Request for Incidental Harassment Authorization

Chevron Richmond Refinery Long Wharf Maintenance and Efficiency Project



Chevron Richmond Refinery – Capital Projects

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Acronyms

μPa	microPascal
Caltrans	California Department of Transportation
CBC	California Building Code
CFR	Code of Federal Regulations
CSLC	California State Lands Commission
dB	decibel(s)
EFH	Essential Fish Habitat
FHWG	Fisheries Hydroacoustic Working Group
FMP	Fisheries Management Plan
ft	feet
HAPC	Habitat Areas of Particular Concern
Hz	hertz
IHA	Incidental Harassment Authorization
lbs	pounds
L _{eq}	unweighted average noise level
L _{max}	unweighted maximum noise level
LOA	Letter of Authorization
MMPA	Marine Mammal Protection Act
MOTEMS	Marine Oil Terminal Engineering and Maintenance Standards
NMFS	National Marine Fisheries Service
NOAA	National Oceanic and Atmospheric Administration
RMS	root square mean
RSRB	Richmond-San Rafael Bridge
SPL _{peak}	instantaneous peak sound pressure level
WMEP	Wharf Maintenance and Efficiency Project

1 Detailed Description of the Activity

1.1 Project History

The Chevron Products Company's Richmond Refinery Long Wharf (Long Wharf) is the largest marine oil terminal in California. Between 2008 and 2010, volume transfers averaged 145 million barrels per year with an average of 720 vessel calls per year. The Long Wharf has six berths for receiving raw materials and shipping final products. Its operations are regulated primarily by the California State Lands Commission (CSLC) through a State Lands lease, Article 5 of CSLC regulations, and Marine Oil Terminal Engineering and Maintenance Standards (MOTEMS; California Building Code (CBC) Chapter 31F).

The Long Wharf has existed in its current location since early 1900s (Figure 1-1). The Berth 2 fender system (timber pile and whaler) was designed and installed in 1940. Marine loading arms, gangways, and fender systems at Berths 1, 3 and 4 were installed in 1972. The Berth 4 fender panels were replaced in 2011 and the Berth 1 fender panels were replaced in 2012. The existing configuration of these systems have limitations to accepting more modern, fuel efficient vessels with shorter parallel mid-body hulls and in some cases do not meet current MOTEMS requirements.

1.2 Project Location

The Long Wharf is located in central San Francisco Bay (the Bay) just south of the eastern terminus of the Richmond-San Rafael Bridge in Contra Costa County. Figure 1-1 illustrates the project vicinity and specific location.

1.3 Project Purpose

The purpose of the proposed Long Wharf Maintenance and Efficiency Project (WMEP or Project) is to comply with current MOTEMS requirements and to improve safety and efficiency at the Long Wharf. To meet MOTEMS requirements, the fendering system at Berth 2 is being updated and the Berth 4 loading platform will be seismically retrofitted to stiffen the structure and reduce movement of the Long Wharf in the event of a level 1 or 2 earthquake. Safety will be improved by replacing gangways and fire monitors. Efficiency at the Long Wharf will be improved by updating fender system configuration at Berth 4 to accommodate newer, more fuel efficient vessels and thus reduce idling time for vessels waiting to berth. Further, efficiency will be improved by updating the fender system at Berth 1 to accommodate barges, enabling balanced utilization across Berths 1, 2, and 3.

Actions being taken for MOTEMS compliance purposes include:

- Berth 2 fender replacement
- Berth 4 loading platform seismic retrofit

Actions being taken to improve safety include:

- Berth 2 permanent gangway and fire monitor
- Berth 3 permanent gangway and fire monitor
- Berth 4 fender intermediate fender points for

Actions being taken for operational efficiency include:

- Berth 1 gangway to accommodate barges
- Berth 1 mooring hook dolphin and fender additions to accommodate barges

The Project would not result in an increase or expansion of the operational capacity of the Long Wharf and would not result in an increase in vessel calls to the wharf.

1.4 Description of Proposed Project

The Project would involve modifications at four berths (Berths 1, 2, 3, and 4) as shown on Figure 1-1. Proposed modifications to the Long Wharf include replacing gangways and cranes, adding new mooring hooks and standoff fenders, adding new dolphins and catwalks, and modifying the fire water system at Berths 1, 2, 3 and/or 4, as well as the seismic retrofit to the Berth 4 loading platform. The Project has the potential to result in incidental harassment (Level B) of marine mammals during pile driving activities. The type and numbers of piles to be installed, as well as those that will be removed, are summarized in Table 1-1 and an overview of the modifications at Berths 1 to 4 are shown in Figure 1-2.

The combined modifications to Berths 1-4 would require the installation of 141 new concrete piles to support new and replacement equipment and their associated structures. The Berth 4 loading platform would also add eight, 60 inch diameter steel piles as part of the seismic retrofit. The Project would also add four clusters of 13 composite piles each (52 total) as markers and protection of the new batter (driven at an angle) piles on the east side of the Berth 4 retrofit. The Project would remove 106 existing timber piles, two existing 18-inch and two existing 24-inch concrete piles. A total of 12 temporary piles would also be installed and removed during the seismic retrofit of Berth 4.

Completion of the modifications will require cutting holes in the concrete decking of the wharf to allow piles to be driven. The removal of structures and portion of concrete decking may involve the use of jack hammers to break up concrete, torches to cut metal, and various cutting and grinding power tools. This work will occur at various times throughout the construction schedule. When there is potential for construction debris to fall into the water below the wharf, temporary work platforms or barges will be used to capture debris. A typical debris catchment system that has been used at the wharf previously consists of a platform suspended beneath the wharf or in some cases a smaller platform immediately below the work area, and a second larger platform beneath that. Debris that falls on the platform is collected and disposed of in an appropriate manner.

The modifications at each berth are summarized below.

Berth 1 Modifications

Modifications at Berth 1 include the following:

- Replace gangway to accommodate barges and add a new raised fire monitor.
- Construct a new 24' x 20' mooring dolphin and hook to accommodate barges.
- Construct a new 24' x 25' breasting dolphin and 13' x 26' breasting point with standoff fenders to accommodate barges. The new breasting dolphin will require removal of an existing catwalk and 2 piles and replacing with a new catwalk at a slightly different location, and adding a short catwalk to provide access to the breasting dolphin.
- A portion of the existing gangway will be removed. The remaining portion is used for other existing services located on its structure.



Chevron Chevron Long Wharf MAINTENANCE AND EFFICIENCY PROGRAM

FIGURE 1-1 *Chevron Long Wharf - Project Location*

Table	1-1:	Pile	Summary
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ltem		Description		No. Piles	Pile Fill Area (ft ²)	Pile Installation / Removal Method	Pile Volume Below Water (ft ³)
	1	Berth 1 Mooring Hook Dolphin	480	13	52	Impact	2,244
	2	Berth 1 Outer Breasting Dolphin	692	17	68	Impact	2,832
	3	Berth 1 Inner Breasting Point		8	32	Impact	1,280
	4	Berth 1 Gangway	0	4	16	Impact	640
	5	Berth 1 Walkways	438	0	-	-	-
	6	Berth 2 South Outside Fender	92	10	40	Impact	1,192
	7	' Berth 2 South Inside Fender		10	40	Impact	1,192
7	8	Berth 2 North Inside Fender	92	9	36	Impact	1,132
lev	9	Berth 2 North Outside Fender	92	10	40	Impact	1,192
- T	10	Berth 2 Main Hose Crane	0	4	16	Impact	262
lst	11	Berth 2 Aux Crane	0	4	16	Impact	440
alla	12	Berth 2 Vapor Recovery Hose Crane	0	0	0	-	-
tic	13	Berth 2 Gangway	0	4	16	Impact	276
ň	14	Berth 3 Gangway	0	4	16	Impact	525
	15	Berth 4 South Breasting Dolphin	904	22	88	Impact	4,774
	16	Berth 4 North Breasting Dolphin	904	22	88	Impact	4,691
	17	Berth 4 Walkways	340	0	-	-	-
		Total 24-inch Square Concrete Piles		141	564		22,672
	18	Berth 4 Loading Platform Retrofit (60-inch-diameter Steel Piles)	1070	8	157	Impact	2,483
	19	Berth 4 Barrier Piles (4 Clusters of 13 Composite Piles)	56	52	56	Vibrate	840
		Total Additional Fill	5,740	201	777		25,996
	20	Berth 1 Pile Removal	-	-2	-4.5	Vibrate	-185
_ 7	21	Berth 2 Pile Removal (106 Wooden - Actual Count)	-	-106	-148	Vibrate	-5,299
Rei	22	Berth 2 Whaler Removal (excluding wooden Piles)	-509.02	-	-	-	-
ma	23	Berth 2 Brace Piles (22-inch Square Concrete Jacketed Timber Piles)	-	-3	-10.1	Cut	-315
va	24	Berth 4 Concrete Pile Removal	-	-2	-8	Cut	-127
– ≓	25	Berth 1 Existing Walkway		-	-	-	-
		Total Removal	-909	-113	-171		-5,926
	Net Change		4,831	88	606	-	20,070
	-						
Te	26	Berth 1 Pile Removal	13	36	13	Vibrate	466
ЪЩ	27	Berth 2 Pile Removal (106 Wooden - Actual Count)	448	-	-	-	-
l≕ŏ	28	Berth 2 Whaler Removal (excluding wooden Piles)	192	12	38	Vibrate	565
ary		Total Temporary Fill	653				

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Chevron *Chevron Long Wharf* MAINTENANCE AND EFFICIENCY PROGRAM

FIGURE 1-2 Overview of WMEP Project Features Source: Moffat & Nichol Engineers, 2015

Much of this work will be above the water or on the deck of the terminal. The mooring dolphin and hook, breasting dolphin, and new gangway will require installation of 42 new 24-inch square concrete piles using impact driving methods. The number of piles are summarized for this berth in Table 1-1 and features are shown on Figure 1-3.

Berth 2 Modifications

Modifications at Berth 2 include the following:

- Install new gangway to replace portable gangway and add a new elevated fire monitor.
- Replace one bollard with a new hook.
- Install four new standoff fenders (to replace timber fender pile system).
- Replace existing auxiliary and hose cranes and vapor recovery crane to accommodate the new standoff fenders.
- Remove the existing timber fender pile system along the length of the Berth (~650')
- Three (3) existing Brace Piles (22" Square Concrete Jacketed Timber Piles) would be removed by cutting below the mud line if possible.

These modifications will require the installation of 51 new 24-inch square concrete piles, using impact driving methods, to support the gangway, standoff fenders, hose crane, and auxiliary crane. To keep Berth 2 operational during construction, four temporary "Yokohama" fenders will be installed, supported by 36 temporary 14-inch H-piles driven using vibratory methods. It is expected that the H-piles would largely sink under their own weight and would require very little driving. The H-piles and temporary fenders will be removed once the permanent standoff fenders are complete. The auxiliary and hose cranes are being replaced with cranes with longer reach to accommodate the additional distance of the new standoff fenders. The new vapor recovery crane would be mounted on an existing pedestal and not require in-water work.

The number of piles are summarized for this berth in Table 1-1 and modifications are shown on Figure 1-4.

Berth 3 Modifications

Modifications at Berth 3 include the following:

• Install new fixed gangway to replace portable gangway and add a new raised fire monitor.

The gangway would be supported by four, 24-inch square concrete piles. This would be the only in-water work for modifications at Berth 3. The number of piles is summarized by location in Table 1-1 and features are shown on Figure 1-5.

Berth 4 Modifications

Modifications at Berth 4 include the following:

- Install two new 36' x 20' dolphins with standoff fenders (two per dolphin) and two catwalks.
- Seismically retrofit the Berth 4 loading platform including bolstering and relocation of piping and electrical facilities.

The new fenders would add 44 new 24" square concrete piles.

The seismic retrofit would structurally stiffen the Berth 4 Loading Platform under seismic loads. This will require cutting holes in the concrete decking and driving eight, 60-inch diameter hollow steel batter piles, using impact pile driving. To accommodate the new retrofit, an existing sump will be replaced with a new sump and two, 24" square concrete piles will be removed or cut to the "mudline". The engineering team has determined that to drive the 60-inch batter piles, twelve temporary steel piles, 24 inches in diameter, will be needed to support templates for the angled piles during driving. Two templates are required, each 24 feet by 4 feet and supported by up to six 24-inch steel pipe piles. The templates will be above water.

The Project would also add 4 clusters of 13 composite piles each (52 total composite piles) as markers and protection of the new batter piles on the east side of the retrofit. The number of piles is summarized for this berth in Table 1-1 and features are shown on Figure 1-6.



Berth 1 Features Source: Moffat & Nichol Engineers, 2015



Berth 2 Features Source: Moffat & Nichol Engineers, 2015

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Chevron Long Wharf MAINTENANCE AND EFFICIENCY PROGRAM

Berth 3 Features Source: Moffat & Nichol Engineers, 2015



Berth 4 Features Source: Moffat & Nichol Engineers, 2015

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2 Dates, Duration, and Region of Activity

2.1 Dates and Duration of Construction

Construction would begin following approval of final design and issuance of the following approvals and permits:

- Adoption of CEQA MND and Project approval from the California State Lands Commission
- Authorization from the United States Army Corps of Engineers under Nationwide Permit 3
- Water Quality Certification from the California Regional Water Quality Control Board
- Biological Opinion from the National Marine Fisheries Service (NMFS)
- Incidental Take of Listed Species Permit from the California Department of Fish and Wildlife
- Amendment to existing Richmond Refinery Long Wharf Permit No. M1987.015 issued by the Bay Area Conservation and Development Commission
- Ministerial building permits from the City of Richmond

Construction would begin following approval of final Project design and issuance of necessary Project approvals and permits. The Project schedule assumes that permits and approvals would be obtained by the first quarter of 2017. Construction would then start in 2018, and be complete by the fourth quarter 2022. Pile driving activities would be timed to occur within the standard NMFS work windows for listed fish species (June 1 through November 30) in those four years.

Construction would be scheduled such that the Long Wharf is able to remain operational during construction. The general construction sequence is as follows:

- Berth 2 Crane Reconstruction
- Berth 4 Seismic Work
- Berth 2 Fender Construction
- Berth 1 Dolphin and Mooring Hook Construction
- Berth 1 Inner Breasting Dolphin Construction
- Berth 4 Inner Fender Construction
- Berth 1 Gangway Tower installation
- Berth 2 Gangway Tower installation
- Berth 3 Gangway Tower installation
- Berth 4 Breasting Fender Dolphin Construction

There would be periods when more than one of the above Project features would be under construction at the same time. This is necessary to accommodate the Project schedule and to ensure minimal disruption to Wharf operations.

2.2 Project Location

As described in Section 1, the Long Wharf is located in San Francisco Bay at Richmond, California (Figure 1-1).

