage for upstream migrating adults Fremont and Tisdale Weirs.	2	USBR, CDWR, other state and federal agencies	5	0	0	0	0	0
Recovery Action 1e	SRB	<i>Barriers to Migration</i>	Assess the feasibility of Sacramento Deep Water Ship Channel lock operation during the green sturgeon upstream migration period.	2	NMFS, state and other federal agencies	20	25	25	25	25	100

2107

Recovery Action 1f	SRB	<i>Barriers to Migration</i>	Provide volitional upstream passage for green sturgeon at the Anderson-Cottonwood Irrigation District (ACID) Dam if a spawning habitat suitability study indicates that suitable upstream habitat is currently present or if upstream habitat is expected to become suitable in the foreseeable future.	2	NMFS, ACID, state and other federal agencies	20	150	18,000	50	50	18,250
Research Priority 1a	SRB, SFBDE	<i>Barriers to Migration</i>	Conduct research to assess migration of green sturgeon in the Sacramento Deep Water Ship Channel and Port of Sacramento (i.e., upstream locks).	3	NMFS, CDFW, USFWS, other state and federal agencies, academic institutions	3	450	0	0	0	450
Research Priority 1b	SRB, SFBDE	<i>Barriers to Migration</i>	Conduct research to determine the effects on green sturgeon migration from the operations of the Delta Cross Channel gates.	3	NMFS, CDFW, USFWS, other state and federal agencies, academic institutions	5	0	450	0	0	450
Recovery Action 2a	SRB	<i>Altered Water Flow, Altered Water Temperature</i>	Modify operations or facilities in the Oroville-Thermalito Complex to maintain suitable water temperatures and flows for spawning and recruitment throughout the sDPS spawning and rearing period in the Feather River.	2	FERC, CDWR, other state and federal agencies, NGOs	5	125	0	0	0	125
Recovery Action 2b	SRB, SFBDE	<i>Altered Water Flow, Altered Water Temperature</i>	Develop temperature and flow targets in accessible spawning, incubation, and rearing habitat through long-term monitoring of spawning, larvae, and juvenile distribution and recruitment.	2	NMFS, USBR, CDWR, other federal and state agencies	10	1,250	1,250	0	0	2,500
Recovery Action 2c	SRB	<i>Altered Water Flow, Altered Water Temperature</i>	Assess temperature and flow in the Yuba River based on suitable conditions for green sturgeon production in the Sacramento and Feather rivers. If necessary, study the feasibility of modifying water operations on the Yuba River to support spawning and recruitment.	2	CDWR/local water agencies, Army Corps, NMFS, CDFW, USFWS	5	250	0	0	0	250

Research Priority 2a	SFBDE	<i>Altered Water Flow, Altered Water Temperature</i>	Evaluate the effects of habitat modification and/or restoration (e.g., levee alteration, channel reconnection, floodplain connectivity measures) on green sturgeon recruitment and growth.	2	NMFS, USBR, state and other federal agencies, private landowners and companies	15	120	120	120	0	360
Research Priority 2b	CBE	<i>Altered Water Flow, Altered Water Temperature, Altered Sediment, Altered Turbidity</i>	Determine the effects of water management on green sturgeon habitat in the CBEs and consequent effects, if any, on individual growth and survival	3	State agencies, Army Corps, Bonneville Power Administration (Columbia River)	4	0	120	120	0	240
Recovery Action 3a	SRB, SFBDE	<i>Take (Entrainment in Water Diversions)</i>	Identify current and proposed water diversions posing significant risk to green sturgeon.	2	NMFS, state and other federal agencies	2	250	0	0	0	250
Recovery Action 3b	SRB, SFBDE	<i>Take (Entrainment in Water Diversions)</i>	Develop operations and/or screening guidelines.	2	NMFS, state and other federal agencies	2	0	250	0	0	250
Recovery Action 3c	SRB, SFBDE	<i>Take (Entrainment in Water Diversions)</i>	Apply operations or screening guidelines to diversions in the mainstem Sacramento, Feather, and Yuba rivers or SFBDE such that early life stage entrainment is below a level that limits juvenile recruitment.	2	CDFW, USFWS, NMFS, Army Corps, CDWR/water agencies, CDPR, NGOs, private landowners and companies	10	0	8,000	8,000	0	16,000
Research Priority 3a	SFBDE, CBE, NM	<i>Take (Entrainment from Hydrokinetic Projects)</i>	Conduct research to determine the impacts of hydrokinetic facilities, especially those using turbines.	3	NMFS, state and other federal agencies, private companies	10	0	200	300	0	500
Recovery Action 4a	SRB, SFBDE	<i>Take (Poaching)</i>	Reduce poaching in the Sacramento, Feather, and Yuba rivers and when the weirs overtop at the Yolo and Sutter bypasses through increased enforcement presence or improved relocation methods.	2	CDFW, NMFS, other state and federal agencies	20	12,500	12,500	12,500	12,500	50,000

