# National Webinar on the Advanced Notice of Proposed Rulemaking for Potentially Updating Guidelines for National Standard 4, 8 and 9

June 12, 2023

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### **Participants**

# National Marine Fisheries Service Headquarters

- Ms. Janet Coit, NMFS Assistant Administrator
- 9 Ms. Kelly Denit, Director Office of Sustainable Fisheries
- 10 Ms. Kate Naughten, Office of Communications
- 11 Mr. Sam Rauch, Deputy Assistant for Regulatory Programs
- 12 Mr. Michael Ruccio, Office of Sustainable Fisheries
- 13 Dr. Wendy Morrison, Office of Sustainable Fisheries
- 14 Dr. Tara Scott, Office of Sustainable Fisheries
  - Mr. Matt VanKleunen, Office of Sustainable Fisheries

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#### Welcome and Introductions

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Kate Naughten: I think we'll give it another minute and then get started. Okay with you, Wendy? Okay, good morning. Good afternoon. Everybody I think we're going to get started. Welcome to our webinar. Glad you're here today. I'm Kate Naughten, Director of Communications for NOAA Fisheries and this is our national level webinar on the advanced notice of proposed rulemaking for National standard 4, 8 and 9 guidelines. So thank you for your participation today. We're here to receive public comments today on this ANPR. Just a couple of notes here, due to the limited duration of the call, we're going to limit folks initial comments to about 3 minutes, and if there's time, then you can add back in. I'm going to call on people after you raise your hand. So, please look at the bottom of your screen and scroll around. Find the icons, and if you would like to make a comment, please raise your hand. We will ask you to unmute and then you can give your comment. We also encourage people who are attending today to submit written comments via the methods on the final slide you will see in the slideshow. We will not be taking comments today via the chat feature. I just want to make that clear. Okay before we get started, we are recording this webinar. We are going to post it online so I need to read you a disclaimer and it is just a brief statement. Regarding your privacy as an opt-in participant of this meeting. So, here we go. Pursuant to the Privacy Act of 1974 agencies are required to tell people (1) what our authority is for collecting personally identifiable information or from them; (2) the purpose of this collection; and (3) how we are using and sharing that; and (4) whether or not the person can refuse to provide the personally identifiable information and what, if any, is the consequence of refusing to provide the personally identifiable information. In order to collect personally identifiable information in a system of records, even if accompanied by a privacy act statement, we also have to notify you of

this collection, which we are doing with this statement. We are sharing this because we want you as participants in this webinar and public commenters to not provide personally identifiable information, business identifiable information or controlled unclassified information during today's session. Today's audio is being recorded by the telecommunication company for the purposes of creating a transcript, so speakers and public comments made today, including chat comments read aloud, may be made publicly available. If you are not comfortable with this, then we'd ask you to sign off and then just submit your comment in writing.

Okay. That part's over, I'm going to introduce our speakers today and then we're going to get started. We're going to have introductory remarks from Janet who's our assistant administrator for NOAA Fisheries, Sam Rauch our deputy assistant administrator for regulatory programs. Then the presentation by Wendy Morrison. She's with the Office of Sustainable Fisheries. And then available for questions once we get to that part, we have Kelly Denit who's our director of the Office of Sustainable Fisheries, Tara Scott and Mike Ruccio all in the Office of Sustainable Fisheries. And that's the end of my intro. Thanks again for participating and I'm handing it over to Janet.

# Opening Remarks from NOAA Fisheries leadership

Janet Coit: Thank you Kate. On May 15th, NOAA Fisheries published an Advance Notice of Proposed Rulemaking to seek public comment on potential future adjustments the agency may make to the implementing guidelines for national standards 4, 8, or 9 of the Magnuson-Stevens Fisheries Conservation and Management Act. Several of our ongoing fishery management challenges, such as dealing with climate change and equity and environmental justice suggests that there might be a need to revisit our quidelines to ensure that they remain current. Climate change and environmental justice are both critically important issues for this administration. Climate change is already impacting our marine resources by affecting the location and the productivity of fish stocks and of the fishing industry's interactions with bycatch, protective species and other ocean users. These changes can cause social, economic and other impacts on fisheries and fishing dependent communities. NOAA Fisheries understands the importance of adapting fisheries management to address current and anticipated needs and conditions, including the dynamic stock conditions and the changing ocean conditions. NOAA Fisheries is also committed to advancing equity and environmental justice that includes equal treatment opportunities and environmental benefits for all people and fishing communities. Our work impacts the economic opportunity, health treaty rights and trust responsibilities of many communities, including those that are historically underserved. We recognize that government programs and policies can and must advance environmental justice and equitable distribution of services. Revising the National standard guidelines may be one potential way to embed equity and environmental justice

into our day to day efforts. And now to Sam Rauch the deputy here at NOAA Fisheries.