3 Species and Numbers of Marine Mammals

Although at least 35 species of marine mammals can be found off the coast of California, very few species venture into San Francisco Bay, and only Pacific harbor seals, California sea lions, and possibly harbor porpoises make the Bay a permanent home. Small numbers of gray whales are regularly sighted in the Bay during their yearly migration, though most sightings tend to occur in the Central Bay near the Golden Gate. Two other species may occasionally occur within San Francisco Bay: Steller sea lion and bottlenose dolphin.

3.1 Pacific Harbor Seal

The Pacific harbor seal is one of five subspecies of *Phoca vitulina*, or the common harbor seal. They are a true seal, with a rounded head and visible ear canal, distinct from the eared seals, or sea lions, which have a pointed head and an external ear. Males and females are similar in size and can exceed 2 meters (6 feet) and 136 kilograms (300 pounds). Harbor seals generally do not migrate annually. They display year-round site fidelity, though they have been known to swim several hundred kilometers to find food or suitable breeding habitat.

The harbor seal diet generally consists of fish, though they also consume shrimp and shellfish. In San Francisco Bay, harbor seals forage in shallow, intertidal waters on a variety of fish, crustaceans, and a few cephalopods (e.g., octopus). The most numerous prey items identified in harbor seal fecal samples from haul-out sites in the Bay include yellowfin goby (*Acanthogobius flavimanus*), northern anchovy (*Engraulis mordax*), Pacific herring (*Clupea harengus pallasi*), staghorn sculpin (*Leptocottus armatus*), plainfin midshipman (*Porichthys notatus*), and white croaker (*Genyonemus lineatas*) (Harvey and Torok 1994).

Although generally solitary in the water, harbor seals come ashore at "haul-outs" — shoreline areas where pinnipeds congregate to rest, socialize, breed, and molt — that are used for resting, thermoregulation, birthing, and nursing pups. Haul-out sites are relatively consistent from year to year (Kopec and Harvey 1995), and females have been recorded returning to their own natal haul-out when breeding (Green et al. 2006). The nearest haul-out site to the Project is Castro Rocks, approximately 650 meters (0.4 mile) north of the northernmost point on the Long Wharf.

The haul-out sites at Mowry Slough, in the south Bay, Corte Madera Marsh and Castro Rocks, in the north Bay, and Yerba Buena Island, in the central Bay, support the largest concentrations of harbor seals within the San Francisco Bay. Caltrans conducted marine mammal surveys before and during seismic retrofit work on the Richmond–San Rafael Bridge (RSRB) in northern San Francisco Bay. The surveys included extensive monitoring of marine mammals at points throughout the Bay. Although the study focused on harbor seals hauled out at Castro Rocks and

Red Rock Island near the RSRB, all other observed marine mammals were recorded. Monitoring took place from May 1998 to February 2002 (Green et al. 2002.) and determined that at least 500 harbor seals populate San Francisco Bay. This estimate agrees with previous seal counts in San Francisco Bay, which ranged from 524 to 641 seals from 1987 to 1999 (Goals Project 2000).

Although births of harbor seals have not been observed at Corte Madera Marsh and Yerba Buena Island, a few pups have been seen at these sites. The main pupping areas in the San Francisco Bay are at Mowry Slough and Castro Rocks (Caltrans 2012). Seals haul out year-round on Castro Rocks during medium to low tides; few alternative low tide sites are available within San Francisco Bay. The seals at Castro Rocks are habituated to a degree to some sources of human disturbance such as large tanker traffic and the noise from vehicle traffic on the bridge, but often flush into the water when small boats maneuver close by or when people work on the bridge (Kopec and Harvey 1995). Long-term monitoring studies have been conducted at the largest harbor seal colonies in Point Reyes National Seashore and Golden Gate National Recreation Area since 1976. Castro Rocks and other haul-outs in San Francisco bay are part of the regional survey area for this study and have been included in annual survey efforts. Between 2007 and 2012, the average number of adults observed ranged from 126 to 166 during the breeding season (March through May) and from 92 to 129 during the molting season (June through July) (Truchinski et al. 2008, Flynn et al. 2009, Codde et. al 2010, Codde et. al 2011, Codde et. al. 2012, Codde and Allen. 2013).

Because of the close proximity of the active haul-out site, it is likely that harbor seals would be incidentally harassed during construction.

3.2 California Sea Lion

The California sea lion (*Zalophus californianus*) belongs to the family Otariidae or "eared seals," referring to the external ear flaps not shared by other pinniped families. California sea lions are sexually dimorphic: males can reach up to 2.4 meters (8 feet) long and weigh 320 kilograms (700 pounds), whereas females are smaller, at approximately 2 meters (6 feet) long and 90 kilograms (200 pounds). Sexual maturity occurs within 4 to 5 years. While California sea lions forage and conduct many activities within the water, they also use haul-outs. California sea lions breed in Southern California and along the Channel Islands during the spring. They are extremely intelligent and social. Group hunting is common and they may cooperate with other species, such as dolphins, when hunting large schools of fish. The California sea lion feeds on a mixture of fish species and squid (NOAA 2012a).

In the Bay, sea lions haul out primarily on floating docks at Pier 39 in the Fisherman's Wharf area of the San Francisco Marina, approximately 12.5 (7.8 miles) kilometers southwest. Based

on counts done in 1997 and 1998, the number of California sea lions that haul out at Pier 39 fluctuates with the highest occurrences in August and the lowest in June. Of the California sea lions observed, approximately 85% were males. An estimated 1,105 animals were observed in September 2001 at Pier 39 (Parsons Brinckerhoff 2001), and winter numbers are generally over 500 animals (Goals Project 2000). The California sea lions usually arrive at Pier 39 in August after returning from the Channel Islands (Caltrans 2013). In addition to the Pier 39 haul-out, California sea lions haul out on buoys and similar structures throughout the Bay. They are seen swimming off mainly the San Francisco and Marin shorelines within the Bay but may occasionally enter the Project area to forage. Over the monitoring period for the RSRB, monitors sighted at least 90 California sea lions in the North Bay and at least 57 in the Central Bay. No pupping activity has been observed at this site or at other locations within the San Francisco Bay (Caltrans 2012).

Although there is little information regarding the foraging behavior of the California sea lion in the San Francisco Bay, they have been observed foraging on a regular basis in the shipping channel south of Yerba Buena Island. The California sea lions that use the Pier 39 haul-out site may be feeding on Pacific herring (*Clupea harengus*), northern anchovy, and other prey within the waters of the Bay (Caltrans 2013). A relatively deep shipping channel is present to the west and north of the Long Wharf, which may provide foraging areas for California sea lions.

Because California sea lions forage over a wide range in San Francisco Bay, it is likely that some individuals would be incidentally harassed during construction.

3.3 Steller Sea Lion

Steller sea lions (*Eumetopias jubatus*) have been reported at Año Nuevo Island between Santa Cruz and Half Moon Bay and at the Farallon Islands about 48 kilometers (30 miles) off the coast of San Francisco (Fuller 2012). Two studies of Steller sea lion distribution did not detect individuals in San Francisco Bay. The SF Bay Subtidal Habitat Goals Report, Appendix 2-1 contains one reference to Steller sea lions in the San Francisco Bay, stating that since 1989, several hundred California Sea Lions have congregated in the winter on docks at Pier 39, which are on rare occasions joined by a few Steller sea lions (Cohen 2010). This species is a rare visitor to San Francisco Bay and is not expected to occur in the Project area during construction. As a result, this species is not considered further.

3.4 Harbor Porpoise

The harbor porpoise (*Phocoena phocoena*) is a member of the Phocoenidae family. They generally occur in groups of two to five individuals, and are considered to be shy, relatively nonsocial animals. The harbor porpoise has a small body, with a short beak and medium-sized

dorsal fin. They can grow to approximately 1.5 meters (5 feet) and 80 kilograms (170 pounds). Females are slightly larger than the males, and reach sexually maturity at 3 to 4 years. They are typically found in waters less than 75 meters (250 feet) deep within coastal waters, bays, estuaries, and harbors. Their prey base consists of demersal and benthic species, such as schooling fish and cephalopods (NOAA 2012b).

In prior years, harbor porpoises were observed primarily outside of San Francisco Bay. The few harbor porpoises that entered did not venture far into Bay. No harbor porpoises were observed during marine mammal monitoring conducted before and during seismic retrofit work on the RSRB, which is located just north of the Long Wharf (Figure 1-1). In recent years there have been increasingly common observations of harbor porpoises within San Francisco Bay. According to observations by the Golden Gate Cetacean Research team, as part of their multi-year assessment, approximately 225 harbor porpoises have been observed in the San Francisco Bay (Caltrans 2012). In San Francisco Bay, Harbor Porpoises are concentrated in the vicinity of the Golden Gate (approximately 12 kilometers [7.5 miles] south west of the Project site) and Angel Island (6 kilometers [3.7 miles] south west of the Project site), with lesser numbers sighted in the vicinity of Alcatraz and west of Treasure Island (Keener 2011). Because this species may venture into the Bay east of Angel Island, there is a slight chance that a small number of individuals could be incidentally harassed.

3.5 Bottlenose Dolphins

The range of the bottlenose dolphin (*Tursiops truncatus truncatus*) has expanded northward along the Pacific Coast since the 1982-1983 El Niño (Carretta et al. 2013, Wells and Baldridge 1990). They now occur as far north as the San Francisco Bay region and have been observed along the coast in Half Moon Bay, San Mateo, Ocean Beach in San Francisco, and Rodeo Beach in Marin County. Observations indicate that bottlenose dolphin occasionally enter San Francisco Bay, sometimes foraging for fish in Fort Point Cove, just east of the Golden Gate Bridge (Golden Gate Cetacean Research 2014). While individuals of this species occasionally enter San Francisco Bay, observations indicate that they remain in proximity to the Golden Gate near the mouth of the Bay and would not be within the Project area during construction. As a result, this species is not considered further.

3.6 Whales

3.6.1 Gray Whale

Gray whales (*Eschrichtius robustus*) are large baleen whales. They grow to approximately 15 meters (50 feet) in length and weigh up to 36 metric tons (40 short tons). They are one of the most frequently seen whales along the California Coast, easily recognized by their mottled gray

color and lack of dorsal fin. Adult whales carry heavy loads of attached barnacles, which add to the mottled appearance. Gray whales are the only baleen whales known to feed on the sea floor, where they scoop up bottom sediments to filter out benthic crustaceans, mollusks, and worms (NOAA 2012c). They feed in northern waters primarily off the Bering, Chukchi, and western Beaufort seas during the summer, before heading south to the breeding and calving grounds off Mexico over the winter. Between December and January, late-stage pregnant females, adult males, and immature females and males will migrate southward. The northward migration occurs between February and March. During this time, recently pregnant females, adult males, immature females, and females with calves move north to the feeding grounds (NOAA 2003). A few individuals will enter into the San Francisco Bay during their northward migration.

RSRB project monitors recorded 12 living and 2 dead gray whales, all in either the Central or North Bay, and all but two sightings occurred during the months of April and May (Winning 2008). One gray whale was sighted in June and one in October (the specific years were unreported). The Oceanic Society has tracked gray whale sightings since they began returning to the Bay regularly in the late 1990s. The Oceanic Society data show that all age classes of gray whales are entering the Bay and that they enter as singles or in groups of up to five individuals. However, the data do not distinguish between sightings of gray whales and number of individual whales (Winning 2008). It is likely that two to six gray whales enter the Bay in any given year, typically from March to May, outside of the June to November window when pile driving would occur.

3.6.2 Humpback Whale

Humpback whales (*Megaptera noveangliae*) are rare, though well-publicized, visitors to the interior of San Francisco Bay. A humpback whale nicknamed "Humphrey" journeyed through the Bay and up the Sacramento River in 1985 and re-entered the Bay in the fall of 1990, stranding on mudflats near Candlestick Park (Fimrite 2005). In May 2007, a humpback whale mother and calf spent just over 2 weeks in San Francisco Bay and the Sacramento River before finding their way back out to sea. Although it is possible that a humpback whale will enter the Bay and find its way into the Project area during construction activities, their occurrence is unlikely, and measures taken to reduce and mitigate the effects to gray whales would adequately protect a stray humpback whale if one did enter the Project vicinity.

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4 Status and Distribution of the Affected Species

4.1 Pacific Harbor Seal

Pacific harbor seals have the broadest range of any pinniped, inhabiting both the Atlantic and Pacific oceans. In the Pacific, they are found in near-shore coastal and estuarine habitats from Baja California to Alaska, and from Russia to Japan. Pacific harbor seals generally do not migrate annually. Of the three recognized populations of Pacific harbor seals along the west coast of the continental United States, the California stock occurs within California coastal waters. Although the different populations are genetically distinct, the geographical boundary between the Oregon/Washington Coastal stock (Oregon and Washington Outer Coast and Inland Waters of Washington) and the California stock is determined by the boundary between Oregon and California. The estimated population of the California stock is 30,968 (Table 4-1). The population assessments are extrapolated from observations of the number of Pacific harbor seals ashore during the peak haul-out period (May to July) during the 2009 surveys. The number of pacific harbor seals observed was multiplied by a correction that is equal to the "inverse of the estimated fraction of seals on land" (NOAA 2013).

Species	Stock Name	Stock Abundance	Relative Occurrence in San Francisco Bay	Season(s) of Occurrence
Pacific harbor seal Phoca vitulina	California stock	30,968	Common	Year-round
California sea lion Zalophus californianus	Eastern U.S. stock	296,750	Common	Year-round
Harbor porpoise Phocoena phocoena	San Francisco- Russian River Stock	9,886	Common in the vicinity of the Golden Gate and Richardson's Bay, Rare elsewhere	Year-round
Gray Whale Eschrichtius robustus	Eastern North Pacific stock	20,990	Rare to occasional	February and March

Table 4-1: Stock Assessment of Marine Mammal Stocks Present in San Francisco Bay

Sources:

NOAA 2015

Pacific harbor seals are precocial, with the pups entering the water right after birth, as a result it was not possible to count the number of pups. Because the 2013 Draft Marine Mammal Stock Assessment Report for the Pacific Region does not include a write-up of the California Stock (NOAA 2014a), information from the 2012 Marine Mammal Stock Assessment Report for the Pacific Region was used to describe the California stock (NOAA 2013).

Between 1981 and 2004, the Pacific harbor seal population increased, followed by a steady decrease between 2005 and 2010. A partial reason for this decrease could be mortalities associated with commercial hook and line fisheries, vessel strikes, entrainment in power plants, and research-related deaths (NOAA 2013).

4.2 California Sea Lion

Based on genetic variations in the mitochondrial DNA, there are five genetically distinct populations of California sea lions: Pacific temperate, Pacific subtropical, Southern Gulf of California, Central Gulf of California, and the Northern Gulf of California. Members of the Pacific temperate population, which range between Canada and Baja California, occur within the Project area. This population is estimated to be around 296,750 individuals (Table 4-1). Because different age and sex classes are not all ashore at any given time, the population assessment is based on an estimate of the number of births and number of pups in relation to the known population. The current population estimate is derived from visual surveys, conducted in 2007, of the different age and sex classes observed ashore at the primary rookeries and haul-out sites in southern and central California, coupled with an assessment done in 2008 of the number of pups born in the southern California rookeries (NOAA 2013). Because the 2013 Draft Marine Mammal Stock Assessment Report for the Pacific Region does not include a write-up of the Pacific temperate population (NOAA 2014a), information from the 2012 Marine Mammal Stock (NOAA 2013).