Recovery Action 4b	CBE, NM	Take (Fisheries)	Implement measures to reduce fisheries bycatch of green sturgeon in commercial and recreational fisheries and complete Fishery Management and Evaluation Plans for state fisheries encountering sDPS green sturgeon.	2	NMFS, CDFW, ODFW, WDFW	9	525	375	0	0	900
Research Priority 4a	SRB, SFBDE	Take (Poaching)	Conduct research to estimate the annual level of mortality of sDPS green sturgeon from poaching.	2	State agencies, NMFS	3	300	0	0	0	300
Research Priority 4b	CBE, NM	Take (Fisheries)	Conduct research to develop an estimate of green sturgeon immediate and post-release mortality and sub-lethal effects from incidental capture in fisheries (e.g., gillnet, hook and line fisheries (CBE); coastal trawl fisheries (NM)).	2	ODFW and WDFW, federal agencies, academic institutions, NGOs	7	390	390	0	0	780
Recovery Action 5a	SRB, SFBDE	Contaminants	Improve compliance and implementation of Best Management Practices (BMPs) to reduce input of point and non-point source contaminants within the SRB and SFBDE.	2	Army Corps, USBR, CDWR/water agencies, NMFS, CDFW, CDPR, USFWS, county and city agencies, private landowners	10	0	0	0	0	0
Research Priority 5a	SRB, SFBDE, CBE	Altered Prey Base, Contaminants	Conduct research to identify contaminants and contaminant concentrations in all life stages of green sturgeon and their prey base.	2	Academic institutions, state and federal agencies	10	1,500	1,500	0	0	3,000
Research Priority 5b	SRB, SFBDE, CBE	Altered Prey Base, Contaminants	Conduct research to determine the toxicity of identified contaminants on green sturgeon (e.g., physiologically) and their prey base.	2	Academic institutions, state and federal agencies	10	0	1,500	1,500	0	3,000
Recovery Action 6a	SRB	Altered Water Temperature	Forecast changes in temperatures in accessible spawning and rearing habitat in the Sacramento, Feather, and Yuba rivers for the next century. Use available lab-based tolerances and optima from nDPS as well as sDPS field data to assess the viability of spawning and rearing habitat over forecasted temperature change.	2	NMFS, academic institutions, state and other federal agencies	2	0	250	0	0	250

Recovery Action 6b	CBE, NM	<i>Altered Water Temperature</i>	Forecast temperature changes in CBE and NM habitats and potential response of the sDPS.	2	State and federal agencies, Army Corps, Bonneville Power Administration, academic institutions	2	0	250	0	0	250
Research Priority 6a	All areas	<i>Native and Non-native Species</i>	Conduct research to determine how native and non-native species compete with green sturgeon for habitat.	3	Academic institutions, state and federal agencies	15	0	500	500	500	1,500
Research Priority 6b	CBE	<i>Barriers to Migration</i>	Conduct research to determine the effect of water quality, including anoxic conditions, on habitat use of green sturgeon.	3	Academic institutions, state and federal agencies, Army Corps	10	0	0	300	300	600
Research Priority 6c	All areas	<i>Altered Prey Base, Loss of Wetland Function</i>	Conduct research to gain a better understanding of the prey base of all life stages of green sturgeon and potential effect of non-native species and climate change.	3	Academic institutions, state and federal agencies	5	0	550	550	0	1,100
Recovery Action 7a	SFBDE, CBE	<i>Predation</i>	Develop actions to reduce predation on sDPS green sturgeon in areas where high rates of predation occur based on an evaluation of the severity of marine mammal predation on sDPS green sturgeon.	3	NMFS, USFWS, state and federal agencies, Army Corps in the Columbia River	3	0	250	0	0	250
Research Priority 7a	SRB, SFBDE, CBE	<i>Predation</i>	Conduct research to determine predation by native and non-native species and potential impact on sDPS recovery.	3	Academic institutions, state and federal agencies	3	0	1,400	0	0	1,400
Recovery Action 8a	SRB	<i>Altered Sediment</i>	Improve compliance and implementation of BMPs to reduce input of non-point source sediment within the upper SRB.	3	EPA, SWRCB, RWQCB, USDA, RCDs, industry, individuals	10	0	0	0	0	0
Research Priority 8a	SRB	<i>Water Depth Modification</i>	Conduct research to evaluate sDPS spawning substrate suitability in the Sacramento, Feather, and Yuba rivers.	2	State and federal agencies, academic institutions	3	300	0	0	0	300