Sam Rauch: All right, thank you and as Janet indicated since the National Standards, 4, 8 and 9 guidelines were last revised a number of fishery management issues, including those related to climate ready fisheries, and equity and environmental justice suggests the need to revisit the guidelines to ensure they remain appropriate for current US fisheries management. The intent of the ANPR, and this webinar is to provide the public with background on some of the specific issues under consideration, seek input, and to provide a general opportunity for comment. NOAA Fisheries invites public comment on the ANPR through September 12th, 2023 and along with this information webinar, we are also engaging federally recognized tribes and offering presentations to all 8 Regional Fishery Management Councils. The advanced notice of proposed rulemaking is a way for NOAA Fisheries to request input on whether changes to National Standard Guidelines are needed. It does not require NOAA officials to make the changes. Officials could consider the feedback received and determine that no changes are needed at this time. If we do decide to move forward with changes to the guidelines, we will draft a proposed rule and provide additional opportunities for public input at that time. Such rulemaking, if warranted, would likely begin in 2024, and be widely announced to inform all interested parties, tribes and management partners. Today, we're going to have a brief presentation that provides some background on these national standards and the issues and questions we are considering. It will open up the webinar for questions or comments. So please be mindful that we are recording this presentation and we'll post it to our website. Therefore, do not provide any information you do not want to have shared widely. With that, I'll turn it back over to you, Kate to introduce the presentation.

**Kate Naughten:** Thank you Sam. Appreciate that. Thank you Janet. Now, we're going to go over to Wendy for the overview of the presentation. Go ahead, Wendy.

#### Presentation

Wendy Morrison: All right, can everybody hear me? Got the thumbs up. Okay, we're going to walk you through some of the issues and some background. And it's not advancing. Sorry for a few technical issues, as I figure out how to make this slide advance. Any suggestions anyone? Oh, it wasn't working before, now it is, awesome. There we go. So, a little brief agenda for us is here. We had our introduction. Then I will give this brief presentation on the ANPR, background, and questions we have. Then we will have a few minutes where you can ask questions on the process. So, how we're going to move forward with the ANPR as well as maybe questions about today's webinar and then we're hoping the most of the time goes towards public comments. We'll start you off at a limit of three minutes as we've mentioned. And then if there's more time you can come back on. So, the objective of the ANPR

is to determine if updates to the guidelines for the National standards, and the National standards we're talking about here are 4 8 and 9- if these updates are needed to improve federal fisheries management. The goal overall is to solicit public input on the current guidelines, what are the areas and issues that may benefit from further consideration and revisions. This is kind of like a scoping. We're coming out to you to ask what issues do you see, and asking for input on the issues that we have identified.

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So a little bit of background on the National standards, so the Magnuson Stevens Fisheries Conservation and management act is the main law for federal fisheries management in the US. Within this law are 10 national standards that must be met for every fishery management plan and associated fishing regulation. The MSA requires that the Secretary of Commerce establish advisory guidelines that explain how we will interpret and implement the National standards. These are the quidelines that we're talking about here. So, as we mentioned, we are currently considering revising the guidelines for 3 of the National standards, and these are (you can see the short hand on the slide) national standard 4 deals with fishery allocations. Some of the text from the national standard are that allocations should be fair and equitable, promote conservation, and not result in excessive shares. The second one is national standard 8, which considers impacts to communities. Some of the language from the national standard is to provide for sustained participation, and minimize adverse economic impacts to the extent practicable. The 3rd one is national standard 9, which deals with minimizing bycatch and bycatch mortality to the extent practicable.

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Okay, so, for this advance notice of proposed rulemaking most of the issues we identified fell into two main bins, which is climate related impacts on fisheries and promoting equity and environmental justice in fisheries. And so what I'm going to do is walk through each of the National standards, and the interaction between climate and EEJ for each of them. Some background on climate and fisheries. Changing ocean conditions are affecting the location and productivity of fish stocks and fishing industries interactions with them. As Janet mentioned at the beginning. These changes can cause social economic and other impacts on fisheries and fishing dependent communities. NOAA Fisheries understands the importance of updating fisheries management to address these changes, including dynamic stock conditions and changing ocean conditions. For environmental equity and environmental justice. We know that this is a priority of the administration. And so we are committed to advancing equity and environmental justice- and this is the same thing. Janet already read apologies. I will keep moving.