Statistical analysis of the pup counts between 1975 and 2010 determined an approximate 5.4 percent annual increase of the California stock. However, this does not take into account decreases associated with El Niño years observed in 1983, 1984, 1992, 1993, and 2003. During these periods, pup counts decreased by between 20 and 64 percent. Although pup counts reached pre-El Niño levels within 2 years of the 1992-1993, 1997-1998, and 2003 El Niño events, it took 5 years after the 1983-1984 El Niño event for pup production to reach pre-1982 levels. According to NOAA, one of the reasons for this could be that during El Niño events, there is an increase in pup and juvenile mortality, which in turn affects future age and sex classes. Additionally, because there are fewer females present in the population after such events, pup production is further limited. The decline in pup production observed during 2000 and 2003 can be attributed in part to previous El Niño events, which affected the number of reproductive females within the population; and in part to domoic poisoning and an infestation of hookworms, which caused an increase in pup mortality (NOAA 2013).

An Unusual Mortality Event (UME) of California sea lions also occurred in 2013, which was not an El Niño year. This UME was classified due to unusually high numbers of stranded juvenile "young of the year" sea lions that exhibited symptoms of dehydration, emaciation, and low weight for their age (NOAA 2014b). This event was generally limited to California Counties south of and including Santa Barbara County. The cause of this UME is still under investigation, but a likely contributor was a change in the availability of sea lion prey, especially sardines, which are a high value food source for mothers when nursing pups (NOAA 2014b). Although current data show changes in availability of sea lion prey in Southern California waters was likely a contributor to the UME, the exact mechanism is still under investigation (NOAA 2014b). This event, combined with strong El Niño conditions in 2015-2016 will likely have reduced or eliminated recent population gains of the California stock.

4.3 Harbor Porpoise

Harbor porpoise have a broad range in both the Atlantic and Pacific Oceans. In the Pacific, they are found from Point Conception, California to the Alaska; and from Kamchatka and Japan. The harbor porpoise population along the Pacific coastline consists of nine distinct stocks (the Morro Bay, Monterey Bay, San Francisco-Russian River, northern California/southern Oregon, northern Oregon/Washington coast, Inland Washington, Southeast Alaska, Gulf of Alaska, and Bering Sea stocks). The San Francisco-Russian River stock is the population that could occur within the Project area. The San Francisco-Russian River stock consists of 9,886 individuals. These estimates are based on aerial surveys that were conducted between 2007 and 2011. The current population estimate is similar to the 2002-2007 estimates of 9,189 individuals (NOAA 2013) (Table 4-1). Over the last five years, there have been no reported fishery-related deaths or injury of harbor porpoises within the range of the San Francisco-Russian River stock (NOAA 2014a).

4.4 Gray Whale

Although gray whales were once found in three populations across the globe, the Atlantic population is believed extinct, and the species is now limited to the Pacific Ocean, where they are divided into eastern and western stocks. Eastern North Pacific gray whales migrate each year along the west coast of North America. Based on shore observations done in 2006 and 2007, the population is estimated to consist of 20,990 individuals (Table 4-1). With the exception of an unusual mortality event in 1999 and 2000, the population of the Eastern North Pacific gray whale stock has increased over the last 20 years (NOAA 2014a).

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5 Type of Incidental Take Authorization Requested

5.1 Take Authorization Request

Under Section 101 (a)(5)(D) of the Marine Mammal Protection Act (MMPA), Chevron requests an authorization from NMFS for incidental take by Level B harassment (as defined by Title 50 Code of Federal Regulations, Part 216.3) of small numbers of marine mammals, specifically Pacific harbor seals, California sea lions, harbor porpoise, and gray whales during pile driving activities associated with modifications the Richmond Refinery Long Wharf in San Francisco Bay. With implementation of the measures outlined in Section 11, no serious injury (Level A harassment) is anticipated. Chevron requests an Incidental Harassment Authorization (IHA) for incidental take of marine mammals described in this application. It is anticipated that Chevron would request an annual renewal of the IHA, since the Project is unlikely to be completed within the year that the IHA is issued. Chevron is not requesting a Letter of Authorization (LOA) at this time because the activities described herein are not expected to rise to the level of injury or death, which would require an LOA.

The noise exposure assessment methodology used in this IHA request attempts to quantify potential exposures to marine mammals resulting from underwater and airborne noise generated during pile extraction and pile driving. Section 6 presents a detailed description of the acoustic exposure assessment methodology. Results from this approach tend to provide an overestimation of exposures because all animals are assumed to be available to be exposed 100 percent of the time. The effects will depend on the species, received level of sound, and distance from the work area; however, temporary behavioral reactions are most likely to occur. The analysis for the Project evaluates potential exposures (see Section 6 for estimates of exposures by species) over the course of the construction that could be classified as Level B harassment, as defined under MMPA.

5.2 Method of Take

The proposed Project, as outlined in Sections 1 and 2, has the potential to result in incidental take of marine mammals by underwater and airborne noise disturbance during the removal of existing piles and driving of new piles. These activities have the potential to disturb or displace marine mammals. Specifically, the proposed activities may result in "take" in the form of Level B harassment (behavioral disturbance only) from airborne or underwater noise generated from pile extraction and driving. Level A harassment is not anticipated, given the methods of installation and measures designed to reduce the possibility of injury to marine mammals. Section 11 contains additional details on impact reduction and mitigation measures that are proposed for this Project.

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6 Number of Marine Mammals that May Be Affected

Project activities may result in temporary behavioral changes in marine mammals due to underwater and airborne noise levels generated during extraction and pile driving activities. This section describes the noise levels that are expected to be generated by the Project activities, and the potential impacts of the noise levels on marine mammal species that could be found in the Project area.

6.1 Fundamentals of Sound

Sound is a physical phenomenon consisting of minute vibrations that travel through a medium, such as air or water. Sound is generally characterized by several variables, including frequency and intensity. Frequency describes the pitch of a sound, and is measured in the number of cycles per second, or hertz (Hz). Intensity describes the pressure per unit of area, (i.e., loudness) of a sound, and is measured in decibels (dB). A dB is a unit of measurement describing the amplitude of sound, equal to 20 times the logarithm to the base 10 of the ratio of the pressure of the sound measured to the reference pressure. For underwater sounds, a reference pressure of 1 microPascal (μ Pa) is commonly used to describe sounds in terms of decibels, and is expressed as "dB re 1 μ Pa." Therefore, 0 dB on the decibel scale would be a measure of sound pressure of 1 μ Pa. Sound levels in dB are calculated on a logarithmic basis. An increase of 10 dB represents a tenfold increase in acoustic energy, while 20 dB is 100 times more intense, 30 dB is 1,000 times more intense, etc. For airborne sound pressure, the reference amplitude is usually 20 μ Pa, and is expressed as "dB re 20 μ Pa."

The method commonly used to quantify airborne sounds consists of evaluating all frequencies of a sound according to a weighting system that reflects that of human hearing. This method is less sensitive at low frequencies and extremely high frequencies than at the mid-range frequencies. The method is called "A" weighting, and the dB level that is measured using this method is called the A weighted sound level. Sounds levels measured underwater are not weighted, and include the entire frequency range of interest.

When a pile driving hammer strikes a pile, a pulse is created that propagates through the pile and radiates sound into the water, substrate, and air. The sound pressure pulse is a function of time, and is referred to as the waveform. The instantaneous peak sound pressure level (SPL_{peak}) is the highest absolute value of pressure over the measured waveform, and can be a negative or positive pressure peak. Sound is frequently described as a root mean square (RMS) level, which is a statistical average of the sound wave amplitude. The RMS level is determined by analyzing the waveform and computing the average of the squared pressures over the time that constitutes the portion of the waveform containing 90 percent of the sound energy (Richardson et al., 1995).

Table 6-1 contains definitions of these terms. In this document, dB for underwater sound is referenced to 1 μ Pa, and dB for airborne noise is references to 20 μ Pa.

Table 6-1: Definitions of	Underwater	Acoustical	Terms
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Term	Definition
Decibel, dB	A unit describing, the amplitude of sound, equal to 20 times the logarithm to the base 10 of the ratio of the pressure of the sound measured to the reference pressure. The reference pressure for air is 20 μ Pa and 1 μ Pa for underwater.
SPL _{peak} Sound Pressure Level (dB)	Peak sound pressure level based on the largest absolute value of the instantaneous sound pressure. This pressure is expressed in this report as a decibel (referenced to a pressure of 1 μ Pa) but can also be expressed in units of pressure, such as μ Pa or psi.
RMS Level, (NMFS Criterion)	The average of the squared pressures over the time that comprise that portion of the waveform containing 90 percent of the sound energy for one pile driving impulse.

Notes:

dB = decibel

µPa = microPascal NMFS = National Marine Fisheries Service

psi = pounds per square inch

RMS = root mean square

In common use, noise refers to any unwanted sound. This meaning of noise will be used in the following discussion in reference to marine mammals; that is—pile driving noise may harass marine mammals.

6.2 Applicable Noise Thresholds

In 2010, NMFS established interim thresholds regarding the exposure of marine mammals to high-intensity noise that may be considered take under the MMPA. Cetaceans and pinnipeds exposed to impulsive noise of 180 and 190 dB RMS or greater, respectively, are considered to have been taken by Level A (i.e., injurious) harassment. Based on the proposed construction methodology, mitigations, and the exclusion zone described in Section 11, no Level A harassment is anticipated as a result of the Project. Generally speaking, behavioral harassment (Level B) is considered to have occurred when marine mammals are exposed to noise of 160 dB RMS or greater for impulse noise (e.g., impact pile driving) and 120 dB RMS for continuous noise (e.g., vibratory pile extraction and driving). For continuous noise, RMS levels are based on a time constant of 10 seconds, and those RMS levels should be averaged across the entire event. For impact pile driving, the overall RMS level should be characterized by integrating sound energy for each acoustic pulse across 90 percent of the acoustic energy in each pulse, and averaging all the RMS levels for all pulses.

Exposure thresholds for continuous noise have been developed by NMFS based on the best available scientific information on the response of marine mammals to underwater noise. To date, there is very little research or data supporting a response by pinnipeds or odontocetes to continuous noise from vibratory pile extraction and driving as low as the 120 dB threshold. Southall et al. (2007) summarized numerous behavioral observations made of low-frequency cetaceans to a range of nonpulse noise sources, such as vibratory pile driving. Generally, the data suggest no or limited responses to received levels of 90 to 120 dB RMS, and an increasing probability of behavioral effects in the 120 to 160 dB RMS range. There are limited data available on the behavioral effects of continuous noise on pinnipeds while underwater; however, field and captive studies to date collectively suggest that pinnipeds do not react strongly to exposures between 90 and 140 dB re 1 μ Pa RMS (Southall et al. 2007). In some locations, such as busy ports, ambient noise levels are in excess of 120 dB RMS. In those situations, ambient noise levels in RMS may be used in place of the 120 dB RMS threshold, as described in Section 6.3 below.

Airborne noise levels at which pinniped haul-out behavioral disturbance has been documented are used to determine potential disturbance from airborne construction noise. It should be noted that these are not official thresholds, but are used as a guideline to determine impacts associated with changes in airborne noise levels. The acoustic thresholds for marine mammals are shown in Table 6-2.

	Airborne Marine Construction Threshold (e.g. impact and vibratory pile driving) (re 20 µPa)	Underwater Noise Th (e.g., vibratory (re 1	Continuous resholds γ pile driving) μPa)	Underwater F Thresi (e.g., impact (re 1	Pulsed Noise holds pile driving) µPa)
Marine Mammals	Level B Threshold ¹	Level A Threshold	Level B Threshold ²	Level A Threshold	Level B Threshold
Pinnipeds (Pacific harbor seals)	90 dB RMS (unweighted)	190 dB RMS	120 dB RMS or ambient levels	190 dB RMS	160 dB RMS
Pinnipeds (California sea lions)	100 dB RMS (unweighted)	190 dB RMS	120 dB RMS or ambient levels	190 dB RMS	160 dB RMS
Cetaceans (whales, porpoises)	N/A	180 dB RMS	120 dB RMS or ambient levels	180 dB RMS	160 dB RMS

Table 6-2: Injury and Behavioral Disruption Thresholds for Airborne and Underwater Noise

¹ The airborne disturbance guideline applies to hauled-out pinnipeds.

If ambient noise levels are above 120 dB RMS, that value may be used for establishing the Level B threshold for continuous noise.

Notes:

dB = decibel

µPa = microPascal

RMS = root mean square

6.3 Estimation of Pile Extraction and Driving Noise

A review of underwater sound measurements for similar projects was undertaken to estimate the near-source sound levels for vibratory pile extraction and driving and impact pile driving. Pile driving sound from similar type and sized piles have been measured from other projects and can be used to estimate the noise levels that the Project would generate. This analysis utilizes the practical spreading loss model the use of which NMFS and the US Fish and Wildlife Service have accepted to estimate transmission loss of sound through water.

The primary sources of underwater noise produced during construction would be pile driving and pile extraction. This includes the installation of the 60-inch hollow steel piles, 24-inch square concrete piles, 14-inch steel H piles (for temporary fenders), 14-inch composite barrier piles, installation and removal of the temporary 24-inch hollow steel pipe piles for the template, and removal of existing timber and concrete piles as described in Section 2. All pile removal will be completed using vibratory equipment. All pile installation and extraction would occur in the Bay, in water depths ranging from approximately 4.6 to 15 meters (15 to 50 feet) MLLW, depending on location. Water depths in the vicinity average about 3 meters (10 feet) MLLW to the east of the Long Wharf and about 12 meters (40 feet) MLLW to the west of the Long Wharf. The substrate at the pile driving locations is primarily bay mud, although other substrate types such as sand or gravel may be encountered as the pile penetrates deeper. To estimate underwater noise levels for the proposed Project, measurements from a number of underwater pile driving projects conducted under similar circumstances (similar water depths in areas of soft substrate) were reviewed for use as source level data.

The following analysis also assumes an attenuation factor of 15 (~4.5 dB per doubling of distance) within the Project area. This is a conservative value for attenuation of underwater noise during pile driving; the attenuation in the Project area will likely be greater than 15 (Caltrans 2015a). Table 6-3 provides a summary of the underwater noise impact analysis that is presented in the following paragraphs.

