NOAA Form 370
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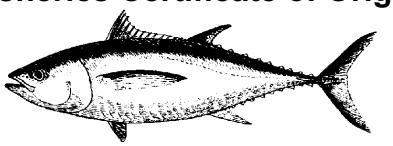
APPROVAL EXPIRES: SEPTEMBER 30, 2026
[OMB REVIEW PENDING]

U.S. DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
NATIONAL MARINE FISHERIES SERVICE (NMFS)

Fisheries Certificate of Origin



1. CUSTOMS ENTRY IDENTIFICATION

Customs Entry Number (11 digits)

Date of Entry (YYYY-MM-DD)

2. EXPORTER (Name, Address, and Contact Information)

3. IMPORTER (Name, Address, and Contact Information)

4. DESCRIPTION OF FISH

U.S. Harmonized Tariff Schedule (HTS) Number, Species Description, and Product Form	Net Weight (kg)	Ocean Area	Fishing Gear	Vessel Flag	Trip Dates (YYYY-MM-DD)		Vessel Name
					Begin Date	End Date	

5. DOLPHIN-SAFE STATUS - Select the dolphin-safe status statement that applies to the tuna product. See **INSTRUCTIONS** on page 2.

___ 5A ___ 5B1 ___ 5B2 ___ 5B3 ___ 5B4 ___ 5B5

5A. The tuna or tuna products described herein are not certified to be dolphin-safe and contain no marks or labels that indicate otherwise.

5B. The tuna or tuna products described herein are certified to be dolphin-safe:

5B1: Tuna not harvested with a purse seine net, and not harvested in any fishery that has been identified by the Assistant Administrator as causing a regular and significant mortality or serious injury of dolphins and/or a regular and significant association between dolphins and tuna, with valid documentation by: (1) the captain of the vessel and, where applicable, by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no purse seine net or other fishing gear was intentionally deployed on or used to encircle dolphins during the fishing trip and that no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught and; (2) by the captain of the vessel certifying completion of the NMFS dolphin-safe captain's training course. **Applicable Certification(s) attached.**

5B2: Tuna harvested using a purse seine net outside the Eastern Tropical Pacific Ocean (ETP), in any fishery for which the Assistant Administrator has not determined that there is a regular and significant mortality or serious injury to dolphins and/or a regular and significant association occurring between dolphins and tuna, with valid documentation by: (1) the captain of the vessel, and where applicable, documentation by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no purse seine net or other fishing gear was intentionally deployed on or used to encircle dolphins during the fishing trip and that no dolphins were killed or seriously injured in the sets in which the tuna were caught and; (2) the captain of the vessel certifying completion of the NMFS dolphin-safe captain's training course. **Applicable Certification(s) attached.**

5B3: Tuna harvested in a fishery in which the Assistant Administrator has determined there is a regular and significant mortality or serious injury to dolphins and/or a regular and significant association occurring between dolphins and tuna, with valid documentation by: (1) the captain of the vessel, and where applicable, by an authorized observer certifying that no purse seine net or other fishing gear was intentionally deployed on or used to encircle dolphins during the fishing trip and that no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught and that the tuna was stored physically separate from tuna caught in a non-dolphin-safe set or other gear deployment by the use of netting, other material, or separate storage areas from the time of capture through unloading; (2) the captain of the vessel certifying completion of the NMFS dolphin-safe captain's training course. Valid documentation is also required that is signed by a representative of the vessel flag nation or the processing nation certifying that: A) the catch documentation is correct; B) the tuna or tuna products meet the U.S. dolphin-safe labeling standards under 50 CFR 216.91(a); and C) the chain of custody information is correct. **Applicable Certification(s) attached.**

5B4: Tuna harvested in the ETP by a purse seine vessel having a carrying capacity of 400 short tons (362.8 mt) or less, with valid documentation by: (1) the captain of the vessel and, where applicable, by either a qualified and authorized observer or by an authorized representative of a nation participating in the observer program, certifying that no purse seine net or other fishing gear was intentionally deployed on or used to encircle dolphins during the fishing trip and that no dolphins were killed or seriously injured in the sets in which the tuna were caught and; (2) the captain of the vessel certifying completion of the NMFS dolphin-safe captain's training course. **Applicable Certification(s) attached.**

5B5: Tuna harvested in the ETP by a purse seine vessel of more than 400 short tons (362.8 mt) carrying capacity, with valid documentation signed by a representative of the appropriate IDCP member nation certifying that: (1) there was an IDCP-approved observer on board the vessel during the entire trip; (2) no purse seine net was intentionally deployed on or to encircle dolphins during the fishing trip and no dolphins were killed or seriously injured in the sets in which the tuna were caught; and (3) listing the numbers for the associated Tuna Tracking Forms which contain the captain's and observer's certifications. **IDCP Member Nation Certification(s) attached.**

6. EXPORTER CERTIFICATION - I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Exporter Name (Print or Type): Signature and Date:

7. IMPORTER/PROCESSOR ENDORSEMENT

Name and Address: Signature and Date:

GENERAL INFORMATION This form is required for all frozen and/or processed tuna and tuna products (excluding fresh tuna) entered into the United States, pursuant to 50 CFR 216.24(f)(2)(i) or (ii). Completed forms and applicable dolphin-safe certifications must be submitted prior to or at the time of importation, via the U.S. CBP ACE Document Imaging System. The information submitted on this form is necessary to substantiate the origin and method of harvest of tuna as required by 50 CFR Part 216.24(f), will be used to determine whether or not the listed shipment will be allowed entry into the United States, and may also be used to document U.S. domestic landings and shipments of certain tuna and tuna products as required by 50 CFR Part 216.92(a). The information on this form will be treated as confidential pursuant to NMFS' internal control procedures. Questions regarding this form may be directed to the Tuna Tracking and Verification Program by email at swrtuna.track@noaa.gov. Additional information on dolphin-safe tuna is available online at https://www.fisheries.noaa.gov/dolphin-safe.