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So I'm going to jump in first, with the issues, the interactions between climate and the National Standard 8 and National Standard 9 guidelines. So, as you've heard twice, now, environmental changes are affecting and will continue to affect stock distributions, abundances and creating challenges for the communities that depend on these resources. So what we are requesting comments on, or options for

updating the guidelines to National Standard 8 to better account for these changes and improve the ability of communities to adapt to the changing conditions. We are also requesting comments on options for updating the guidelines to National Standard 9 to better account for and adapt to changes in interactions between fisheries and bycatch or protected resources due to environmental changes.

Okay, so the questions we have regarding national standard, 4 quidelines are similar for climate and equity, and environmental justice. So I have combined them on one slide. Most allocations established by councils and NOAA Fisheries are highly complex and are supported by extensive analyses. Determinations of many, but not all of the existing allocations have relied heavily on documented catch or landings during specific time-periods. Considering documented catch in the development of allocations is important because it helps participants maintain access to resources that they have been dependent on. However, it is also important to consider the needs of other users such as fishermen who would like to enter a fishery, or fishermen who have been displaced from other fisheries, and then, finally, or existing fishermen who are now catching a species in their historical fishing grounds. So, if a species has moved in. NOAA Fisheries is considering whether updates to the national standard 4 guidelines would help encourage allocation decisions that balance the needs of these different user groups when creating and updating allocations, and including the stocks that are shifting, and or have shifted their distributions. In terms of climate and EEJ, we're requesting input on approaches to improve consideration of underserved communities, historical users, previously excluded entrants and new entrants in these allocation decisions. We're also asked for feedback on revisions to the guidelines are needed to reinforce the 2016 allocation policy that NOAA Fisheries put out that requires periodic reviews of allocations. And then finally, we're asking for input on the types of documentation, analyses, and alternative approaches that could be considered when making such allocation decisions.

Okay, so moving on. National Standard 8 guidelines and the interaction with equity and environmental justice. I'm going to have a couple of slides on this one. There's quite a few topics to cover here. So, first of all, there is a definition of fishing community in our national standard 8 guidelines. So we have a couple questions regarding that definition. If we need to update that definition. So the first one is, should this definition of fishing communities be updated to remove the requirement that fishing community members must reside in a specific location? The current language has that emphasis on residing in a specific location. If we remove that requirement, it could allow for fishing communities based on fishing characteristics, other than just location. For example, there could be a group of charter fishermen that target Atlantic cod that are spread throughout the Northeast that could be considered a community even though they're not located in the same location.

 The second question we have on the definition of efficient community within the National Standard 8 guidelines is to look at the shift in balance between dependence and engagement. As stocks decrease in abundance or shift distributions, communities will likely need to adapt. This might suggest the need to move away from focusing management on communities that are historically dependent on a fishery. In fact, in many ways, decreasing a community's dependence on a few particular stocks or fisheries, by diversifying the fisheries that can be accessed, could increase the community's overall resilience. Thus, shifting the focus from dependence on a fishing fishery or stock towards focusing on engagement, and fishing in general, is being considered. Shifting the focus of the definition of fishing communities towards engagement could build up the community's economic resilience and help preserve those communities as fishing communities into the future.

And then outside of that definition, but still within National Standard 8 guidelines, and within equity and environmental justice questions. We are requesting input on how to appropriately balance the requirements under National Standard 8, for sustained participation of fishing communities and the need to improve consideration of: the underserved communities currently or historically engaged with the fisheries; previously excluded entrants; new entrants; and communities with high levels of social or climate vulnerability. We also welcome input on the appropriate measures of social and climate vulnerability for fishing communities.

 All right, moving on to National Standard 9 guidelines with equity and environmental justice. Conflict between fisheries, and gears is common in fisheries management via the overlap and geographic areas fished or in the species caught. Relevant to National Standard 9 is the situation where bycatch of one fishery has negative impacts on another fishery, usually via restricting limit to total fishing mortality on the shared stock. The issue can be further complicated when one or more fisheries in conflict are important for the underserved communities. NOAA Fisheries welcomes input on how the national standard 9 guidelines could be modified to minimize bycatch in a manner that is equitable across different fisheries and gear types. NOAA Fisheries also welcomes comments on ways to better balance the needs of bycatch and target fisheries in a manner that is equitable across the fisheries and gear types, especially when one or more fisheries are important for underserved communities.