	Source Levels at 10 meters (dB)		Distance to Threshold (meters)			
Pile Type	Peak Noise Level RMS		190 dB RMS (Level A)**	180 dB RMS (Level A)**	160/142 dB RMS (Level B)*	
Impact Driving	Impact Driving					
60-inch steel pipe (no attenuation) (1 per day)	210	195	22	100	2,154	
60-inch steel pipe (with bubble curtain) (1 per day)	200	185	NE	21	463	
24-inch square concrete (1-2 per day)	188	170	NE	NE	46	
Vibratory Driving/Extraction						
14-inch steel H pile (2 per day)	178	170	NE	NE	2,663	
14-Inch Composite Barrier Piles (5 per day)	178	168	NE	NE	382	
24-inch steel pipe pile (4 per day)	184	163	NE	NE	824	
Wood and concrete pile extraction (12 per day)	164	150	NE	NE	112	

Table 6-3: Expected Underwater Pile Driving Noise Levels and Distances of Threshold Exceedance with Impact and Vibratory Driver

Notes:

dB

decibels NE threshold not exceeded within 10m of the pile

RMS root mean square

For underwater noise, the Level B harassment threshold is 160 dB for impulsive noise and ambient (142 dB) for continuous noise.

For underwater noise, the Level A harassment threshold for cetaceans is 180 dB and 190 dB for pinnipeds.

The area of effect of a particular noise in the natural environment is also dependent on the background noise levels. Ambient underwater noise in the vicinity of the Long Wharf is generated by shipping activity at the facility, including the arrival, departure, loading, and offloading of vessels that occurs daily. In order to determine background noise levels in the Project vicinity, Chevron performed continuous ambient underwater noise level measurements from July 20 to July 22, 2015. Noise level measurements were conducted at two locations: the end of the mooring catwalk off Berth1; and the end of the mooring catwalk off Berth 4. Hydrophone depth at each location was 7.6 to 9 meters (25 to 30 feet), and they were placed at least 50 meters away from the nearest moored vessel. Noise level measurements conducted at these locations provided 24-hour noise data in one minute intervals in order to calculate and report 24-hour ambient, hourly ambient, daytime ambient, evening time ambient and nighttime ambient underwater noise levels using Peak and RMS, dB descriptors.

Vessel activity at the Long Wharf at this time was typical with vessels arriving or departing every few hours while several vessels are loading/offloading at any given time. During daytime hours on the 21st, one vessel was loading and then departing from Berth 9, while another vessel arrived at Berth 4 and began offloading. During daytime hours of the 22nd, vessels were offloading at both Berths 2 and 4 while another vessel arrived at Berth 3 and began loading.

Continuous, long-term underwater noise levels were measured using a Larson Davis Laboratories (LDL) Model 831 precision integrating sound level meter (SLM) with a Reson TC4013 omnidirectional hydrophone. The SLM was calibrated before and after use with a G.R.A.S. Pistonphone Type 42AF to ensure that the measurements would be accurate. The hydrophone is capable of measuring noise levels ranging between 3 Hertz (Hz) and 100 kilohertz (kHz).

At all times, underwater RMS at both locations was greater than 120 dB. Ambient noise levels at Berth 1 were consistently higher than ambient noise levels at Berth 4. This is likely due to a combination of factors, including greater vessel activity at the Berth 1, proximity to the main shipping channel used by ferries, large ships, and other vessels, and current induced vibration of the piles supporting the Long Wharf. Other vessel traffic in the area that is unrelated to activities at the Long Wharf also likely contribute to underwater noise in the Project area. For example, the San Francisco Bay commuter ferries that pass near the Long Wharf and between Red Rock Island and Castro Rocks produce underwater noise levels of 152 to 177 dB peak (EIP Associates 2006). Table 6-4 provides a summary of the hourly 24-hour ambient underwater noise levels measured at Berths 1 and 4, and an overall average of data from both locations.

Date	Berth 1		Bert	Average, both Locations		
	Peak, dB RMS, dB		Peak, dB RMS, dB		RMS, dB	
July 21, 2015 (24-hour ambient)	182.8	151.3	160.6	122.5	136.9	
July 22, 2015 (24-hour ambient)	183.8	153.0	164.7	143.7	148.4	
Average Ambient					142.7	

Table 6-4. Summary of Hourly 24-Hour Ambient Underwater Noise Levels, Berths 1 and 4

Notes: dB = unweighted decibels; RMS = root-mean squared noise level; Peak = peak sound pressure level

Source: AECOM, 2015

Vessel activity at the Long Wharf is not determined by time of day, as vessels arrive, depart, and offload around the clock. Therefore, it is prudent to utilize the data from the entire 48-hour monitoring period to best characterize typical ambient noise for this location. Overall, ambient noise levels at Berth 4 were lower than Berth 1, likely due to the relative positions of various

vessels that were utilizing the Long Wharf at the time monitoring was occurring. It is also possible that one of the large vessels moored at the Long Wharf were creating a shadow or reflection in the sound field that was affecting one of the hydrophones. To account for this factor, data from both Berths has been averaged together as the typical ambient noise present in the project site, providing a typical ambient noise value of 142.7 dB.

Based on this information, existing underwater background noise levels in the Project area are expected to be, on average, 142.7 dB RMS. This value, rounded down to 142 dB, is a reasonable threshold for behavioral (Level B) take due to continuous noise, as construction noise below 142 dB RMS or greater would often be effectively masked by the ambient noise and not have an effect on marine mammal behavior. Ambient noise levels have been used as a threshold for behavioral harassment from pile driving in other IHA authorizations, such as for the Vashon Seismic Retrofit Project in Washington (NMFS 2015a) and the Pier Reconstruction Project in Kodiak, Alaska (NMFS 2015b), authorized by NMFS on May 13 and September 30, 2015, respectively. The effects of underwater noise above ambient levels on marine mammals are described in Section 7.

6.3.1 Underwater Noise from Impact Pile Driving

60-inch steel pipe piles

To limit displacement in a seismic event, a total of eight batter steel pipe piles, 60 inches in diameter, would be installed adjacent to the existing wharf structure to retrofit the Berth 4 loading platform. These piles would be driven using an impact driver as these piles have very high axial design loads and the loads can only be achieved and confirmed by impact driving methods.

It is expected that just one 60-inch pile would be driven over two hours in a given day. Because of preparation and set-up for each pile it is expected that just one pile per week would be installed, so the eight days of pile driving would occur over an 8-week period for this pile type. Each pile could be driven for up to 2 hours. Installation could require up to 1,000 blows from an impact hammer, such as a DelMag D100-13 or similar diesel hammer, producing approximately 248,000 ft lbs maximum energy per blow and 1.5 to 3 sec/blow average. Bubble curtains will be used during the installation of the 60-inch steel pipe piles in order to reduce underwater noise levels.

Other projects conducted under similar circumstances were reviewed in order to estimate the approximate noise effects of the 60-inch steel piles. These best match found for sound source levels is the Richmond-San Rafael Bridge project where 66-inch steel piles were driven with an impact hammer (Caltrans 2012). Summary values for the impact pile driving of 60-inch steel

pipe piles from this Project indicates that noise levels of up to 210 peak and 195 RMS would be produced during pile driving using no sound attenuation such as a bubble curtain. The use of bubble curtains is expected to reduce the peak and RMS noise levels by about 10 dB, as a result, noise levels of 200 dB peak and 185 dB RMS at a distance of 10 meters are anticipated.

Based on the above sound levels, installation of the 60-inch steel pipe piles could have the potential to produce RMS values above the Level B threshold at distances summarized in Table 6-3, shown for both no attenuation device and with a bubble curtain achieving a reduction of 10 dB. During installation of the 60-inch steel pipe piles, the 160 dB RMS Level B threshold is expected to be exceeded over a radius of 463 meters (1,520 feet) with sound attenuation from a bubble curtain, as shown in Figure 6-1. With attenuation, impact driving of these piles would not produce noise levels above the Level A 190 dB threshold for pinnipeds, but would exceed the Level A 180 dB threshold for cetaceans over a distance of 21 meters (70 feet) (Table 6-3, Figure 6-1). Without attenuation, impact driving of these piles would exceed the Level A 190 dB threshold for pinnipeds over an area of 22 meters (72 feet), and would exceed the Level A 180 dB threshold for cetaceans over a distance of 300 meters (330 feet).

24-inch square concrete piles

Modifications at the four berths require the placement of new 24-inch diameter square concrete piles. Approximately two of these piles would be installed in one work day, using impact driving methods. Based on measured blow counts for 24-inch concrete piles driven at the Long Wharf Berth 4 in 2011, installation for each pile could require up to approximately 300 blows from a DelMag D62 22 or similar diesel hammer, producing approximately 165,000 ft lbs maximum energy (may not need full energy) and 1.5 second per blow average over a duration of approximately 20 minutes per pile, with 40 minutes of pile driving time per day if two piles are installed.



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Underwater Impact Driving Noise (Area Exceeding 160 dB & 180 dB Threshold) To estimate the noise effects of the 24-inch square concrete piles, other projects conducted under similar circumstances were reviewed. These projects include the Pier 40 Berth Construction in San Francisco, and the Berth 22 and Berth 32 reconstruction projects at the Port of Oakland. During impact pile driving associated with these projects, peak noise levels ranged from 172 to 188 dB, and the RMS value ranged between 151 and 176 dB, with a typical RMS value of 170 dB (Caltrans 2015a). Based on these measured levels, installation of the 24-inch concrete piles is expected to produce underwater sound exceeded the Level B 160 dB RMS threshold over a distance of 46 meters (150 feet) (Table 6-3, Figure 6-1). The Level A 190 and 180 dB RMS thresholds would not be exceeded during installation of these piles.

6.3.2 Underwater Noise from Vibratory Pile Extraction and Driving

Temporary Steel H Piles

During construction, temporary fendering would be installed at Berth 2. The temporary fenders will be supported by thirty-six steel 14-inch steel H piles. It is estimated that each pile could be driven in 5 minutes. An average of slightly more than two piles would be installed in one work day for a total of approximately 16 days of installation. The piles would be removed after the permanent fenders are in place. A vibratory hammer would be used to vibrate the piles to facilitate pulling them from the mud. Removal of the 36 piles would take approximately 8 days.

As with the piles discussed previously, other projects conducted under similar circumstances were reviewed in order to approximate the noise effects of the 14-inch steel H piles. The best match for estimated source levels is the Port of Anchorage pile driving test project. During vibratory pile driving associated with this Project, which occurred under similar circumstances, peak noise levels ranged from 165 to 175 dB, and the RMS ranged between 152 and 168 dB, both measured at approximately 15 meters (50 feet) (Caltrans 2015a). Normalized to 10 meters (33 feet), the peak noise level was 178 dB and the typical RMS value was approximately 170 dB.

Based on the above noise levels, installation of the 14-inch steel H piles would have the potential to produce RMS noise values above the Level B threshold established by ambient noise, as summarized in Table 6-3. The installation of these piles would not exceed the 180 or 190 dB Level A harassment thresholds. However, the Level B threshold would be exceeded over a radius of more than approximately 812 meters (2,663 feet). The isopleths for vibratory driving at Berths 1, 2, and 4 are shown on Figure 6-2.



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Underwater Vibratory Driving Noise (Maximum Area Exceeding Average Ambient Noise)

14-inch Composite Barrier Piles¹

As part of the Berth 4 Loading Platform modifications, 4 clusters of 13 composite piles (52 piles total) will be installed to provide protection. These piles would be installed with a vibratory pile driver (APE 400B King Kong or similar vibratory driver), with a drive time per pile of approximately 10 minutes. Up to five of these piles could be installed in one work day.

Projects conducted under similar circumstances with similar piles were reviewed in order to approximate the noise effects of the 14-inch composite piles. The best match for estimated noise levels is from the Anacortes Ferry Terminal in Washington State, where 13-inch composite piles were installed with a vibratory hammer. RMS noise levels produced during this installation varied from 138 to 158 dB RMS at 43 meters (141 feet) from the pile (Laughlin 2012). From these measurements, a peak noise value of 178 dB and an average RMS value of 168 dB normalized to a 10 meter (33 feet) distance was used to estimate the extent of underwater noise from installation of the 14-inch composite piles. Based on these measure levels, vibratory installation of the 14-inch composite barrier piles would not produce noise levels above the Level A 190 or 180 dB RMS thresholds. During installation of the 14-inch composite barrier piles for the proposed Project, up to 50 minutes of vibratory driving could occur per day; during this time, the Level B threshold would be exceeded over a radius of 117 meters (382 feet).

24-inch Temporary Steel Pipe Piles

As part of the Berth 4 Loading Platform modifications, twelve temporary steel piles, 24-inches in diameter, will be needed to support templates for the driving of the 60-ich steel pipe piles. Two templates are required, each supported by up to six 24-inch steel pipe piles. These temporary piles would be installed with a vibratory pile driver (APE 400B King Kong or similar vibratory driver), with a drive time per pile of approximately 10 minutes. Up to four of these piles could be installed in one work day.

Projects conducted under similar circumstances with similar piles were reviewed in order to approximate the noise effects of the 24-inch steel pipe. The best match for estimated noise levels is from the Explosive Handling Wharf-2 (EHW-2) project located at the Naval Base Kitsap in Bangor, Washington for the RMS value and the Northern Rail Extension Project, Salcha, AK, for the peak noise value (Caltrans 2015a) During vibratory pile driving associated with this Project, which occurred under similar circumstances, measured peak noise levels were approximately 184 dB, and the RMS was approximately 163 dB at a 10 meter distance (Caltrans 2015a). Based

¹ The barrier piles are 14 inches in diameter above the mud line and 12.25 inches in diameter below the mud line. For purposes of this IHA request we have used the 14-inch diameter to describe the piles and calculate underwater noise effects.

on these measured levels, vibratory installation of the 24-inch steel pipe piles would not produce noise levels above the Level A 190 or 180 dB RMS thresholds but could have the potential to produce RMS values above the Level B threshold at distances summarized in Table 6-3. During installation of the 24-inch steel pipe piles, the Level B threshold is expected to be exceeded over a radius of over 251 meters (824 feet) for a duration of 40 minutes per day, as shown in Figure 6-2.

Extraction of Timber and Concrete Piles

During construction, 106 16-inch timber piles, and seven 18 to 24-inch square concrete piles would be removed using a vibratory pile driver. With the vibratory hammer activated, an upward force would be applied to the pile to remove it from the sediment. Up to twelve of these piles could be extracted in one work day. Extraction time needed for each pile may vary greatly, but could require approximately 400 seconds (approximately 7 minutes) from an APE 400B King Kong or similar driver.

The most applicable noise values for wooden pile removal from which to base estimates for the WMEP are derived from measurements taken at the Port Townsend dolphin pile removal in Washington. During vibratory pile extraction associated with this Project, which occurred under similar circumstances, measured peak noise levels were approximately 164 dB, and the RMS was approximately 150 dB (WSDOT 2011). Applicable sound values for the removal of concrete piles could not be located, but they are expected to be similar to the levels produced by wooden piles described above, as they are similarly sized, non-metallic, and will be removed using the same methods.

Based on the above noise levels, vibratory extraction of the timber and concrete piles would not produce noise levels above the Level A 190 dB or 180 dB thresholds. The radius over which the Level B threshold could be exceeded is approximately 34 meters (112 feet), as shown on Figure 6-2.