INSTRUCTIONS

1. CUSTOMS ENTRY IDENTIFICATION – Enter the shipment's assigned U.S. Customs and Border Protection Entry Number and the projected date of entry [year-month-day, YYYY-MM-DD, e.g., December 19, 2024 = 2024-12-19].

2. EXPORTER – Enter company name and address of exporter, and contact information for responsible company representative.

3. IMPORTER – Enter name and address of the importer of record, and contact information for responsible company representative.

4. DESCRIPTION OF FISH –

- U.S. HARMONIZED TARIFF SCHEDULE NUMBER, SPECIES DESCRIPTION AND PRODUCT FORM - Enter U.S. HTS Number of the tuna or tuna product, the species description, and product form in English.
- NET WEIGHT - Enter the total net weight of the shipment in kilograms.
- OCEAN AREA - Enter the ocean area in which the fish contained in this shipment were harvested. Only one ocean area per row should be selected:

WA -	Western Atlantic (west of 45° W. longitude)	**NP -**	North Pacific Ocean (north of 40° N. latitude)
EA -	Eastern Atlantic (east of 45° W. longitude)	**SP -**	South Pacific Ocean (west of 160° W. longitude, south of 15° S. latitude and east of 160° W. longitude, south of 40° S. latitude)
IND -	Indian Ocean	**CAR -**	Caribbean Sea
WP -	Western Pacific Ocean (west of 160° W. longitude and north of 15° S. latitude)	**OTH -**	Other Ocean Area (please describe)
ETP -	Eastern Tropical Pacific (east of 160° W. longitude, between 40° N. and 40° S. latitude)		

- FISHING GEAR - Enter type of fishing gear used to harvest the fish:

PL -	Pole and Line, Hook and Line	**DN -**	Large-scale Driftnet (High Seas)
PS -	Purse Seine Net	**GN -**	Gillnet less than 1.5 miles (2.4 km) in total length
LL -	Longline	**OTH -**	Other Type (please describe)

- VESSEL FLAG - Enter the country under whose laws the fishing vessel operated, or for certified charter vessels, enter the country that accepted responsibility for the vessel's fishing operations.
- TRIP DATES - Enter the exact beginning and ending dates [year-month-day, YYYY-MM-DD, e.g., December 19, 2024 = 2024-12-19] of the fishing trip during which the described shipment of fish were harvested.
- VESSEL NAME - Enter the name of the fishing vessel.

5. DOLPHIN-SAFE STATUS – Select only one statement. Use a separate NOAA Form 370 if multiple statements apply. For tuna declared as 5B1, 5B2, or 5B4, a written "Captain's Statement" is required. It must be signed by the fishing vessel captain, certifying that 1) No purse seine net or other fishing gear was intentionally deployed on or used to encircle dolphins during the fishing trip in which the tuna were caught and no dolphins were killed or seriously injured in the sets or other gear deployments in which the tuna were caught; and 2) Completion of the Tuna Tracking and Verification Program's Dolphin-Safe Captain's Training Course. A statement by an observer or a representative of a nation participating in the observer program may also be required where NMFS has determined that the observer program is qualified and authorized to issue observer statements for purposes of the dolphin-safe labeling program. For tuna declared as 5B3, caught in a fishery where the Assistant Administrator has determined that a regular and significant mortality or serious injury to dolphins exists and/or a regular and significant association is occurring between dolphins and tuna, in addition to a Captain's Statement, a statement by an observer or a representative of a nation participating in the observer program may be required. Tuna or tuna products designated as dolphin-safe must be accompanied by valid documentation signed by a representative of the vessel flag nation or the processing nation certifying that: A) the catch documentation is correct; B) the tuna or tuna products meet the U.S. dolphin-safe labeling standards under 50 CFR 216.91(a); and C) the chain of custody information is correct. Lastly, documentation signed by the captain and by the observer, if applicable, is required, certifying the tuna was stored physically separate from tuna caught in a non dolphin-safe set or other gear deployment by the use of netting, other material, or separate storage areas from the time of capture through unloading. For tuna declared as 5B5, an "International Dolphin Conservation Program (IDCP) Member Nation Certification" is required. An IDCP Certificate must be signed by a representative of the appropriate IDCP member nation, certifying that 1) an IDCP-approved observer was on board the vessel during the entire trip; 2) no purse seine net was intentionally deployed on or used to encircle dolphins during the fishing trip; and 3) no dolphins were killed or seriously injured in the sets in which the tuna were caught. The Certificate must also include the numbers for the associated Tuna Tracking Forms.

6. EXPORTER CERTIFICATION – Must be signed and dated by a responsible official of the export company listed in Section 2.

7. IMPORTER/PROCESSOR ENDORSEMENT – Each importer or processor who takes custody of the shipment must sign and date the form to certify that the form and attached documentation accurately describe the shipment of tuna that they accompany.

Public reporting burden for this collection of information is estimated to average 25 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the above address. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.