All right, and then we have two challenges that are outside those general categories of climate and equity and environmental justice, and they are listed here. So, the first one is the practicability standard. National standard 9 itself requires bycatch and bycatch mortality to be minimized to the extent practicable. We would like to assert that the discussion of practicability within the existing National Standard 9 guidelines appropriately balances the complexities of federal fisheries management. However, we would welcome input on how the National Standard 9 guidelines could be modified to further

decrease bycatch or bycatch mortality of stocks. We also welcome input on other ways to improve the quidelines. For example, we welcome input on whether we should consider adding provisions to address bycatch on an ecosystem level, rather than on a single species metric; implementing provisions for alternative performance based standards; and increasing provisions to document bycatch avoidance. And then the other topic on this slide is reducing waste. We note that some fishery management plans include management measures that prohibit retention of certain fish species or sizes to ensure fishermen are disincentivized from incidentally catching these fish. When these regulatory discards are required, they can sometimes lead to waste. NOAA Fisheries seeks input on revisions to the national standard 9 guidelines that could incentivize reduction of waste, including the use of innovations that decrease bycatch, bycatch mortality, or increase use while dis-incentivizing catch of overfished or low productivity stocks.

All right, and so Sam mentioned this timeline in the very beginning. We published the ANPR on May 15th. Kelly Denit gave a presentation to the Council Coordination Committee on May 23rd. We are offering presentations to each Council for their meetings that occur between June and August. We have this one national level webinar, and we will be offering two tribal webinars in June and July and then the public comment period closes on September 12th. If warranted, we will begin drafting a proposed rule in the fall of 2023.

All right, and here are the two ways to submit comments written comments online, or I would happy to be happy to receive your mail. So that's it. All right back over to you, Kate.

# Public Questions and Comments Session

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50 51 Kate Naughten: Thanks, Wendy. I'm not going to say anything about that photo you chose there. Okay. Great. All right. So folks, we had more people join the call. I just want to review that this call is being recorded. And so just to keep that in mind for whatever reason you'd like to, and let's go to our directions here, we are going to take comments on process 1st, if possible here. If you have a question on the process for this ANPR, if you could please raise your hand in your icon bar, and I will call on you. We'll unmute you and have you ask your question. Go ahead. Anybody who's open who has a question on process? Questions on process. We were just going to tackle those first if anybody had one. Well, you did a great job, Wendy Sam and Janet. I don't see any process questions. And for those who just joined us a little bit late, we are collecting verbal comments today. We also encouraging you to send in written comments. That's why Wendy still has the slide up. And we will not be taking comments in the chat today. So, if you have a comment for us please raise your hand, and we will call on you. Not seeing any hands if anybody is raising their hand I am not seeing hands. This is the public comment period if you would like me to take you off of mute

please let me know. I am going to refresh to see if anybody has a comment they'd like to make today. Please raise your hand in the symbols box, and we can take your comment that way.

Matt VanKleunen: And I'll just add that control shift R on your keyboard is a shortcut that can also be used to raise hands.

 **Kate Naughten:** Great. Thank you Matt! Control shift R or the hand icon. Matt, I see that a few of these folks are on the phone only. I'm wondering if there's a way to open up I don't think so, on the webinar. Anybody has a public comment, please use the Control shift R or the hands icon—will open up your line.

Matt VanKleunen: Star 3 may work for phone attendees to raise their hand. I'm not totally sure.

**Kate Naughten:** Okay, that sounds like a good start. Anybody want to star 3? Again, we're collecting public comments today. On this webinar, if you'd like to make one, please raise your hand, or come off of mute if you're on the phone. Wendy, will this presentation be available on the website at some point, the slides that you just went through?

**Wendy Morrison:** Yes, we are definitely going to post a recording of this webinar, but I can also post just the slides if that is preferred.

Kate Naughten: Awesome. Perfect. I think that sounds good. We'll do both. The lines are open for comments folks if you'd like to make any. Looking for the hand icon or somebody to come off mute if you are phone only. Give it another few minutes and see if there are any comments. We have all the experts here today, so a good day for questions and comments. Okay, wait about another minute and then I think we're going to call it a day. In case we have any late breaking thoughts here. Okay, seeing none, I am going to call this webinar good. And please folks who were on the phone who joined us, thank you again. We really do appreciate you calling to listen in. Please send in your comments based on this instruction on the slide. These will also be available online along with the recording. We did have introductory remarks from Janet and Sam Rauch today. So if you'd like to hear those, they'll be in the audio/ video recording. All right thanks again, take care.

Wendy Morrison: Thank you.