6.3.3 Airborne Noise

Pile driving generates airborne noise that could potentially result in behavioral disturbance to pinnipeds (e.g., sea lions and harbor seals) which are hauled-out or at the water's surface. As with the underwater noise, the practical spreading model is used to determine the extent over which sound levels may result in harassment of marine mammals. A 20 log₁₀ attenuation rate was used to calculate the distances to the NMFS thresholds for pinnipeds presented in Table 6-2. The marine environment around the Project site is mostly water and would be considered a "hard" site, and no excess ground attenuation or atmospheric absorption is assumed. The 20 log₁₀ attenuation in sound per doubling distance (Richardson et al. 1995).

Source sound levels for impact driving of the 60-inch piles are based on measurements taken during installation of 72-inch piles for the SR 529 Ebey Slough Bridge Replacement Project in Washington (Laughlin 2011). During impact driving of the 72-inch piles, the greatest unweighted maximum noise level (L_{max}) was 105 dB and the unweighted average noise level (L_{eq}) measured over a five minute interval was 102 dB (both sound values are standardized to 15 meters [50 feet] from the source). An unweighted Leq value is equivalent to the unweighted RMS specified in the airborne noise thresholds for pinnipeds.

Source levels for impact driving of the 24-inch concrete piles are based on measurements taken during installation of hollow 36-inch concrete piles for the Mukilteo Ferry Terminal in Washington (Laughlin 2007). During impact driving of the 36-inch concrete piles, the greatest L_{max} value was 98 dB, the unweighted average noise level (L_{eq}) was not reported, but would be less than the L_{max} . To conservatively estimate the distances to the specified in the airborne noise thresholds for pinnipeds, the L_{max} will be used.

Airborne noise source levels for vibratory installation of H-piles could not be located, so values for steel pipe piles will be used. Measured airborne noise levels from vibratory driving used in this analysis are based on measurements made during the Navy Test Pile Project in Bangor Washington (NAVFAC 2012). For vibratory driving of 36-inch steel shell piles, the greatest L_{max} value measured was 105 dB, and the average Lmax was 97 dB (standardized to 15 meters [50 feet]). Table 6-5 provides distances using the average L_{max} levels, which should conservatively estimate the distance to the NMFS threshold. Airborne noise levels from the vibratory installation of the 14-inch composite barrier piles and vibratory extraction of wood and concrete piles is expected to be similar to or less than the noise levels produced by installation of the Hpiles.

	Distance to Level B Thresholds		
Pile Driving Activity	100 dB RMS (California Sea Lions)	90 dB RMS (Pacific Harbor Seals)	
Impact Driving –60-inch Piles	19 meters	60 meters	
Impact Driving –24-Inch Concrete Piles	12 meters	38 meters	
Vibratory Extraction and Driving – All Pile types	11 meters	34 meters	

Table 6-5: Modeled Extent of Sound Pressure Levels for AirborneNoise

Although airborne pile-driving RMS noise levels above the NMFS airborne noise thresholds will not extend to the Castro Rocks haul-out site, peak noise levels will be higher and may be audible over greater distances. It is expected that some pile-driving noise would be audible to harbor seals hauled out at Castro Rocks. However, the Castro Rocks haul out is subject to high levels of background noise from the Richmond Bridge, ongoing vessel activity at the Long Wharf, ferry traffic, and other general boat traffic. As a result, pile driving noise is not expected to regularly incite a reaction from hauled out harbor seals at Castro Rocks and would not cause incidental harassment.

Airborne noise from other construction activities associated with the Project, such as jack hammering of wharf structures during removal, was not specifically modeled, but is expected to produce noise levels similar to or less than the pile driving described above (FHWA 2006). While other construction noise may be occasionally audible to harbor seals hauled out at Castro Rock, it is not expected to regularly incite a reaction and would not result in incidental harassment.

Any pinnipeds that surface in the area over which the airborne noise thresholds may be exceeded would have already been exposed to underwater noise levels above the applicable thresholds and thus would not result in an additional incidental take.

6.4 Description and Estimation of Take

For this analysis, the potential numbers of marine mammals that may be exposed to take as defined in the MMPA is determined by comparing the calculated areas over which the Level B harassment threshold may be exceeded, as described in Section 6.3, with the expected distribution of marine mammal species within the vicinity of the proposed Project, as described in Section 3. As at-sea densities for marine mammal species have not be determined within San Francisco Bay and estimates here are determined using observational data taken during marine mammal monitoring associated with the RSRB retrofit project, the San Francisco-Oakland Bay Bridge replacement project, and other marine mammal observations for San Francisco Bay.

The mechanisms of take requested are expected to have no more than a behavioral effect on individual animals and no effect on the populations of these species. Any effects experienced by individual marine mammals are anticipated to be limited to short-term disturbance of normal behavior or temporary displacement of animals near the source of the noise.

6.4.1 Pacific Harbor Seal

In terms of the number of animals that use the site, Castro Rocks is the largest harbor seal haul out site in the northern part of San Francisco Bay and is the second largest pupping site in the Bay (Green et al. 2002). The pupping season is from March to June in San Francisco Bay.

During the molting season (typically June-July and coincides with the period when piles will be driven) as many as approximately 130 harbor seals on average have been observed using Castro Rocks as a haul out, as described in Section 3.1. Harbor seals are more likely to be hauled out in the late afternoon and evening, and are more likely to be in the water during the morning and early afternoon (Green et al. 2002). However, during the molting season, harbor seals spend more time hauled out and tend to enter the water later in the evening. During molting, harbor seals can stay onshore resting for an average of 12 hours per day during the molt compared to around 7 hours per day outside of the pupping/molting seasons (NPS 2014).

Tidal stage is a major controlling factor of haul out usage at Castro Rocks with more seals present during low tides than high tide periods (Green et al. 2002). Additionally, the number of seals hauled out at Castro Rocks also varies with the time of day, with proportionally more animals hauled out during the nighttime hours (Green et al. 2002). Therefore, the number of harbor seals in the water around Castro Rocks will vary throughout the work period. The take estimates are based on the highest average number of harbor seals observed at Castro Rocks during 2007 to 2012 annual surveys (approximately 130 seals). For this analysis, it is conservatively assumed that during each day of pile driving, up to 80 percent of the approximately 130 seals hauled out will enter the water at some point during each work day, so for purposes of this estimate it is assumed that 104 will be in the water for at least a portion of each work day. Of these 104 seals, the proportion that may enter the areas over which the Level B harassment thresholds may be exceeded (Table 6-3) are estimated as follows:

- Impact driving of 60-inch piles at Berth 4: There is limited data available regarding the foraging distribution of harbor seals that haul out at Castro Rocks. Satellite and VHF tracking of approximately 17 harbor seals was conducted in 2001 and 2002 (Green et al 2002). These animals were recorded moving generally west of and both north and south of Castro Rocks (Green et al 2002). As a result, it is assumed that half of the animals that enter the water from Castro Rocks will move to the north of Castro Rocks away from the Long Wharf and half to the south toward the Long Wharf, entering the level B zone (Figure 6-1). When bubble curtains are used to reduce underwater noise levels, it is estimated that up to 52 individuals per day could be exposed (104/2 = 52) by entering the Level B harassment zone to the south of Castro Rocks. Without attenuation from the use of bubble curtains, the area of Level B harassment from impact driving of the 60-inch piles would encompass Castro Rocks, and it is estimated that all animals entering the water during this activity would be exposed (104 animals).
- **Impact driving of 24-inch concrete piles at all Berths:** Given the relatively small size of the Level B harassment zone for these piles (Figure 6-1), we have assumed that 5% of the animals in the water up to 6 individuals per day could be exposed.

- Vibratory driving at Berth 4 (installation and removal of the 24-inch steel pipe piles, installation of composite barrier piles): Isopleths for vibratory driving at Berth 4 do not encompass or come in close proximity to Castro Rocks (Figure 6-2), therefore is assumed that 25% of the 104 animals in the water up to 26 individuals per day, could be exposed when vibratory driving is being conducted at Berth 4.
- Vibratory driving/extraction of the 14-inch H piles at Berth 2: Isopleths for this vibratory driving approaches but does not encompass Castro Rocks (Figure 6-2), therefore is assumed that 50% of the 104 animals in the water up to 52 individuals per day, could be exposed when this activity is being conducted at Berth 2.
- Vibratory removal of timber and concrete piles at Berths 1, 2 and 4: Due to the small size of the Level B zone for this activity (Figure 6-2), few harbor seals are expected to be exposed to Level B harassment. It is assumed that approximately 90% of the 104 harbor seals using Castro Rocks could approach and leave without being subject to level B harassment. Therefore is assumed that up to 10 individuals per day could be exposed when this activity is being conducted.

Total take by Level B harassment by pile type and year is summarized in Section 6.5. The Level A harassment threshold for pinnipeds would not be exceed by Project activities².

6.4.2 California Sea Lion

Relatively few California Sea Lions are expected to be present in the Project area during periods of pile driving, as there are no haul-outs utilized by this species in the vicinity. However, monitoring for the RSRB did observe small numbers of this species in the north and central portions of the Bay during working hours. .During monitoring that occurred over a period of May 1998 to February 2002, at least 90 California sea lions were sighted in the North Bay and at least 57 in the Central Bay. During monitoring for the San Francisco-Oakland Bay Bridge (SFOBB) Project in the central Bay, 69 California sea lions were observed in the vicinity of the bridge over a 14-year period from 2000-2014 (Caltrans 2015b). The limited data regarding these observations does not allow a quantitative assessment of potential take. It is anticipated that on average, California Sea Lions may be exposed to Level B harassment as follows:

² During the finalization of this IHA request, NMFS released new thresholds for Level A harassment that utilizes cumulative noise effects to determine the potential for permanent threshold shifts (PTS) in hearing. In order to comply with this new guidance, the PTS areas for pile driving associated with this project have been calculated using NMFS approved calculation workbook, which is provided in Appendix A. For phocids such as Pacific harbor seal, the calculated PTS area is so small for most pile types that it is unreasonable to assume that any individuals would be in the PTS zone long enough to experience cumulative noise effects. For the 60-inch piles, the provisional exclusion zone provided in Section 11 is larger than the PTS zones calculated in Appendix A.

- Impact driving of 60-inch piles: Up to 1 individual per day
- Impact driving of 24-inch concrete piles: Given the relatively small zone of Level B harassment, up to 1 individual per 5 days
- Vibratory driving (multiple pile types): Up to 1 individual per day

Total take by Level B harassment by pile type and year is summarized in Section 6.5. The Level A harassment threshold for pinnipeds would not be exceed by Project activities³.

6.4.3 Harbor Porpoise

As described in Section 3.3, a small but growing population of harbor porpoises utilizes San Francisco Bay. Harbor porpoises are typically spotted in the vicinity of Angel Island and the Golden Gate (6 and 12 kilometers [3.7 and 7.5 miles] southwest respectively) (Keener 2011), but may utilize other areas in the Central Bay in low numbers, including the Project area. The density and frequency of this usage throughout the Bay is unknown, but for purposes of this IHA, we request take by Level B harassment of up to 4 harbor porpoises per year that pile driving occurs.

While a small Level A zone for cetaceans is estimated during attenuated impact driving of the 60-inch piles (21 meter or 70 foot radius), marine mammal monitoring, as outlined in Section 13 would detect the presence of porpoises and stop the driving activity so that driving does not occur if harbor porpoises are within this exclusion zone⁴.

6.4.4 Whales

The only whale species that enters San Francisco bay with any regularity is the gray whale. As described in Section 3.4.1, gray whales occasionally enter the Bay during their northward

³ During the finalization of this IHA request, NMFS released new thresholds for Level A harassment that utilizes cumulative noise effects to determine the potential for permanent threshold shifts (PTS) in hearing. In order to comply with this new guidance, the PTS areas for pile driving associated with this project have been calculated using NMFS approved calculation workbook, which is provided in Appendix A. For otariids such as California sea lion, the calculated PTS area is so small for most pile types that it is unreasonable to assume that any individuals would be in the PTS zone long enough to experience cumulative noise effects. For the 60-inch piles, the provisional exclusion zone provided in Section 11 is larger than the PTS zones calculated in Appendix A.

⁴ As with the pinniped species, PTS areas for pile driving associated with this project have been calculated using the new NMFS approved calculation workbook, which is provided in Appendix A. For high-frequency cetaceans such as harbor porpoise, the calculated PTS area is so small for most pile types that it is unreasonable to assume that any individuals would be in the PTS zone long enough to experience cumulative noise effects. For the 60-inch piles, the provisional exclusion zone provided in Section 11 is similar in size to the largest PTS zone calculated in Appendix A.

migration period, and are most often sighted in the Bay between February and May. Most venture only about 2 to 3 kilometers (about 1-2 miles) past the Golden Gate, but gray whales have occasionally been sighted as far north as San Pablo Bay. Pile driving is not expected to occur during this time, and gray whales are not likely to be present at other times of year. If pile driving does occur during the northward migration period, and in the very unlikely event that a gray whale or pair of gray whales makes its way close to the Long Wharf, we are requesting take by Level B harassment of up to two gray whales per year (Table 6-3).

While a small Level A zone for marine mammals is estimated during impact driving of the 60inch piles, marine mammal monitoring, as outlined in Section 13 would detect the presence of a whale and stop the driving activity so that driving does not occur if gray whales are within this exclusion zone.⁵ It should be noted that the Long Wharf is approximately 15 meters (50 feet) wide. Ships that visit Berth 4 range in width (beam) from 32 to 50 meters (106 to 165 feet) and are present for 24 hours every 3 days (approximately 33% of the time). With a ship at Berth 4, which could occur every few days during pile installation, whales, if present, would be physically excluded from approaching the Level A zone from the western side (Bay side) of the wharf and would be unable to approach closely on the eastern side (shore side) due to shallow water depths to the north and east of the Long Wharf.

6.5 Summary and Schedule of Estimated Take by Year

Pile driving associated with the proposed Project would occur over a period of two years. Take that would occur through Level B harassment would occur during short periods of pile driving within these two years of work. Table 6-6 summarizes the estimate of take for each species by pile driving activity and the year the activity will take place. The estimates area base on the number of individuals assumed to be exposed per day, the number of piles driven and the number of days of pile driving expected based on an average installation rate (with bubble curtain attenuation for the 60-inch piles). It is also assumed that an individual animal can only be taken once per method of installation during a 24 hour period.

⁵ As with the pinniped species, PTS areas for pile driving associated with this project have been calculated using the new NMFS approved calculation workbook, which is provided in Appendix A. For low-frequency cetaceans such as gray whale, the calculated PTS area is so small for most pile types that it is unreasonable to assume that any individuals would be in the PTS zone long enough to experience cumulative noise effects. For the 60-inch piles, the provisional exclusion zone provided in Section 11 is similar in size to the largest PTS zone calculated in Appendix A.