Research Priority 8b	CBE	<i>Altered Turbidity, Altered Sediment</i>	Conduct research on the effects of changes in turbidity and sediment load on green sturgeon habitat in the CBEs and consequent effects, if any on individual growth and survival.	3	State and federal agencies, Army Corps and Bonneville Power Administration in the Columbia River	3	0	300	0	0	300
Recovery Action 9a	CBE	<i>Contaminants (Oil and Chemical Spill)</i>	Assess efficacy of oil and chemical spill response plans in the sDPS range in minimizing potential adverse effects to green sturgeon and develop updated plans as necessary.	3	EPA, USFWS, CDFW, Oregon DEQ, WDOE, ADEC, NMFS	5	0	50	0	0	50
Research Priority 9a	NM	<i>Disease</i>	Include condition/health study in long-term green sturgeon monitoring to determine potential risk of disease to the sDPS.	3	State and federal agencies, academic institutions	10	0	2,500	2,500	0	5,000
Monitoring Priority 1	SRB	N/A	Monitor the annual abundance of sDPS green sturgeon spawning adults in the Sacramento, Feather, and Yuba rivers.	2	State and federal agencies, academic institutions, private companies	20	734	734	734	734	2,936
Monitoring Priority 2	SRB	N/A	Monitor trends in the annual production of larval sDPS green sturgeon from the Sacramento, Feather, and Yuba rivers.	2	State and federal agencies, academic institutions, private companies	20	1,000	1,000	1,000	1,000	4,000
Monitoring Priority 3	SRB, SFBDE	N/A	Monitor trends in the annual production and habitat use of juvenile sDPS green sturgeon in the SRB and SFBDE.	2	State and federal agencies, academic institutions	20	3,500	3,500	3,500	3,500	14,000
Monitoring Priority 4	SRB, SFBDE, CBE	N/A	Monitor the population age structure (size classes) of sDPS green sturgeon once every five years.	2	State and federal agencies, academic institutions	20	100	100	100	100	400

2108

Monitoring Priority 5	SRB, SFBDE	N/A	Assess genetic diversity of spawning and juvenile sDPS green sturgeon annually, if possible, or for at least three consecutive years each ten-year period. Develop a system to assess effective population size of sDPS spawning adults.	2	State and federal agencies, academic institutions, private companies	20	65	65	65	65	260
Monitoring Priority 6	SFBDE, CBE, NM	N/A	Use telemetry to monitor sDPS use of estuaries and coastal environments.	3	State and federal agencies, academic institutions, Army Corps, Bonneville Power Administration (Columbia River)	20	6,000	6,000	6,000	6,000	24,000
Monitoring Priority 7	All areas	N/A	Work cooperatively with fisheries that regularly encounter the sDPS to utilize these encounters as a source of monitoring data on recovery.	2	NMFS, state agencies	20	100	100	100	100	400
Monitoring Priority 8	All areas	N/A	Implement strategies in state, federal, and tribal fisheries to monitor and reduce the take of green sturgeon in fisheries.	3	NMFS, state agencies, tribes	20	50	50	50	50	200
Monitoring Priority 9	All areas	SRB	Implement long-term monitoring of contaminants levels in adults and compare to inter-annual spawning and recruitment to understand potential relationships between contaminant levels, reproduction, and recruitment.	2	State and federal agencies, academic institutions	15	25	25	25	0	75
Education & Outreach Priority 1	All areas	N/A	Present recovery plan aims, objectives, criteria and actions to interested user groups and management agencies as well as school groups.	3	NMFS, state and federal agencies, NGOs	10	29	15	0	0	44

2109

Education & Outreach Priority 2	All areas	N/A	Develop outreach program for law enforcement personnel, fishing guides, and fishermen on green sturgeon protection under Federal and State laws and the potential problems of post-release mortality and poaching. Distribute the green sturgeon identification flyers coast wide (include in State fishing regulations and websites, and post at boat ramps, fishing sites, and bait shops).	2	NMFS, state and federal agencies, NGOs	5	250	0	0	0	250
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