			Number		S	pecies	
Pile Type	Pile Driver Type	Number of Piles	of Driving Days	Harbor Seal	CA sea lion	Harbor porpoise*	Gray whale*
			2018 Work S	eason		•••	
24-inch concrete	Impact	8	4	24	1	NA	NA
Tot	al Take by S	oecies (2018)	1	24	1	4	2
			2019 Work S	eason			
60-inch steel (with attenuation)	Impact	8	8	416	8	NA	NA
24-inch steel template pile install	Vibratory	12	3	78	3	NA	NA
24-inch steel template pile remove	Vibratory	12	3	78	3	NA	NA
Concrete pile removal	Vibratory	5	1	10	1	NA	NA
24-inch concrete	Impact	41	41	246	9	NA	NA
14-inch H pile installation	Vibratory	36	18	936	18	NA	NA
Timber pile removal	Vibratory	35	3	30	3	NA	NA
Tot	al Take by S	oecies (2019)		1794	45	4	2
			2020 Work S	eason			
Timber pile removal	Vibratory	71	6	60	6	NA	NA
24-inch concrete	Impact	62	62	372	13	NA	NA
Concrete pile removal	Vibratory	2	1	10	1	NA	NA
Total Take by Species (2020)				442	20	4	2
2021 Work Season							
Composite piles	Vibratory	52	11	286	11	NA	NA
24-inch concrete	Impact	26	26	156	6	NA	NA
Total Take by Species (2021)			442	17	2	1	
2022 Work Season							
24-inch concrete	Impact	4	2	12	1	NA	NA
14-inch H pile removal	Vibratory	36	24	1248	24	NA	NA
Tot	al Take by S	oecies (2022)		1260	25	4	2

Table 6-6: Summary of Estimated Take by Species (Level B Harassment)

*Take is not calculated by activity type for these species, only a yearly total is given.

7 Anticipated Impact of the Activity on the Species or Stock

7.1 Effects of Underwater Noise on Marine Mammals

Marine mammals use hearing and sound transmission to perform vital life functions. The introduction of noise into their environment could disrupt those behaviors. Sound (hearing and vocalization/echolocation) serves four primary functions: (1) providing information about the environment; (2) communication; (3) prey detection; and (4) predator detection. The distances to which the construction noise associated with the Project are audible depend on source levels, frequency, ambient noise levels, the propagation characteristics of the environment, and the sensitivity of the receptor (Richardson et al., 1995).

The effects of noise from pile driving on marine mammals can be physiological or behavioral, and may include one or more of the following depending on frequency and intensity: masking of natural sounds, behavioral disturbance, temporary or permanent hearing impairment, or nonauditory physical effects such as damage to other organs (Richardson et al., 1995). In assessing the potential effects of noise, Richardson et al. (1995) have suggested criteria for defining four zones of effect. These zones are discussed in Sections 7.1.1 through 7.1.4, from greatest effect to least.

7.1.1 Zone of Hearing Loss, Discomfort, or Injury

The zone of hearing loss, discomfort, or injury is the area in which the received sound energy is potentially high enough to cause discomfort or tissue damage to auditory or other systems. The possible effects of damaging sound energy are a temporary hearing threshold shift⁶, a temporary loss in hearing, a permanent threshold shift and a loss in hearing at specific frequencies or deafness. Non-auditory physiological effects or injuries that can theoretically occur in marine mammals exposed to strong underwater noise are stress, neurological effects, bubble formation, resonance effects and other types of organ or tissue damage. These effects would be considered Level A harassment; applicable NMFS acoustic thresholds for this type of harassment are 180 dB for cetaceans and 190 dB for pinnipeds.

No physiological responses are expected from pile driving operations occurring during the Pier repairs. Vibratory pile extraction and driving does not generate high-peak sound pressure levels

⁶ On exposure to noise, the hearing sensitivity may decrease as a measure of protection. This process is referred to as a shift in the threshold of hearing, meaning that only sounds louder than a certain level will be heard. The shift may be temporary or permanent.

commonly associated with physiological damage. Impact driving can produce noise levels in excess of the Level A thresholds; however, Chevron will implement measures (Section 11) that will greatly reduce the chance that a marine mammal may be exposed to sound pressure levels that could cause physical harm. During impact pile driving of the 60-inch piles, a noise attenuation system (i.e., bubble curtains) would be used to reduce sound pressure levels. Marine mammal observers will monitor the exclusion zones for the presence of marine mammals (Section 11 provides a detailed discussion of mitigation measures). They will alert work crews to the presence of pinnipeds or cetaceans in or near the exclusion zone, and advise when to begin or stop work to reduce the potential for acoustic harassment. The exclusion zone will be equivalent to the area over which Level A harassment may occur, including the 180 dB re 1 μ Pa (cetaceans) and190 dB re 1 μ Pa (pinnipeds) isopleths.

7.1.2 Zone of Masking

The zone of masking is the area in which noise may interfere with the detection of other sounds, including communication calls, prey sounds, and other environmental sounds. This effect would be considered Level B harassment; the applicable threshold for the zone where this effect occurs are 160 dB for impact noise and 120 dB or ambient noise levels for continuous noise.

7.1.3 Zone of Responsiveness

The zone of responsiveness is the area in which animals react behaviorally. The behavioral responses of marine mammals to noise depend on a number of factors, including (1) the acoustic characteristics of the noise source of interest; (2) the physical and behavioral state of the animals at the time of exposure; (3) the ambient acoustic and ecological characteristics of the environment; and (4) the context of the noise (e.g., does it sound like a predator?) (Richardson et al., 1995; Southall et al., 2007). However, temporary behavioral effects are often simply evidence that an animal has heard a noise and may not indicate lasting consequence for exposed individuals (Southall et al., 2007). These types of effects would be considered Level B harassment; the applicable threshold for the zone where these effects occur are 160 dB for impact noise and 120 dB or ambient noise levels for continuous noise.

7.1.4 Zone of Audibility

The zone of audibility is the area in which the marine mammal may hear the noise. Marine mammals as a group have functional hearing ranges of 10 Hz to 180 kHz, with best thresholds near 40 dB (Southall et al., 2007). Study data show reasonably consistent patterns of hearing sensitivity in three groups: small odontocetes (such as the harbor porpoise), medium-sized odontocetes (toothed whales such as killer whales), and pinnipeds (such as the California sea lion). No thresholds apply to this zone because it is difficult to determine the audibility of a particular noise for a particular species. This zone does not fall within the noise range of a take

as defined by NMFS. The zone of audibility is also limited by background noise levels which may mask the particular noise in question. Background noise is produced both by natural (waves, rain, and other organisms) and anthropogenic sources (watercraft, bridges, etc.).

7.1.5 Expected Responses to Pile Extraction and Driving

With both vibratory extraction and vibratory and impact pile driving, it is likely that the onset of activities could result in temporary, short-term changes in typical behavior and/or avoidance of the affected area. A marine mammal may show signs that it is startled by the noise and/or may swim away from the noise source and avoid the area. Other potential behavioral changes could include increased swimming speed, increased surfacing time, and decreased foraging in the affected area. Pinnipeds may increase their haul-out time, possibly to avoid in-water disturbance. Because pile installation or removal work would occur for a just few hours a day, and only on intermittent days throughout the construction schedule, it is unlikely to result in permanent displacement of animals. Based on the best available science, exposures to marine mammal species and stocks from pile driving activities is anticipated to result in only short-term effects on individuals exposed, will likely not affect annual rates of recruitment or survival, and employed mitigation measures will prevent any Level A exposures or mortality. Pupping at Castro Rocks would largely occur outside the window when pile driving would occur and calculated areas of Level B harassment do not extend to the rocks. Monitoring conducted during the seismic retrofit of the Richmond Bridge, which is considerably closer to Castro Rocks (20 to 100 meters vs 560 meters to the closest point on the Long Wharf), did not show a decline in the use of the haul-out site (Green 2006).

The expected responses to pile replacement work noise depend partly on the average ambient background noise of the site. San Francisco Bay in the area surrounding the Long Wharf experiences frequent boat traffic, foot traffic on accessible portions of the wharf, and noise from the tankers and tugs accessing the wharf. For marine mammals that use San Francisco Bay regularly, or harbor seals which are part of a resident population, responses to noise may be lessened due to habituation.

7.2 Effects of Airborne Noise on Marine Mammals

Marine mammals could be exposed to airborne noise levels at sound pressure levels that would constitute Level B harassment during impact or vibratory pile driving (see Section 6 for results). Injury or Level A harassment is not expected to occur from airborne noise.

Marine mammals that occur in the Project area would be exposed to airborne noise associated with pile driving that has the potential to cause harassment, depending on their distance from pile extraction and driving activities. Pacific harbor seals and California sea lions may be exposed to airborne noise if they surface in proximity to pile driving work. Airborne noise from the project would not exceed Level B thresholds at the Castro Rocks haul-out site, but would likely cause behavioral responses similar to those discussed above in relation to underwater noise. For instance, the noise generated could cause pinnipeds to exhibit changes in their normal behavior, such as causing them to move farther from the noise source.

As with underwater noise, because of the relatively short duration of the work and the limited amount of time per day when pile replacement work would occur, exposure to airborne noise would not result in population level impacts or affect the long-term fitness of these species.

7.3 Effects of Human Disturbance on Marine Mammals

The activities of workers in the Project area may also cause behavioral reactions such flushing from the haul-out, head alerts, or moving farther from the disturbance to forage.

The seals at Castro Rocks have habituated to a degree to some sources of human disturbance such as large tanker traffic and the noise from vehicle traffic on the bridge, but often flush into the water when small boats maneuver close by or when people work on the bridge (Kopec and Harvey 1995). During monitoring conducted for the RSRB project, construction activities caused a 5.4-fold increase in disturbance when compared to pre-construction monitoring. The majority of the construction related disturbance (72%) was due to construction related boats moving in the vicinity of Castro Rocks. The average distance at which construction boats caused flushing was 120m with a standard error of 7 m. The average distance at which other construction activities caused flushing is similar - 121 m with a standard error of 15m.

Construction activities associated with the proposed Project will involve minimal additional boat traffic and would occur at distances much greater than the average distances to activity that caused flushing during RSRB project activities.

8 Anticipated Impact on Subsistence Uses

No subsistence uses of marine mammals occur within San Francisco Bay. No impacts are expected to the availability of the species stock as a result of the proposed Project.

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9 Anticipated Impact of the Activity on the Habitat or the Marine Mammal Populations, and the Likelihood of Restoration of the Affected Habitat

The proposed Project would result in small net increase in bay fill of approximately 0.01 acre of benthic habitat due to the placement of piles. The piles would be generally be placed within the existing footprint of the Long Wharf. This would not have a measurable influence on habitat for marine mammals in the Bay. A temporary, small-scale loss of foraging habitat may occur for marine mammals if marine mammals leave the area during pile extraction and driving activities.

Acoustic energy created during pile replacement work would have the potential to disturb fish within the vicinity of the pile replacement work. As a result, the affected area could have a temporarily decreased foraging value to marine mammals. During pile driving, high noise levels may exclude fish from the vicinity of pile driving; Hastings and Popper (2005) identified several studies that suggest fish will relocate to avoid areas of damaging noise energy. An analysis of potential noise output of the proposed Project indicates that the distance from underwater pile driving at which noise has the potential to cause temporary hearing loss in fish ranges from approximately 10 to 158 meters (32 feet to 520 feet) from pile driving activity, depending on the type of pile⁷. Therefore, if fish leave the area of disturbance, pinniped foraging habitat may have temporarily decreased foraging value when piles are driven.

The duration of fish avoidance of this area after pile driving stops is unknown. However, the affected area represents an extremely small portion of the total area within foraging range of marine mammals that may be present in the Project area.

San Francisco Bay is classified as Essential Fish Habitat (EFH) under the Magnuson-Stevens Fisheries Conservation and Management Act, as amended by the Sustainable Fisheries Act. The EFH provisions of the Sustainable Fisheries Act are designed to protect fisheries habitat from being lost due to disturbance and degradation. The act requires implementation of measures to conserve and enhance EFH.

⁷ Distance where underwater noise exceeded the Fisheries Hydroacoustic Working Group (FHWG 2008) threshold of 187 dB SEL for adult fish during vibratory extraction of concrete and timber piles (10 meters, 32 feet) and 60inch steel piles (158 meters, 520 feet). Other distances include 37 meters (120 feet) during vibratory driving of steel H-piles and 23 meters (75 feet) during vibratory driving of the composite barrier piles, and 11 meters (37 feet) during impact driving of concrete piles. Noise levels during pile driving would not exceed peak levels (206 dB) that would cause mortality to fish.

San Francisco Bay, including the area of the Project, is classified as EFH for 20 species of commercially important fish and sharks that are federally managed under three fisheries management plans (FMPs): Coastal Pelagic, Pacific Groundfish, and Pacific Coast Salmon (Table 9-1). The Pacific Coast Salmon FMP includes Chinook salmon.

Fisheries Management Plan	Species, Common Name	Species, Scientific Name		
Coastal Pelagic	Northern anchovy	Engraulis mordax		
	jack mackerel	Trachurus symmetricus		
	Pacific sardine	Sardinops sagax		
Pacific Groundfish	english sole	Parophrys vetulus		
	sand sole	Psettichthys melanostictus		
	curlfin sole	Pleuronichthys decurrens		
	Pacific sanddab	Citharichthys sordidus		
	starry flounder	Platichthys stellatus		
	lingcod	Ophiodon elongatus		
	brown rockfish	Sebastes auriculatus		
	Pacific whiting (hake)	Merluccius productus		
	kelp greenling	Hexagrammos decagrammus		
	leopard shark	Triakis semifasciata		
	spiny dogfish	Squalus acanthias		
	skates	<i>Raja</i> ssp.		
	soupfin shark	Galeorhinus galeus		
	Bocaccio	Sebastes paucispinis		
	Cabezon	Scorpaenichthys marmoratus		
Pacific Coast Salmon	Chinook salmon	Oncorhynchus tshawytscha		
	Coho salmon	Oncorhynchus kisutch		

Table 9-1: EFH Managed Species in Central San Francisco Bay

In addition to EFH designations, San Francisco Bay is designated as a Habitat Area of Particular Concern (HAPC) for various fish species within the Pacific Groundfish and Coastal Pelagic FMPs, as this estuarine system serves as breeding and rearing grounds important to these fish stocks. A number of these fish species are prey species for pinnipeds.

Given the short daily duration of increased underwater noise levels associated with the Project and the impact avoidance and minimization measures (Section 11), the proposed Project is not likely to have a permanent, adverse effect on EFH. Therefore, the Project is not likely to have a permanent, adverse effect on marine mammal foraging habitat.

10 Anticipated Impact of the Loss or Modification of Habitat

The Project's activities are not expected to result in any habitat-related effects that could cause significant or long-term consequences for individual marine mammals or populations. Foraging and dispersal habitat for marine mammals will be temporarily modified by disturbance from increased airborne and underwater noise levels during pile extraction and driving. This modification is expected to have no impact on the ability of marine mammals to disperse and forage in undisturbed areas within their foraging range. While the proposed Project would result in a small net increase in bay fill of approximately 0.01 acre of benthic foraging habitat, this would not have a measurable influence on habitat for marine mammals in the Bay.

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11 Impact Reduction Methods

Section 6 describes the potential number of marine mammals—by species—that may be exposed to acoustic sources that would be considered Level B harassment by NMFS. Marine mammals will be protected from Level A harassment through the use of bubble curtains and marine mammal monitoring within an exclusion zone; this section describes the methods used to identify the Level A exclusion zone. The following mitigation measures are proposed by Chevron in order to reduce the number of marine mammals potentially affected by this Project.

11.1 Mitigation for Pile Extraction and Driving Activities

With the exception of the 60-inch steel shell piles, installation of piles associated with the proposed Project would not produce sound levels above the Level A harassment threshold. The results of this modeling guided the establishment of an exclusion zone around each pile to prevent Level A harassment to marine mammals. The following measures will be implemented to both prevent Level A harassment (injury) and reduce the area of potential effects from Level B harassment (disturbance) to marine mammals:

1. Noise Attenuation

Noise attenuation systems (i.e., bubble curtains) will be used during all impact pile driving of the 60-inch steel shell piles to interrupt the acoustic pressure and reduce the impact on marine mammals. The use of bubble curtains is expected to reduce underwater noise levels by approximately 10 dB, which would prevent the Level A harassment threshold for pinnipeds from being exceeded, and would limit the area of Level A harassment to cetaceans to 21 meters (70 feet) from the pile. By reducing underwater sound pressure levels at the source, bubble curtains would also reduce the area over which Level B harassment would occur, thereby potentially reducing the numbers of marine mammals affected.

2. Exclusion Zone

The exclusion zone includes all areas where underwater sound pressure levels are expected to reach or exceed the Level A harassment thresholds for marine mammals. These correspond to the 180 dB isopleth for cetaceans and the 190 dB isopleth for pinnipeds. As shown in Table 6-3, the modeled distance is 100 meters (330 feet) to the 180 dB isopleth for unattenuated noise during impact driving of the 60-inch steel piles. Attenuated sound pressure levels are not expected to exceed 190 dB. With the exception of the 60-inch piles, no exceedances of the Level A thresholds are expected for other piles that will be driven.

To provide a margin of safety, a provisional exclusion zone larger than the modeled unattenuated Level A Harassment Zone of 100 meters (330 feet) will be established during initial pile driving of the 60-inch piles, while hydroacoustic measurements are made to establish actual field conditions. For the 60-inch piles, the initial exclusion zone for cetaceans would be set at 152 meters (500 feet) and the initial exclusion zone for pinnipeds would be set at 76 meters (250 feet). No exclusion zone is needed for the other pile types. These exclusion zones will be adjusted, in consultation with NMFS, once field conditions have been established through hydroacoustic monitoring, which is described in Section 13.

3. Visual Monitoring

The exclusion zone will be monitored for 20 minutes prior to any pile extraction and driving activities to obtain visual confirmation that the area is clear of any marine mammals. Visual monitoring will occur from clear vantage points along the Long Wharf. Pile extraction or driving will not commence until marine mammals have not been sighted within the exclusion zone for a 15 minute period.

If a marine mammal enters the exclusion zone during pile driving, work will stop until the animal leaves the exclusion zone, and will not resume until no marine mammals are observed in the exclusion zone for 20 minutes. Further description of the proposed marine mammal monitoring is described in Section 13.

Monitoring will be conducted by qualified observers familiar with marine mammal species and their behavior. Up to two marine mammal observers will be stationed to observe the exclusion zone and ensure that pile driving does not occur when cetaceans are present within the exclusion zone. These observers will also record information regarding the presence and behavior of marine mammals within the Level B harassment zone. The observer will monitor the exclusion zone from the most practicable vantage point possible (the Long Wharf itself, or a boat) to determine whether marine mammals enter the exclusion zone.

4. Acoustic Monitoring

Hydroacoustic monitoring will be conducted during a portion of the vibratory and impact pile driving to verify and refine the limits of the exclusion zone. This monitoring is described further in Section 13.

5. Daylight Construction Period

Work would occur only during daylight hours (7:00 a.m. to 7:00 p.m.) when visual marine mammal monitoring can be conducted.

6. Soft Start

A "soft-start" technique is intended to allow marine mammals to vacate the area before the pile driver reaches full power. For impact driving, an initial set of three strikes would be made by the hammer at 40 percent energy, followed by a 1-minute waiting period, then two subsequent three-strike sets before initiating continuous driving. For vibratory hammers, the contractor will initiate the driving for 15 seconds at reduced energy, followed by a 1-minute waiting period when there has been downtime of 30 minutes or more. This procedure shall be repeated two additional times before continuous driving is started. This procedure would also apply to vibratory pile extraction.

Should any serious injury or mortality result during the course of the proposed activities, Chevron will suspend operations and will immediately contact NMFS.

11.2 Mitigation Effectiveness

Although marine mammals will be protected from Level A harassment through the use of bubble curtains and marine mammal monitoring within the exclusion zone, mitigation from Level B harassment will not be 100 percent effective. Visual observation of marine mammals depends on several factors, including the behavior of the animal (e.g., underwater swimming), the observer's ability to detect the animal, environmental conditions and monitoring platforms.

Marine mammal observers will be biologists with experience in the detection and behavior of marine mammals so that the observers are able to adequately detect marine mammals in the exclusion zone; and to determine their behavior and whether they appear to be harassed by the pile extraction and driving activities.

Observers will be positioned in locations that provide the best vantage points for monitoring, but conditions such as fog or choppy waters may hinder observations. Observers are likely to be on the Long Wharf decking or structures adjacent to the work area.

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12 Arctic Subsistence Uses, Plan of Cooperation

Not applicable. The proposed activity would take place in San Francisco Bay and no activities would occur in or near a traditional Arctic subsistence hunting area.

13 Monitoring and Reporting

Chevron would develop a detailed monitoring plan for conducting acoustic measurements and documenting marine mammal observations. The acoustic monitoring plan will outline the methods for underwater noise measurements to provide data on actual noise levels during construction, and provide data such that the marine mammal exclusion zone can be properly enforced during pile extraction and driving activities. The marine mammal monitoring portion of the plan will provide details on data collection for each distinct marine mammal species observed in the Project area during the construction period. Monitoring will include the following: marine mammal behavior observations, count of the individuals observed, and the frequency of the observations. The monitoring plan sections are described in more detail below.

13.1 Acoustic Monitoring

Hydroacoustic monitoring would be conducted by a qualified monitor during pile extraction and driving activities. Details would be developed during work plan preparation, but will include monitoring one to two piles of each type. Monitoring will be scheduled such that it occurs in each of the two years of construction. A reference location would be established at the estimated 180 dB contour (approximately 21 meters (70 feet) from the pile for attenuated noise). Noise measurements would be taken at the reference location and at locations every 6 meters (20 feet) until the 180 dB level (Level A threshold) is found. Measurements would be taken at two depths: one in mid-water column, and one near the bottom (but at least 1 meter (3 feet) above the bottom). Marine mammal exclusion zones for the 60-inch piles would be adjusted to maintain a safe zone outside of 180 dB, according to the results of this monitoring. Additional acoustical monitoring details will be developed in conjunction with NMFS prior to the start of construction, but will likely include the following:

- Conduct baseline noise monitoring in 2015;
- Acoustic monitoring for one 60-inch pile on the north side of Berth 4;
- Acoustic monitoring for one 60-inch pile on the south side of Berth 4;
- Acoustic monitoring of a representative pile removal;
- Acoustic monitoring of a representative concrete pile; and
- Acoustic monitoring of a representative composite pile.

13.2 Marine Mammal Monitoring

Specific details of the biological monitoring will be developed in conjunction with NMFS during work plan preparation, but will include monitoring when piles are being extracted or driven. Chevron will collect sighting data and observations on behavioral responses to construction for marine mammal species observed in the region of activity during the period of construction. All observers will be trained in marine mammal identification and behaviors, and would conduct the following general monitoring and reporting tasks:

- Biological monitoring would occur within 1 week before the Project's start date, to establish baseline observations.
- Observation periods will encompass different tide levels and hours of the day. Monitoring of marine mammals around the construction site will be conducted using high-quality binoculars as necessary (e.g., Zeiss, 10 x 42 power).
- Data collection will consist of a count of all pinnipeds and cetaceans by species, a description of behavior (if possible), location, direction of movement, type of construction that is occurring, time that pile replacement work begins and ends, any acoustic or visual disturbance, and time of the observation. Environmental conditions such as weather, visibility, temperature, tide level, current and sea state would also be recorded.
- Biological monitoring would occur from appropriate monitoring locations on the Long Wharf to maintain a clear view of the exclusion zone and adjacent areas during the survey period. Monitors would be equipped with radios or cell phones for maintaining contact with work crews.
- During pile extraction and driving, the underwater exclusion zone will be monitored for 20 minutes prior to commencing work. If marine mammals are within the exclusion zone, the start of extraction or driving will be delayed until no animals are sighted within the zone for 20 minutes.
- A final report would be submitted to NMFS within 90 days after completion of the proposed Project (or annual pile driving work). If pile driving occurs for more than one year, a report will be submitted for each year within 90 days after the seasonal work period (June 1 through November 30) ends.

14 Coordinating Research to Reduce and Evaluate Incidental Take

To reduce the likelihood that impacts will occur to the species, stocks, and subsistence use of marine mammals, construction activities will be conducted in accordance with federal, state and local regulations and the minimization measures proposed in Section 11 to protect marine mammals. Chevron will coordinate all activities as needed with relevant federal and state agencies. These include, but are not limited to: NMFS, U.S. Army Corps of Engineers, and the California Department of Fish and Wildlife.

Marine mammal and acoustic monitoring reports would provide useful information that would allow design of future projects to reduce incidental take of marine mammals. Chevron will share field data and behavioral observations on marine mammals that occur in the Project area. Results of each monitoring effort will be provided to NMFS in a summary report at the conclusion of monitoring. This information could be made available to federal, state and local resource agencies, scientists and other interested parties upon written request to NMFS.

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Appendix A PTS Calculation Spreadsheets (Based on New Guidance)

Chevron LWMEP IHA Request: Impact Driving of 60-inch Steel Piles with Bubble Curtain Attenuation PTS

E.1: IMPACT PILE DRIVING (STATIONARY SOURCE: Impulsive, Intermittent)

KEY	
	Action Proponent Provided Information
	NMFS Provided Information (Acoustic Guidance)
	Resultant Isopleth

STEP 1: GENERAL PROJECT INFORMATION			
PROJECT TITLE	Chevron Long Wharf Maintenance and Efficiency Project (LWMEP)		
PROJECT/SOURCE INFORMATION	Richmond-San Rafael Bridge project (Caltrans 2012) - noise levels of up to 210 peak, 195 RMS, and 173 dB SEL would be produced during pile driving without a bubble curtain. With bubble curtains is		
Please include any assumptions	expected to to be 200 dB peak, 185 dB RMS, and 163 dB SEL at the distances indicated.		
PROJECT CONTACT	Bill Martin - bill.h.martin@aecom.com		

STEP 2: WEIGHTING FACTOR ADJUSTMENT Specify if relying on source-specific WFA, alternative weighting/dB adjustment, or if using default value

Weighting Factor Adjustment [¥]	2	Dife the star for breach did in
⁴ Broadband: 95% frequency contour percentile (kHz) OR Narrowband: frequency (kHz); For appropriate default WFA: See INTRODUCTION technology (kHz); For appropriate default WFA: See INTRODUCTION		Derault value for impact driving
tab		

† If a user relies on alternative weighting/dB adjustment rather than relying upon the WFA (source-specific or default), they may override the Adjustment (dB) (row 64), and enter the new value directly. However, they must provide additional support and documentation supporting this modification.

* BROADBAND Sources: Cannot use WFA higher than maximum applicable frequency (See GRAY tab for more information on WFA applicable frequencies)

STEP 3: SOURCE-SPECIFIC INFORMATION

NOTE: Choose either E1-1 OR E.1-2 method to calculate isopleths (not required to fill in sage boxes for both) E.1-1: METHOD USING RMS SPL SOURCE LEVEL

Source Level (RMS SPL)	185
Activity Duration (h) within 24-h period OR Number of piles per day	1
Pulse Duration [▲] (seconds)	0.035
Number of strikes in 1 h OR Number of strikes per pile	1000
Activity Duration (seconds)	35
10 Log (duration)	15.44
Propagation (xLogR)	15
Distance of source level measurement (meters)	10

 $^{\rm \Delta} Window$ that makes up 90% of total cumulative energy (5%-95%) based on Madsen 2005

RESULTANT ISOPLETHS* *Note: For impulsive sounds, action proponent must also consider isopleths peak sound pressure level (PK) thresholds (dual thresholds).

Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
SEL _{cum} Threshold	183	185	155	185	203
PTS Isopleth to threshold (meters)	145.3	5.2	173.0	77.7	5.7

E.1-2: ALTERNATIVE METHOD (SIM	NGLE STRIKE EQUI	VALENT
SEL. = SEL. + 10 Log (# strikes)	193.0	

Source Level (Single Strike/shot SEL)	163
Number of strikes in 1 h OR Number of strikes per pile	1000
Activity Duration (h) within 24-h period OR Number of piles per day	1
Propagation (xLogR)	15
Distance of single strike SEL measurement (meters)	30

RESULTANT ISOPLETHS*

*Note: For impulsive sounds, action proponent must also consider isopleths peak sound pressure level (PK) thresholds (dual thresholds).

Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
SEL _{cum} Threshold	183	185	155	185	203
PTS Isopleth to threshold (meters)	139.1	4.9	165.6	74.4	5.4

WEIGHTING FUNCTION CALCULATIONS

Weighting Function Parameters	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
а	1	1.6	1.8	1	2
b	2	2	2	2	2
f ₁	0.2	8.8	12	1.9	0.94
f ₂	19	110	140	30	25
C	0.13	1.2	1.36	0.75	0.64
Adjustment (dB)†	-0.01	-19.74	-26.87	-2.08	-1.15

E.1: IMPACT PILE DRIVING (STATIONARY SOURCE: Impulsive, Intermittent)

KEY	
	Action Proponent Provided Information
	NMFS Provided Information (Acoustic Guidance)
	Resultant Isopleth

STEP 1: GENERAL PROJECT INFORMATION			
PROJECT TITLE	Chevron Long Wharf Maintenance and Efficiency Project (LWMEP)		
PROJECT/SOURCE INFORMATION	Pier 40 Berth Construction in San Francisco, and the Berth 22 and Berth 32 reconstruction projects at the Port of Oakland - peak noise levels ranged from 172 to 188 dB, and the RMS value ranged between 151 and 176 dB, with a typical RMS value of 170 dB (Caltrans 2012).		
Please include any assumptions			
PROJECT CONTACT	Bill Martin - bill.h.martin@aecom.com		

STEP 2: WEIGHTING FACTOR ADJUSTMENT Specify if relying on source-specific WFA, alternative weighting/dB adjustment, or if using default value

Weighting Factor Adjustment [¥]	2	
[#] Broadband: 95% frequency contour percentile frequency (kHz); For appropriate default WFA: ab	Derault value for impact driving	

† If a user relies on alternative weighting/dB adjustment rather than relying upon the WFA (source-specific or default), they may override the Adjustment (dB) (row 64), and enter the new value directly. However, they must provide additional support and documentation supporting this modification.

* BROADBAND Sources: Cannot use WFA higher than maximum applicable frequency (See GRAY tab for more information on WFA applicable frequencies)

STEP 3: SOURCE-SPECIFIC INFORMATION

NOTE: Choose either E1-1 OR E.1-2 method to calculate isopleths (not required to fill in sage boxes for both) E.1-1: METHOD USING RWS SPL SOURCE LEVEL

Source Level (RMS SPL)	170
Activity Duration (h) within 24-h period OR Number of piles per day	2
Pulse Duration [▲] (seconds)	0.035
Number of strikes in 1 h OR Number of strikes per pile	300
Activity Duration (seconds)	21
10 Log (duration)	13.22
Propagation (xLogR)	15
Distance of source level measurement (meters)	10

 $^{\rm \Delta} Window$ that makes up 90% of total cumulative energy (5%-95%) based on Madsen 2005

RESULTANT ISOPLETHS* *Note: For impulsive sounds, action proponent must also consider isopleths peak sound pressure level (PK) thresholds (dual thresholds).

Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
SEL _{cum} Threshold	183	185	155	185	203
PTS Isopleth to threshold (meters)	10.3	0.4	12.3	5.5	0.4

E.1-2: ALTERNATIVE METHOD (SINGLE STRIKE EQUIVALENT) SEL_cam = SEL_ss + 10 Log (# strikes) 187.8

Source Level (Single Strike/shot SEL)	160
Number of strikes in 1 h OR Number of strikes per pile	300
Activity Duration (h) within 24-h period OR Number of piles per day	2
Propagation (xLogR)	15
Distance of single strike SEL measurement (meters)	10

RESULTANT ISOPLETHS*

*Note: For impulsive sounds, action proponent must also consider isopleths peak sound pressure level (PK) thresholds (dual thresholds).

Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
SEL _{cum} Threshold	183	185	155	185	203
PTS Isopleth to threshold (meters)	20.8	0.7	24.8	11.1	0.8

WEIGHTING FUNCTION CALCULATIONS

Weighting Function Parameters	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds
а	1	1.6	1.8	1	2
b	2	2	2	2	2
f ₁	0.2	8.8	12	1.9	0.94
f ₂	19	110	140	30	25
C	0.13	1.2	1.36	0.75	0.64
Adjustment (dB)†	-0.01	-19.74	-26.87	-2.08	-1.15

Chevron LWMEP IHA Request: Vibratory Driving of 24-inch Steel Pipe Piles PTS

A: STATIONARY SOU	JRCE: Non-Im	pulsive, Co	ntinuous				
KEY	Action Proponent Provid	ded Information					
	NMFS Provided Inform	ation (Acoustic Gu	idance)				
	Resultant Isopleth						
STEP 1: GENERAL PROJECT INF							
	Chevron Long Wharf Mai	ntenance and Efficie	ancy Project (LWME	D)		1	
	Chevron Long Whan Mar			• /			
INFORMATION	Explosive Handling Whar	f-2 (EHW-2) project	t at the Naval Base K	(itsap in Bangor, Was	hington, the		
Please include any assumptions	RMS was approximately 1	63 dB at a 10 meter	distance (Caltrans 20	15a).			
PROJECT CONTACT	Bill Martin - bill.h.martin@	Paecom.com					
STEP 2: WEIGHTING FACTOR AD	DJUSTMENT	Specify if relying or	source-specific WF	A, alternative weight	ng/dB adjustmen	t, or if using defa	ult value
Weighting Factor Adjustment [¥]	2.5	Default value for vi	bratory driving				
* Broadband: 95% frequency contour percen frequency (kHz); For appropriate default WF tab	tile (kHz) OR Narrowband: A: See INTRODUCTION		bratory arriving				
		† If a user relies on or default), they ma they must provide a	alternative weighting y override the Adjus additional support ar	y/dB adjustment rath tment (dB) (row 43), d documentation sup	er than relying up and enter the new oporting this mod	on the WFA (sour v value directly. H ification.	rce-specific owever,
* BROADBAND Sources: Cannot us	e WFA higher than maxi	mum applicable fre	equency (See GRA)	tab for more infor	mation on WFA	applicable frequ	encies)
STEP 3: SOURCE-SPECIFIC INFO	RMATION						
Source Level (RMS SPL)	163						
Activity Duration (hours) within 24-h period	0.6666667						
Activity Duration (seconds)	2400.00012						
10 Log (duration)	33.80						
Propagation (xLogR)	15						
Distance of source level measurement (meters)	10						
RESULTANT ISOPLETHS				i i i i i i i i i i i i i i i i i i i			
	Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds	
	SEL _{cum} Threshold	199	198	173	201	219	
	PTS Isopleth to threshold (meters)	7.1	0.6	10.5	4.3	0.3	
WEIGHTING FUNCTION CALCU	LATIONS	 					
	Weighting Function	Low-Frequency	Mid-Frequency	High-Frequency	Phocid	Otariid Dippipede	
	Parameters	1			r ninpeas	2	
	h	2	2	2	2	2	
	f1	0.2	8.8	12	1.9	0,94	
	f ₂	19	110	140	30	25	
	C	0.13	1.2	1.36	0.75	0.64	1
	Adjustment (dB)†	-0.05	-16.83	-23.50	-1.29	-0.60	

Chevron LWMEP IHA Request: Vibratory Driving of 14-inch Composite Piles PTS

A: STATIONARY SOU	JRCE: Non-Im	pulsive, Co	ntinuous				
KEY							
	Action Proponent Provid	ded Information					
	NMFS Provided Inform	ation (Acoustic Gu	idance)				
	Resultant Isopleth						
STEP 1: GENERAL PROJECT INF	ORMATION						
PROJECT TITLE	Chevron Long Wharf Mai	ntenance and Efficie	ncv Proiect (LWME	EP)			
	g			,			
	Anacortes Ferry Terminal	in Washington State	- RMS noise levels	produced during this	installation		
Please include any assumptions	varied from 138 to 158 dB	RMS at 43 meters (141 feet) from the p	oile (Laughlin 2012).			
	Bill Martin - hill h martin@	aecom com					
		accom.com			1		
STEP 2: WEIGHTING FACTOR AD		Specify if relying on	source-specific WE	A alternative weight	ing/dB adjustmen	t or if using defa	ult value
STEP 2. WEIGHTING FACTOR AL		Specify if relying of	source-specific wi	A, alternative weight	ing/ ub aujustmen	t, or it using detail	
Weighting Factor Adjustment [¥]	2.5	Default value for vi	bratory driving				
Broadband: 95% frequency contour percen	tile (kHz) OR Narrowband:		j				
rrequency (KHz); For appropriate default WF tab	A: See INTRODUCTION						
		† If a user relies on or default), they ma they must provide a	alternative weightin y override the Adjus dditional support an	g/dB adjustment rath stment (dB) (row 43), nd documentation su	er than relying up and enter the new oporting this mod	on the WFA (sour v value directly. H ification.	rce-specific owever,
	a MEA bigher then mayin	num applicable fra		V tab for more infor	motion on M/FA	applicable from	
BROADBAIND Sources: Carlinot us	e wha nigher than maxi	num applicable lie	quency (See GRA		mation on wea		encies)
STEP 3: SOURCE-SPECIFIC INFO	RMATION						
Source Level (RMS SPL)	158						
Activity Duration (hours) within 24-h period	0.83333						
Activity Duration (seconds)	2999.988						
10 Log (duration)	34.77						
Propagation (xLogR)	15						
Distance of source level measurement (meters)	43						
RESULTANT ISOPLETHS							
	Hearing Group	Low-Frequency Cetaceans	Mid-Frequency Cetaceans	High-Frequency Cetaceans	Phocid Pinnipeds	Otariid Pinnipeds	
	SEL_cum Threshold	199	198	173	201	219	
	PTS Isopleth to	16.4	1.5	24.3	10.0	0.7	
	threshold (meters)						
WEIGHTING FUNCTION CALCU	ILATIONS	 	 				
	Weighting Function	Low-Frequency	Mid-Frequency	High-Frequency	Phocid	Otariid	1
	Parameters	Cetaceans	Cetaceans	Cetaceans	Pinnipeds	Pinnipeds	
	а	1	1.6	1.8	1	2	
	b	2	2	2	2	2	
	f ₁	0.2	8.8	12	1.9	0.94	
	f ₂	19	110	140	30	25	l
	(Adjustment (dD) +	0.13	1.2	1.36	0.75	0.64	
	Aujusiment (aB)†	-0.05	-16.83	-23.50	-1.29	-0.60	
							L

Chevron LWMEP IHA Request: Vibratory Installation of 14-inch Steel H Piles PTS

A: STATIONARY SOU	JRCE: Non-Im	pulsive, Co	ntinuous				
KEY							
	Action Proponent Provid	ded Information					
	NMFS Provided Inform	ation (Acoustic Gu	idance)				
	Resultant Isopletin						
STEP 1: GENERAL PROJECT INF	ORMATION						
PROJECT TITLE	Chevron Long Wharf Mai	ntenance and Efficie	ncy Project (LWME	P)			
PROJECT/SOURCE	Dort of Apphorage pile dr	ving test project. D	ale poise lovele repa	ad from 1/E to 17E d	D and the DMC		
INFORMATION	ranged between 152 and 1	68 dB, both measure	ed at approximately 1	15 meters (50 feet) (C	altrans 2012).		
Please include any assumptions		_					
PROJECT CONTACT	Bill Martin - bill.h.martin@	Paecom.com					
		C		A			
STEP 2: WEIGHTING FACTOR AL	JUSTMENT	Specify if relying on	source-specific WF	A, alternative weight	ing/dB adjustmen	t, or it using detail	uit value
Weighting Factor Adjustment [¥]	2.5	Default value for vi	bratory driving				
* Broadband: 95% frequency contour percen frequency (kHz); For appropriate default WF tab	tile (kHz) OR Narrowband: A: See INTRODUCTION		line of the second				
		† If a user relies on or default), they ma they must provide a	alternative weighting y override the Adjus Idditional support an	y/dB adjustment rath tment (dB) (row 43), d documentation sur	er than relying upo and enter the new oporting this modi	on the WFA (sour value directly. H ification.	rce-specific lowever,
* BROADBAND Sources: Cannot us	e WFA higher than maxi	num applicable fre	quency (See GRA	tab for more infor	mation on WFA	applicable frequ	iencies)
STEP 3: SOURCE-SPECIFIC INFO	RMATION						
	1/0						
Source Level (RIVIS SPL)	168						
Activity Duration (hours) within 24-h period	0.16667						
Activity Duration (seconds)	600.012						
10 Log (duration)	27.78						
Propagation (xLogR)	15						
Distance of source level measurement (meters)	15						
RESULTANTISOPLETHS			Mid Fraguanay	Lligh Fraguapay	Dhooid	Otoriid	
	Hearing Group	Cetaceans	Cetaceans	Cetaceans	Pinnipeds	Pinnipeds	
	SEL _{cum} Threshold	199	198	173	201	219	
	PTS Isopleth to						
	threshold (meters)	9.1	0.8	13.4	5.5	0.4	
ALCO CALCO							
	Weighting Function	Low-Frequency	Mid-Frequency	High-Frequency	Phocid	Otariid	
	Parameters	Cetaceans	Cetaceans	Cetaceans	Pinnipeds	Pinnipeds	l
	a	1	1.6	1.8	1	2	
	D f.	2	2	12	2	2	
	f2	19	110	140	30	25	
	C	0.13	1.2	1.36	0.75	0.64	
	Adjustment (dB)†	-0.05	-16.83	-23.50	-1.29	-0.60	1

Chevron LWMEP IHA Request: Vibratory Pile Extraction PTS

A: STATIONARY SOU	JRCE: Non-Im	pulsive, Co	ntinuous				
KEY							
	Action Proponent Provid	ded Information					
	NMFS Provided Inform	ation (Acoustic Gu	idance)				
	Resultant Isopleth						
			/				
STEP 1: GENERAL PROJECT INF	ORMATION						
	Chevron Long Wharf Mai	ntenance and Efficie	ncy Project (LWME	D)			
	Port Townsend dolphin p	ile removal in Washi	ngton- the RMS was	approximately 150 c	IB (WSDOT		
	expected to be similar to t	he levels produced h	i of concrete piles co wwooden niles		but they are		
	Bill Martin, bill b martin		y wooden piles				
PROJECT CONTACT		gaecom.com		1			
STED 2: WEIGHTING FACTOR AD		Specify if relying on	source specific WE	A altorpativo wojabti	ing/dB adjustmon	t or if using dofa	ilt valuo
STEP 2. WEIGHTING FACTOR AL		Specify if relying on	source-specific wr	A, alternative weighti	ing/ ub aujustmen	t, of it using detail	
Weighting Factor Adjustment [¥]	2.5	Default value for vi	bratory driving				
[*] Broadband: 95% frequency contour percen frequency (kHz); For appropriate default WF tab	tile (kHz) OR Narrowband: A: See INTRODUCTION		, , , , , , , , , , , , , , , , , , ,				
		† If a user relies on or default), they ma they must provide a	alternative weighting y override the Adjus dditional support an	y/dB adjustment rath tment (dB) (row 43), d documentation sup	er than relying upo and enter the new oporting this modi	on the WFA (sour value directly. H fication.	rce-specific owever,
* BROADBAND Sources: Cannot us	e WFA higher than maxi	num applicable fre	auency (See GRA)	tab for more infor	mation on WFA	applicable frequ	encies)
STEP 3: SOURCE-SPECIFIC INFO	RMATION						
	150						
Source Level (RIVIS SPL)	150						
Activity Duration (hours) within 24-h period	1.333						
Activity Duration (seconds)	4798.8						
10 Log (duration)	36.81						
Propagation (xLogR)	15						
Distance of source level	10						
measurement (meters)							
RESULTANT ISOPLETHS							
		Low-Frequency	Mid-Frequency	High-Frequency	Phocid	Otariid	
	Hearing Group	Cetaceans	Cetaceans	Cetaceans	Pinnipeds	Pinnipeds	
	SEI Threshold	100	108	173	201	210	
		177	170	175	201	217	
	PTS Isopleth to	1.5	0.1	2.3	0.9	0.1	
WEIGHTING FUNCTION CALCU	LATIONS	l 	l 		 		
	Weighting Function	Low-Frequency	Mid-Frequency	High-Frequency	Phocid	Otariid	
	Parameters	Cetaceans	Cetaceans	Cetaceans	Pinnipeds	Pinnipeds	
	a		1.6	1.8	2	2	
	D f.	0.2	8.8	12	19	0.94	
	f ₂	19	110	140	30	25	
	C	0.13	1.2	1.36	0.75	0.64	
	Adjustment (dB)†	-0.05	-16.83	-23.50	-1.29	-0.60	